



**NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
FINANCIAL STATEMENTS**

**Fiscal Year 2021  
Period Ending January 31, 2021  
Highway Fund**



North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit A - Balance Sheet**

**Assets:**

**Cash**

Cash in Transit	51,368,940.48	
GARVEE Debt Service Reserve Fund	-	
Investments with Fiscal Agent 2015	76.00	
Investments with Fiscal Agent 2017	42.90	
Investments with Fiscal Agent 2019	288,350,596.64	
Investments with Fiscal Agent Energy Savings Loan	-	
Investments w/Fiscal Agent Roadway Lighting Loan	1,543,810.69	
State Treasurer Bank Balance	700,520,798.82	
<b>Total Cash</b>		1,041,784,265.53

**Current Assets**

Accounts Receivable	69,218,098.88	
Due From Other Funds	49,468,805.33	
Other Receivables	104,644.59	
Inventory	78,424,867.40	
Other Current Assets	828,191.00	
<b>Total Current Assets</b>		198,044,607.20

**Non Current Assets**

Capital Assets	1,295,753,566.69	
Long Term Debt	1,123,018,821.25	
<b>Total Noncurrent Assets</b>		2,418,772,387.94

**Total Assets**

3,658,601,260.67

**Liabilities, Retained Earnings & Fund Balance:**

**Liabilities**

Accounts Payable	23,243,608.06	
Intragovernmental Payable	121,283,806.79	
GARVEE Bonds Payable 2015	252,075,000.00	
GARVEE Bonds Payable 2017	142,295,000.00	
GARVEE Bonds Payable 2019	565,155,000.00	
Energy Savings Note Payable	4,166,572.04	
Roadway Lighting Note Payable	27,522,579.00	
Unamortized Premium on Bonds Payable	132,173,717.05	
Due To Other Funds	37,437,797.73	
Other Liabilities	886,827,370.99	
<b>Total Liabilities</b>		2,192,180,451.66

**Retained Earnings**

Reserves and Allowances	53,240,909.32	
Retained Earnings	(847,214,380.31)	
Investment in Property	1,149,240,004.94	
<b>Total Retained Earnings</b>		355,266,533.95

**Fund Balance**

Opening Fund Balance	601,795,085.80	
Revenues	2,516,443,238.28	
Expenditures	(2,007,084,049.02)	
Change in Fund Balance Year to Date	509,359,189.26	

**Total Fund Balance**

1,111,154,275.06

**Total Liabilities, Retained Earnings and Fund Balance**

3,658,601,260.67

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit B1 pg 1 of 6 - Statement of Fees, Taxes and Other**

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Motor Vehicle Revenue							
Total Motor Fuel Taxes	124,124,584.85	1,013,335,605.89	115,326,337.82	873,301,796.93	1,553,300,000.00	942,900,000.00	70,435,605.89
Gasoline Tax	122,828,708.05	1,012,200,879.36	118,481,940.00	881,248,849.73	-	-	-
Less: DOR Refund	-	(8,585,086.00)	(4,419,018.00)	(17,561,749.00)	-	-	-
Sub Total Gas Tax	122,828,708.05	1,003,615,793.36	114,062,922.00	863,687,100.73	-	-	-
Gasoline Tax - Lust Fund	1,562,365.31	12,781,117.00	1,467,449.52	11,032,376.51	-	-	-
Gasoline Tax - Lust Fund Transferred Out	(1,562,365.31)	(12,781,117.00)	(1,467,449.52)	(11,032,376.51)	-	-	-
Gasoline Tax GF Inspection Tax	80,784.43	444,383.55	87,928.15	628,305.11	-	-	-
Gasoline Tax GF Transferred Out	(80,784.43)	(444,383.55)	(87,928.15)	(628,305.11)	-	-	-
Gasoline Inspection Tax - HF	1,240,876.80	9,514,812.53	1,258,415.82	9,411,446.20	-	-	-
Highway Usage Registration Fees	55,000.00	205,000.00	5,000.00	203,250.00	-	-	-
Total Jet Fuel	-	6,205,328.58	-	7,878,565.01	6,000,000.00	6,000,000.00	205,328.58
Aviation / Jet Fuel Tax	-	6,205,328.58	-	7,878,565.01	-	-	-
Total Highway Use Tax Lease	2,500,000.00	5,000,000.00	2,500,000.00	5,000,000.00	10,000,000.00	7,500,000.00	(2,500,000.00)
Highway Use Tax	2,500,000.00	5,000,000.00	2,500,000.00	5,000,000.00	-	-	-
Total Licenses and Fees	74,526,994.77	476,140,305.39	69,615,019.16	438,575,964.44	763,100,000.00	422,610,000.00	53,530,305.39
Truck Licenses	20,477,542.84	135,500,761.04	19,376,189.89	120,638,574.17	-	-	-
Title Fee	58,234.00	396,239.00	69,763.00	462,372.00	-	-	-
Staggered Registration	24,713,804.85	179,413,625.83	23,713,808.58	163,155,586.20	-	-	-
Registration Fees	590,545.50	4,262,458.58	476,536.50	3,251,095.78	-	-	-
Drivers License Fees	8,486,396.55	63,758,823.33	8,848,593.05	71,356,227.29	-	-	-
Auto Safety Equip. Inspection Fees	349,566.02	1,716,184.00	299,981.84	1,735,549.08	-	-	-
Financial Security Restoration Fees	509,250.00	3,433,620.00	526,950.00	2,960,350.00	-	-	-
Lien Recording Fees	10,894.00	152,295.00	37,349.00	237,156.00	-	-	-
Exhaust Emission Inspection	2,311,311.13	11,377,200.19	1,976,176.01	11,863,549.59	-	-	-
International Registration Plan Fees	15,502,575.42	66,009,124.72	12,540,661.19	50,338,335.26	-	-	-
Dealers' Manufacturer's License Fees	139,784.00	1,029,748.50	144,085.00	945,290.00	-	-	-
Process Service Fees	288,915.75	1,927,674.75	346,175.00	2,239,215.00	-	-	-
Over Weight/Size Permits	577,362.99	3,917,166.66	578,480.34	4,191,481.72	-	-	-
Motor Carrier Safety Fees	3,331.32	27,401.70	3,212.80	35,670.07	-	-	-
DMV Other Fees	648,809.08	3,411,093.72	618,197.28	3,931,688.56	-	-	-
Miscellaneous Income	(153,373.23)	287,659.87	36,536.20	1,086,341.33	-	-	-
Miscellaneous Income - Return Check Fee	12,044.55	85,083.29	13,642.78	92,176.66	-	-	-
Miscellaneous Income - Interest	-	(565,854.79)	8,680.70	55,305.73	-	-	-
Freight Rail & Rail Crossings Safety Improvement Fund	-	-	-	-	-	-	-
Total Investment Income	186,973.28	1,267,353.48	468,653.43	2,945,686.81	1,000,000.00	490,000.00	777,353.48
Interest on Funds Invested by Treasurer	186,973.28	1,267,353.48	468,653.43	2,945,686.81	-	-	-
Total Highway Fund	201,338,552.90	1,501,948,593.34	187,910,010.41	1,327,702,013.19	2,333,400,000.00	1,379,500,000.00	122,448,593.34

\*Estimated Revenue detail breakout is not available at this time per Fiscal Research Division and Office of State Budget and Management (OSBM). However, OSBM has provided a high level breakout.

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit B1 pg 2 of 6 - Statement of Fees, Taxes and Other**

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Refunds	Uncollectibles	Net Revenue
<b>Motor Vehicle Revenue</b>								
<b>Total Motor Fuel Taxes</b>	<b>139,270,034.91</b>	<b>15,145,450.06</b>	-	<b>124,124,584.85</b>	<b>1,058,836,121.41</b>	<b>45,500,515.52</b>	-	<b>1,013,335,605.89</b>
Gasoline Tax	137,974,158.11	15,145,450.06	-	122,828,708.05	1,057,701,394.88	45,500,515.52	-	1,012,200,879.36
Less: DOR Refund	-	-	-	-	(8,585,086.00)	-	-	(8,585,086.00)
Sub Total Gas Tax	137,974,158.11	15,145,450.06	-	122,828,708.05	1,049,116,308.88	45,500,515.52	-	1,003,615,793.36
Gasoline Tax - Lust Fund	1,562,365.31	-	-	1,562,365.31	12,781,117.00	-	-	12,781,117.00
Gasoline Tax - Lust Fund Transferred Out	(1,562,365.31)	-	-	(1,562,365.31)	(12,781,117.00)	-	-	(12,781,117.00)
Gasoline Tax GF Inspection Tax	80,784.43	-	-	80,784.43	444,383.55	-	-	444,383.55
Gasoline Tax GF Transferred Out	(80,784.43)	-	-	(80,784.43)	(444,383.55)	-	-	(444,383.55)
Gasoline Inspection Tax - HF	1,240,876.80	-	-	1,240,876.80	9,514,812.53	-	-	9,514,812.53
Highway Usage Registration Fees	55,000.00	-	-	55,000.00	205,000.00	-	-	205,000.00
<b>Total Jet Fuel</b>	-	-	-	-	<b>6,205,328.58</b>	-	-	<b>6,205,328.58</b>
Aviation / Jet Fuel Tax	-	-	-	-	6,205,328.58	-	-	6,205,328.58
<b>Total Highway Use Tax Lease</b>	<b>2,500,000.00</b>	-	-	<b>2,500,000.00</b>	<b>5,000,000.00</b>	-	-	<b>5,000,000.00</b>
Highway Use Tax	2,500,000.00	-	-	2,500,000.00	5,000,000.00	-	-	5,000,000.00
<b>Total Licenses and Fees</b>	<b>74,768,346.89</b>	<b>240,783.39</b>	<b>568.73</b>	<b>74,526,994.77</b>	<b>478,254,939.25</b>	<b>2,123,999.17</b>	<b>(9,365.31)</b>	<b>476,140,305.39</b>
Truck Licenses	20,700,201.78	220,637.38	2,021.56	20,477,542.84	137,290,261.01	1,786,457.36	3,042.61	135,500,761.04
Title Fee	58,237.00	1.00	2.00	58,234.00	396,268.00	14.00	15.00	396,239.00
Staggered Registration	24,729,314.20	15,275.35	234.00	24,713,804.85	179,561,713.76	147,075.03	1,012.90	179,413,625.83
Registration Fees	590,745.50	200.00	-	590,545.50	4,266,263.58	3,800.00	5.00	4,262,458.58
Drivers License Fees	8,486,984.70	718.15	(130.00)	8,486,396.55	63,762,439.93	3,704.60	(88.00)	63,758,823.33
Auto Safety Equip. Inspection Fees	349,566.02	-	-	349,566.02	1,716,184.00	-	-	1,716,184.00
Financial Security Restoration Fees	509,550.00	-	300.00	509,250.00	3,434,800.00	400.00	780.00	3,433,620.00
Lien Recording Fees	10,894.00	-	-	10,894.00	152,464.00	-	169.00	152,295.00
Exhaust Emission Inspection	2,311,311.13	-	-	2,311,311.13	11,377,200.19	-	-	11,377,200.19
International Registration Plan Fees	15,507,556.96	3,640.83	1,340.71	15,502,575.42	66,212,842.09	180,257.26	23,460.11	66,009,124.72
Dealers' Manufacturer's License Fees	139,954.00	-	170.00	139,784.00	1,030,028.50	-	280.00	1,029,748.50
Process Service Fees	288,865.75	-	(50.00)	288,915.75	1,927,424.75	50.00	(300.00)	1,927,674.75
Over Weight/Size Permits	577,362.99	-	-	577,362.99	3,917,166.66	-	-	3,917,166.66
Motor Carrier Safety Fees	3,391.32	-	60.00	3,331.32	27,346.70	-	(55.00)	27,401.70
DMV Other Fees	647,357.15	310.68	(1,762.61)	648,009.08	3,391,397.75	2,240.92	(21,936.89)	3,411,093.72
Miscellaneous Income	(153,373.23)	-	-	(153,373.23)	287,659.87	-	-	287,659.87
Miscellaneous Income - Return Check Fee	10,427.62	-	(1,616.93)	12,044.55	69,333.25	-	(15,750.04)	85,083.29
Miscellaneous Income - Interest	-	-	-	-	(565,854.79)	-	-	(565,854.79)
Freight Rail & Rail Crossings Safety Improvement Fund	-	-	-	-	-	-	-	-
<b>Total Investment Income</b>	<b>186,973.28</b>	-	-	<b>186,973.28</b>	<b>1,267,353.48</b>	-	-	<b>1,267,353.48</b>
Interest on Funds Invested by Treasurer	186,973.28	-	-	186,973.28	1,267,353.48	-	-	1,267,353.48
<b>Total Highway Fund</b>	<b>216,725,355.08</b>	<b>15,386,233.45</b>	<b>568.73</b>	<b>201,338,552.90</b>	<b>1,549,563,742.72</b>	<b>47,624,514.69</b>	<b>(9,365.31)</b>	<b>1,501,948,593.34</b>

**North Carolina Department of Transportation**  
**Highway Fund**  
**Period Ending January 31, 2021**

**Exhibit B1 pg 3 of 6 - Statement of Fees, Taxes and Other**

	Current Month						Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Transfers	Account Balance	Gross Revenue	Refunds	Uncollectibles	Net Revenue
<b>Payables and Receivables</b>										
Division Of Air Quality - DENR	283,855.00	392.31	-	283,462.69	83,152.42	200,310.27	1,397,882.26	2,565.25	-	1,395,317.01
Sales Tax	4,264.11	-	-	4,264.11	42,987.93	(38,723.82)	261,629.10	3,037.50	-	258,591.60
Special Registration Plate Fund	237,139.50	320.00	20.00	236,799.50	213,415.83	23,383.67	1,739,474.50	3,170.00	14.02	1,736,290.48
Personalized Registration Plate	260,165.00	1,780.00	10.00	258,375.00	232,723.33	25,651.67	1,913,518.33	16,072.00	10.00	1,897,436.33
Collegiate & Cultural Attraction Plate Fund	283,663.34	430.00	40.00	283,193.34	255,180.00	28,013.34	2,103,747.09	4,235.00	45.00	2,099,467.09
Parks & Recreation Trust Fund	130,082.55	950.00	5.00	129,127.55	116,364.17	12,763.38	956,776.72	8,110.50	-	948,666.22
Rescue Squad Workers' Relief Fund	129,817.12	1,742.07	-	128,075.05	207,180.70	(79,105.65)	639,464.63	10,014.35	-	629,450.28
Transit Authority	1,590,480.00	60.00	50.00	1,590,370.00	4,547,086.00	(2,956,716.00)	11,674,419.70	461.16	154.00	11,673,804.54
Volunteer Rescue / EMS Fund	196,090.36	2,614.99	-	193,475.37	312,932.16	(119,456.79)	965,917.51	15,033.84	-	950,883.67
Natural Heritage Trust Fund	408,337.50	2,705.00	15.00	405,617.50	366,362.50	39,255.00	3,017,557.50	24,260.00	-	2,993,297.50
DWI Restoration Fee - General Fund	-	-	-	-	-	-	-	-	-	-
DWI Restoration Fee - Forensic Testing	32,109.86	-	-	32,109.86	31,210.00	899.86	221,405.19	125.00	(19.23)	221,299.42
Motorcycle Safety Instruction	37,091.71	4.00	-	37,087.71	33,718.05	3,369.66	445,407.95	76.50	9.00	445,322.45
Special License - Advance Payments	-	-	-	-	-	-	-	-	-	-
Compensation Payable	149,602.00	13.00	5.00	149,584.00	149,684.00	(100.00)	1,127,209.00	134.00	34.00	1,127,041.00
DMV Organ Donor Website	19,089.70	-	-	19,089.70	37,489.85	(18,400.15)	137,183.15	-	-	137,183.15
DMV Mercury Switch Removal	37,766.60	2.60	2.80	37,761.20	36,879.60	881.60	275,427.60	32.80	11.20	275,383.60
UCR	-	-	-	-	-	-	-	-	-	-
Part Fee	12,509.15	2.00	(1.00)	12,508.15	34,472.00	(21,963.85)	88,677.27	5.00	(4.00)	88,676.27
Civil Penalty - OS/OW and Dealer	608,711.85	-	803.57	607,908.28	484,538.64	123,369.64	3,541,533.81	8,430.00	1,022.57	3,532,081.24
Civil Penalty - Liability Insurance	2,521,621.50	4,850.00	100.00	2,516,671.50	2,120,745.00	395,926.50	14,543,187.15	36,360.00	3,465.00	14,503,362.15
Vehicle Registration Late Fee	2,723,005.00	965.00	320.00	2,721,720.00	2,435,385.00	286,335.00	11,889,505.00	1,005,090.00	655.31	10,883,759.69
Financial Responsibility	34,470.00	-	-	34,470.00	-	34,470.00	169,070.00	-	-	169,070.00
Vehicle Property Tax	93,464,393.93	122.86	(1,265.88)	93,465,536.95	-	93,465,536.95	642,643,163.24	142,248.58	(966.05)	642,501,880.71
<b>Total Payables And Receivables</b>	<b>103,164,265.78</b>	<b>16,953.83</b>	<b>104.49</b>	<b>103,147,207.46</b>	<b>11,741,507.18</b>	<b>91,405,700.28</b>	<b>699,752,156.70</b>	<b>1,279,461.48</b>	<b>4,430.82</b>	<b>698,468,264.40</b>

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit B1 pg 4 of 6 - Statement of Fees, Taxes and Other**

	Current Month						Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Transfers	Account Balance	Gross Revenue	Refunds	Uncollectibles	Net Revenue
<b>Miscellaneous Accounts</b>										
Cashiers Advance	-	-	-	-	-	-	1,500.00	-	-	1,500.00
Bad Checks	139,532.29	149,379.13	(18,485.85)	8,639.01	-	8,639.01	1,183,113.77	1,127,470.08	(89,272.57)	144,916.26
Bad Drafts	-	-	-	-	-	-	-	-	-	-
Bad Credit Card	(7,589.32)	-	-	(7,589.32)	-	(7,589.32)	(8,927.24)	1,121.71	-	(10,048.95)
Accounts Receivable	(240,066.96)	-	-	(240,066.96)	-	(240,066.96)	(190,731.03)	5,377.22	-	(196,108.25)
Drafts Receivable	(162,547.44)	-	-	(162,547.44)	-	(162,547.44)	293,784.68	-	-	293,784.68
Fees Due Other Jurisdictions	30,413,897.28	-	-	30,413,897.28	-	30,413,897.28	32,951,770.84	41,383.95	-	32,910,386.89
Fuel Tax Permits	-	-	-	-	-	-	-	-	-	-
Citation Overpayment	1,451.60	-	-	1,451.60	-	1,451.60	12,984.41	5,287.83	0.81	7,695.77
Mail Order Overage Refund (>\$5)	104,370.14	58,696.75	-	45,673.39	-	45,673.39	613,242.66	580,395.01	-	32,847.65
Enforcement Collection - STARS	-	-	-	-	-	-	-	-	-	-
Remittance Processor	6,515.10	27,808.26	-	(21,293.16)	-	(21,293.16)	287,270.05	301,184.01	(142.48)	(13,771.48)
Same Day Deposits / Refunds	11,426.69	11,344.70	-	81.99	-	81.99	180,460.44	180,718.40	-	(257.96)
Suspense Accounts	-	-	-	-	-	-	-	-	-	-
DOR - Alert Collections	-	-	-	-	-	-	-	-	-	-
A/P Collection Agency Fees	-	-	-	-	-	-	-	-	-	-
Security Deposits - Driver Records	-	-	-	-	-	-	-	-	-	-
Title Bond	-	-	-	-	-	-	-	-	-	-
Collision Report Bond	-	-	-	-	-	-	-	-	-	-
Dealer Cash Bond	-	-	-	-	-	-	-	-	-	-
Postage	-	-	-	-	-	-	-	-	-	-
Mail Order Shortage	(1,580.09)	-	-	(1,580.09)	-	(1,580.09)	(11,089.88)	-	-	(11,089.88)
Mail Order Overage Refund (<\$5)	3,052.97	425.90	-	2,627.07	-	2,627.07	111,004.88	570.37	(40.61)	110,475.12
DMV Service Credit	87,538.71	86,179.11	-	1,359.60	-	1,359.60	609,332.07	620,059.80	-	(10,727.73)
DMV VPT Compensation	-	-	-	-	-	-	-	-	-	-
<b>Total Miscellaneous Accounts</b>	<b>30,356,000.97</b>	<b>333,833.85</b>	<b>(18,485.85)</b>	<b>30,040,652.97</b>	<b>-</b>	<b>30,040,652.97</b>	<b>36,033,715.65</b>	<b>2,863,568.38</b>	<b>(89,454.85)</b>	<b>33,259,602.12</b>

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit B1 pg 5 of 6 - Statement of Fees, Taxes and Other**

	Current Month	Year to Date		
	Gross Receipts	Gross Receipts	Refunds / Uncollectibles	Net Receipts
<b>Drivers License:</b>				
Classified License - Class A	5,703.00	35,240.40	231.00	35,009.40
Classified License - Class B	6,970.55	41,403.65	115.50	41,288.15
Classified License - Class C	2,616,526.15	18,096,762.90	4,802.10	18,091,960.80
Duplicate Licenses	802,727.55	5,812,800.90	1,473.25	5,811,327.65
Learner's Permit	332,502.20	2,235,599.65	2,489.50	2,233,110.15
Restoration Fees	527,261.39	3,583,384.56	1,094.23	3,582,290.33
Special Id Cards	14,047.65	108,056.70	(105.00)	108,161.70
Record Fees	3,102,989.46	26,245,792.86	96,856.31	26,148,936.55
Driver Improvement Clinic Fees	350.00	4,235.00	-	4,235.00
Commercial Application	82,971.75	549,650.75	1,689.50	547,961.25
Commercial License - Class A	465,079.25	2,851,471.50	3,151.50	2,848,320.00
Commercial License - Class B	186,684.50	1,260,480.25	1,325.25	1,259,155.00
Commercial License - Class C	6,686.50	42,699.00	215.00	42,484.00
Endorsement	180,228.05	1,226,212.80	1,431.45	1,224,781.35
Limited Provisional License	121,946.40	1,364,297.50	277.00	1,364,020.50
Full Provisional License	30,029.85	338,941.60	159.50	338,782.10
Motorcycle Permit	7,366.95	78,255.40	430.00	77,825.40
Commercial Driver Training	-	336.00	2,820.00	(2,484.00)
<b>Total Drivers License</b>	<b>8,490,071.20</b>	<b>63,875,621.42</b>	<b>118,456.09</b>	<b>63,757,165.33</b>
<b>Enforcement Penalties</b>				
Civil Penalties - Inspection Maintenance	(50.00)	16,450.00	-	16,450.00
Civil Penalties - Dealers	3,750.00	4,500.00	-	4,500.00
Civil Penalties - Emissions	2,814.25	19,624.57	(287.84)	19,912.41
Civil Penalties - Out Of Service	161,795.61	884,325.91	(2,784.15)	887,110.06
Civil Penalties - Oversize Permits	19,882.28	195,210.53	500.00	194,710.53
Civil Penalties - License & Weight	604,961.85	3,537,033.81	9,452.57	3,527,581.24
Civil Penalties - Article 12 Violations	350.00	42,450.00	-	42,450.00
Civil Penalties - No Decal	20,710.38	151,583.69	-	151,583.69
<b>Total Enforcement Penalties</b>	<b>814,214.37</b>	<b>4,851,178.51</b>	<b>6,880.58</b>	<b>4,844,297.93</b>



North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit B1 pg 6 of 6 - Statement of Fees, Taxes and Other**

	Current Month				Year to Date			
	Current Year	Prior Year	Increase / (Decrease)	Percent	Current Year	Prior Year	Increase / (Decrease)	Percent
<b>Motor Vehicle Fees</b>								
Motor Vehicle License Fee	60,693,923.11	58,864,208.70	1,829,714.41	3.11%	380,551,298.59	334,132,564.63	46,418,733.96	13.89%
Miscellaneous Registration	590,545.50	581,130.00	9,415.50	1.62%	4,262,458.58	3,251,095.78	1,011,362.80	31.11%
Driver License Fees	8,486,152.55	10,138,415.03	(1,652,262.48)	-16.30%	63,757,165.33	71,353,375.29	(7,596,209.96)	-10.65%
Safety Equipment Inspection	349,566.02	294,762.85	54,803.17	18.59%	1,716,184.00	1,735,549.08	(19,365.08)	-1.12%
Financial Security Restoration Fees	509,250.00	348,123.00	161,127.00	46.28%	3,433,620.00	2,960,350.00	473,270.00	15.99%
Lien Recording	10,894.00	28,015.00	(17,121.00)	-61.11%	152,295.00	237,156.00	(84,861.00)	-35.78%
Emissions	2,311,311.13	2,225,597.57	85,713.56	3.85%	11,377,200.19	11,863,549.59	(486,349.40)	-4.10%
Dealer & Manufacturer License Fees	139,784.00	145,635.00	(5,851.00)	-4.02%	1,029,748.50	945,290.00	84,458.50	8.93%
Process Service Fee	230,700.00	276,750.00	(46,050.00)	-16.64%	1,567,900.00	1,844,050.00	(276,150.00)	-14.98%
Motor Carrier Safety Regulation	3,331.32	125,263.46	(121,932.14)	-97.34%	398,614.70	35,801.07	362,813.63	1013.42%
Penalty License & Weight Enforcement	205,674.51	192,309.30	13,365.21	6.95%	1,312,216.69	1,311,546.71	669.98	0.05%
Electronic & Bulk Data Records	115,082.97	132,859.71	(17,776.74)	-13.38%	972,713.38	1,002,661.85	(29,948.47)	-2.99%
Receipts	106,373.20	195,822.95	(89,449.75)	-45.68%	672,043.63	836,790.94	(164,747.31)	-19.69%
A/R Late Penalty	154,186.14	156,869.61	(2,683.47)	-1.71%	889,570.01	960,593.99	(71,023.98)	-7.39%
A/R Interest Penalty	33,967.28	29,063.20	4,904.08	16.87%	232,242.81	199,584.32	32,658.49	16.36%
Registration Renewal Interest	267,025.70	236,568.66	30,457.04	12.87%	1,063,147.50	1,551,940.20	(488,792.70)	-31.50%
Hearing Fees	145,760.00	136,550.00	9,210.00	6.74%	953,278.00	957,756.00	(4,478.00)	-0.47%
<b>Total Motor Vehicle Fees</b>	<b>74,353,527.43</b>	<b>74,107,944.04</b>	<b>245,583.39</b>	<b>0.33%</b>	<b>474,341,696.91</b>	<b>435,179,655.45</b>	<b>39,162,041.46</b>	<b>9.00%</b>
<b>Payables And Receipts</b>								
Sales Tax	4,264.11	127,324.86	(123,060.75)	-96.65%	258,591.60	296,035.41	(37,443.81)	-12.65%
Special Registration Plate Fund	236,799.50	228,404.17	8,395.33	3.68%	1,736,290.48	1,656,024.57	80,265.91	4.85%
Personalized Registration Plate	258,375.00	242,463.33	15,911.67	6.56%	1,897,436.33	1,777,512.51	119,923.82	6.75%
Safety Inspection & Exhaust Emission	605,013.11	559,749.02	45,264.09	8.09%	2,975,650.96	3,107,666.62	(132,015.66)	-4.25%
Collegiate & Cultural Attraction Plate Fund	283,193.34	265,193.33	18,000.01	6.79%	2,099,467.09	1,965,183.68	134,283.41	6.83%
Parks & Recreation Trust Fund	129,127.55	121,296.72	7,830.83	6.46%	948,666.22	888,894.29	59,771.93	6.72%
Transit Authority / Transpark	1,590,370.00	1,585,296.00	5,074.00	0.32%	11,673,804.54	11,328,925.07	344,879.47	3.04%
Natural Heritage Trust Fund	405,617.50	382,940.00	22,677.50	5.92%	2,993,297.50	2,805,088.75	188,208.75	6.71%
DWI Restoration Fee - General Fund	-	-	-	0.00%	-	-	-	0.00%
DWI Restoration Fee - Forensic Testing	32,109.86	33,350.00	(1,240.14)	-3.72%	221,299.42	245,125.00	(23,825.58)	-9.72%
Motorcycle Safety Instruction	37,087.71	37,345.01	(257.30)	-0.69%	445,322.45	421,754.48	23,567.97	5.59%
Special License - Advance Payments	-	230.00	(230.00)	-100.00%	-	19,530.00	(19,530.00)	-100.00%
Compensation Payable	149,584.00	158,872.00	(9,288.00)	-5.85%	1,127,041.00	948,117.00	178,924.00	18.87%
DMV Organ Donor Website	19,089.70	32,679.20	(13,589.50)	-41.58%	137,183.15	227,474.35	(90,291.20)	-39.69%
DMV Mercury Switch Removal	37,761.20	41,408.20	(3,647.00)	-8.81%	275,383.60	280,141.80	(4,758.20)	-1.70%
UCR	-	-	-	0.00%	-	-	-	0.00%
Part Fee	12,508.15	12,549.01	(40.86)	-0.33%	88,676.27	85,949.05	2,727.22	3.17%
Civil Penalty - OS/OW and Dealer	607,908.28	543,441.55	64,466.73	11.86%	3,532,081.24	3,261,238.81	270,842.43	8.30%
Civil Penalty - Liability Insurance	2,516,671.50	2,920,775.00	(404,103.50)	-13.84%	14,503,362.15	18,854,734.38	(4,351,372.23)	-23.08%
Vehicle Registration Late Fee	2,721,720.00	2,584,919.17	136,800.83	5.29%	10,883,759.69	18,609,870.00	(7,726,110.31)	-41.52%
Financial Responsibility	34,470.00	21,004.00	13,466.00	64.11%	169,070.00	856,690.00	(687,620.00)	-80.26%
Vehicle Property Tax	93,465,536.95	86,598,062.64	6,867,474.31	7.93%	642,501,880.71	604,783,184.72	37,718,695.99	6.24%
<b>Total Payables And Receipts</b>	<b>103,147,207.46</b>	<b>96,497,303.21</b>	<b>6,649,904.25</b>	<b>6.89%</b>	<b>698,468,264.40</b>	<b>672,419,140.49</b>	<b>26,049,123.91</b>	<b>3.87%</b>
<b>Grand Totals</b>	<b>177,500,734.89</b>	<b>170,605,247.25</b>	<b>6,895,487.64</b>	<b>4.04%</b>	<b>1,172,809,961.31</b>	<b>1,107,598,795.94</b>	<b>65,211,165.37</b>	<b>5.89%</b>



North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit B2 pg 1 of 3 - Statement of Participation Revenue**

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Primary Construction								
Local Government Participation	139,808.00	15,750.00	155,558.00	-	35,558.00	-	35,558.00	120,000.00
Property Owners Participation	-	-	-	-	-	-	-	-
Outdoor Advertising	-	6,660.00	6,660.00	600.00	6,660.00	720.00	4,680.00	-
Junkyard Control	-	265.00	265.00	-	265.00	-	255.00	-
Primary Construction	139,808.00	22,675.00	162,483.00	600.00	42,483.00	720.00	40,493.00	120,000.00
Secondary Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	8,100.00	-	8,100.00	-	-	-	14,350.00	8,100.00
Secondary Construction	8,100.00	-	8,100.00	-	-	-	14,350.00	8,100.00
Spot Safety								
Local Government Participation	11,177.00	-	11,177.00	-	-	-	-	11,177.00
Property Owners Participation	60,000.00	-	60,000.00	-	60,000.00	-	-	-
Spot Safety	71,177.00	-	71,177.00	-	60,000.00	-	-	11,177.00
Contingency								
Local Government Participation	381,594.48	(33,368.54)	348,225.94	-	23,709.96	42,949.29	50,191.92	324,515.98
Property Owners Participation	216,439.00	-	216,439.00	-	-	-	-	216,439.00
Contingency	598,033.48	(33,368.54)	564,664.94	-	23,709.96	42,949.29	50,191.92	540,954.98
Primary Maintenance								
Local Government Participation	86,585.16	(1,347.93)	85,237.23	-	32,152.07	-	1,723,786.02	53,085.16
Property Owners Participation	-	-	-	-	-	-	21,075.54	-
Damage Claims Statewide	(63,843.54)	40,340,269.75	40,276,426.21	1,692,817.88	40,340,264.75	(5,977.49)	9,083,788.98	(63,838.54)
LOGO Annual Fees State Wide	-	3,681,450.00	3,681,450.00	300,525.00	3,681,450.00	276,450.00	3,577,325.00	-
TODS Statewide	-	39,400.00	39,400.00	8,000.00	39,400.00	6,400.00	34,800.00	-
Primary Maintenance	22,741.62	44,059,771.82	44,082,513.44	2,001,342.88	44,093,266.82	276,872.51	14,440,775.54	(10,753.38)

**North Carolina Department of Transportation**  
**Highway Fund**  
**Period Ending January 31, 2021**

**Exhibit B2 pg 2 of 3 - Statement of Participation Revenue**

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Secondary Maintenance								
Local Government Participation	123,233.00	39,819.51	163,052.51	-	28,819.51	-	-	134,233.00
Property Owners Participation	65,000.00	30,000.00	95,000.00	-	-	-	-	95,000.00
Damage Claims Statewide	(7,500.00)	5,761,905.83	5,754,405.83	292,290.37	5,761,905.83	(222.48)	347,760.63	(7,500.00)
Lust Fund Match Statewide	-	-	-	-	-	-	-	-
Secondary Maintenance	180,733.00	5,831,725.34	6,012,458.34	292,290.37	5,790,725.34	(222.48)	347,760.63	221,733.00
Contract Resurfacing								
Local Government Participation	100,000.00	3,118.80	103,118.80	-	3,118.80	-	472,351.09	100,000.00
Property Owners Participation	83,732.00	-	83,732.00	-	81,232.00	-	-	2,500.00
Contract Resurfacing	183,732.00	3,118.80	186,850.80	-	84,350.80	-	472,351.09	102,500.00
Ferry								
Property Owners Participation	-	-	-	-	-	-	-	-
Department of Homeland Security	175,246.00	267,946.00	443,192.00	-	-	-	-	443,192.00
Ferry	175,246.00	267,946.00	443,192.00	-	-	-	-	443,192.00
Capital Improvements								
Local Government Participation	-	-	-	-	-	-	95,368.50	-
Capital Improvements	-	-	-	-	-	-	95,368.50	-
FHWA Construction/SPR/MP								
Local Government Participation	77,924,546.03	4,116,705.94	82,041,251.97	169,869.96	9,348,767.28	216,013.34	3,367,407.05	72,692,484.69
Property Owners Participation	7,061,719.14	1,705,979.26	8,767,698.40	66,779.80	876,327.20	74,967.02	5,837,250.55	7,891,371.20
Federal Aid	1,643,306,074.54	471,100,239.48	2,114,406,314.02	52,439,977.00	588,461,110.00	157,193,327.00	732,547,968.00	1,525,945,204.02
COVID Relief	-	258,736,904.00	258,736,904.00	-	-	-	-	258,736,904.00
GARVEE	166,505,132.00	(995,414.00)	165,509,718.00	4,724.00	23,942,779.00	-	18,375,581.00	141,566,939.00
Stimulus	-	-	-	-	-	-	-	-
FHWA Construction/SPR/MP	1,894,797,471.71	734,664,414.68	2,629,461,886.39	52,681,350.76	622,628,983.48	157,484,307.36	760,128,206.60	2,006,832,902.91
Governors' Highway Safety								
National Highway Safety Administration	34,456,537.86	15,609,712.18	50,066,250.04	693,801.00	7,737,635.00	1,342,941.00	9,656,984.00	42,328,615.04
Governor's Highway Safety	34,456,537.86	15,609,712.18	50,066,250.04	693,801.00	7,737,635.00	1,342,941.00	9,656,984.00	42,328,615.04
Rail Program								
Local Government Participation	11,597,315.05	-	11,597,315.05	-	(291,726.81)	243,901.16	439,761.20	11,889,041.86
Property Owners Participation	8,804,134.58	(380,639.46)	8,423,495.12	-	5,000,000.00	-	11,445.34	3,423,495.12
Grant Federal Rail Administration- Statewide	6,491,480.00	4,000.00	6,495,480.00	69,181.00	312,234.00	123,502.00	1,339,609.00	6,183,246.00
ARRA	-	-	-	-	-	-	-	-
Rail Program	26,892,929.63	(376,639.46)	26,516,290.17	69,181.00	5,020,507.19	367,403.16	1,790,815.54	21,495,782.98
Airport Program								
Grant Federal Aviation Adm. Statewide	32,018,404.06	27,317,797.00	59,336,201.06	990,819.00	9,179,967.00	3,033,850.00	20,279,598.00	50,156,234.06
CARES Act	2,765,000.00	1,864,980.00	4,629,980.00	7,008.00	13,001.00	-	-	4,616,979.00
Airport Program	34,783,404.06	29,182,777.00	63,966,181.06	997,827.00	9,192,968.00	3,033,850.00	20,279,598.00	54,773,213.06

**North Carolina Department of Transportation**  
**Highway Fund**  
**Period Ending January 31, 2021**

**Exhibit B2 pg 3 of 3 - Statement of Participation Revenue**

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Public Transportation								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	-	-	-	-	-	-	-	-
Federal Transit Authority ARRA	60,479,245.66	30,790,529.00	91,269,774.66	2,468,118.00	13,937,720.00	2,895,365.00	24,944,140.00	77,332,054.66
Public Transportation CARES 2020	21,765,373.00	41,410,225.00	63,175,598.00	2,655,024.00	18,191,105.00	-	-	44,984,493.00
Public Transportation	82,244,618.66	72,200,754.00	154,445,372.66	5,123,142.00	32,128,825.00	2,895,365.00	24,944,140.00	122,316,547.66
Non-System Streets								
Reimbursement of Expense Statewide	5,663,123.90	2,299,212.32	7,962,336.22	83,993.23	737,292.87	253,322.86	2,336,884.74	7,225,043.35
Non-System Streets	5,663,123.90	2,299,212.32	7,962,336.22	83,993.23	737,292.87	253,322.86	2,336,884.74	7,225,043.35
Motor Carrier Safety Grants								
FHWA	12,961,150.02	2,891,594.00	15,852,744.02	-	-	-	238,625.00	15,852,744.02
Motor Carrier Safety Grants	12,961,150.02	2,891,594.00	15,852,744.02	-	-	-	238,625.00	15,852,744.02
NC Emergency/FEMA								
Primary System Statewide	(1,150,290.81)	-	(1,150,290.81)	-	4,594,330.43	-	-	(5,744,621.24)
Secondary System Statewide	25,476,906.95	11,445.41	25,488,352.36	-	53,609,783.45	-	386,544.93	(28,121,431.09)
Urban System Statewide	-	-	-	-	-	-	-	-
Fire Prevention and Safety	525,000.00	-	525,000.00	-	-	-	-	525,000.00
Dept of Insurance Reimbursement	1,224,194.96	-	1,224,194.96	-	-	-	-	1,224,194.96
NC Emergency/FEMA	26,075,811.10	11,445.41	26,087,256.51	-	58,204,113.88	-	386,544.93	(32,116,857.37)
Division Small Urban Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	268,000.00	12,988.61	280,988.61	-	12,988.61	-	169,534.28	268,000.00
Division Small Urban Construction	268,000.00	12,988.61	280,988.61	-	12,988.61	-	169,534.28	268,000.00
Economic Development								
Local Government Match Statewide	-	-	-	-	-	-	-	-
Property Owners Participation	216,543.48	-	216,543.48	636.39	117,003.20	52,543.36	120,957.28	99,540.28
Economic Development	216,543.48	-	216,543.48	636.39	117,003.20	52,543.36	120,957.28	99,540.28
Bridge Program								
Local Government Match Statewide	-	-	-	-	-	-	-	-
Property Owners Participation	2,176,032.53	882,707.84	3,058,740.37	-	1,467,489.61	180,851.86	430,491.27	1,591,250.76
Bridge Program	2,176,032.53	882,707.84	3,058,740.37	-	1,467,489.61	180,851.86	430,491.27	1,591,250.76
Roadside Environmental								
Local Government Match Statewide	-	-	-	-	-	-	-	-
Roadside Environmental	-	-	-	-	-	-	-	-
Mobility Modern								
Local Government Match Statewide	372,200.00	1,191.76	373,391.76	-	3,391.76	-	5,000.00	370,000.00
Property Owners Participation	40,000.00	(5,774.00)	34,226.00	-	32,026.00	-	-	2,200.00
Mobility Modern	412,200.00	(4,582.24)	407,617.76	-	35,417.76	-	5,000.00	372,200.00
Total Participation Revenues	2,122,327,394.05	907,526,252.76	3,029,853,646.81	61,944,164.63	787,377,760.52	165,930,903.92	835,949,072.32	2,242,475,886.29

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit B3 pg 1 of 2 - Other Financing Sources (Uses)**

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Other Financing							
Interest Income - GARVEE Bond Proceed	23,457.84	195,389.89	708,245.54	5,881,114.79	-	-	195,389.89
GARVEE Bond Proceeds 2019	-	-	-	-	-	-	-
GARVEE Bond Premium 2019	-	-	-	-	-	-	-
GARVEE Ref Bond Proceeds 2017	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2017	-	-	-	-	-	-	-
GARVEE Bond Premium 2015	-	-	-	-	-	-	-
GARVEE Bond Premium 2012	-	-	-	-	-	-	-
GARVEE Bond Proceeds 2011	-	-	-	-	-	-	-
GARVEE Bond Premium 2011	-	-	-	-	-	-	-
GARVEE Bond Premium 2008 & 2010	-	-	-	-	-	-	-
Payment to Ref Escrow Agent	-	-	-	-	-	-	-
GARVEE Def Loss Amortization	-	-	-	-	-	-	-
Energy Savings Proceeds	-	-	-	-	-	-	-
Total Other Financing	23,457.84	195,389.89	708,245.54	5,881,114.79	-	-	195,389.89
Miscellaneous Transfers In/Out							
Governmental Transfer in HF	-	-	-	-	-	-	-
Transfers In/Out	21,935,550.59	18,556,280.28	(342,642.44)	99,947,866.92	-	-	18,556,280.28
Transfer In from Disaster Fund	-	-	-	-	-	-	-
Total Miscellaneous Transfers In/Out	21,935,550.59	18,556,280.28	(342,642.44)	99,947,866.92	-	-	18,556,280.28
Total Other Financing Sources (Uses)	21,959,008.43	18,751,670.17	365,603.10	105,828,981.71	-	-	18,751,670.17

North Carolina Department of Transportation  
Highway Fund  
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**Exhibit B3 pg 2 of 2 - Other Financing Sources (Uses)**

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Refunds	Uncollectibles	Net Revenue
<b>Other Financing</b>								
Interest Income - GARVEE Bond Proceed	23,457.84	-	-	23,457.84	195,389.89	-	-	195,389.89
GARVEE Bond Proceeds 2019	-	-	-	-	-	-	-	-
GARVEE Bond Premium 2019	-	-	-	-	-	-	-	-
GARVEE Ref Bond Proceeds 2017	-	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2017	-	-	-	-	-	-	-	-
GARVEE Bond Premium 2015	-	-	-	-	-	-	-	-
GARVEE Bond Premium 2012	-	-	-	-	-	-	-	-
GARVEE Bond Proceeds 2011	-	-	-	-	-	-	-	-
GARVEE Bond Premium 2011	-	-	-	-	-	-	-	-
GARVEE Bond Premium 2008 & 2010	-	-	-	-	-	-	-	-
Payment to Ref Escrow Agent	-	-	-	-	-	-	-	-
GARVEE Def Loss Amortization	-	-	-	-	-	-	-	-
Energy Savings Proceeds	-	-	-	-	-	-	-	-
<b>Total Other Financing</b>	<b>23,457.84</b>	<b>-</b>	<b>-</b>	<b>23,457.84</b>	<b>195,389.89</b>	<b>-</b>	<b>-</b>	<b>195,389.89</b>
<b>Miscellaneous Transfers In/Out</b>								
Governmental Transfer in HF	-	-	-	-	-	-	-	-
Transfers In/Out	21,935,550.59	-	-	21,935,550.59	18,556,280.28	-	-	18,556,280.28
Transfer In from Disaster Fund	-	-	-	-	-	-	-	-
<b>Total Miscellaneous Transfers In/Out</b>	<b>21,935,550.59</b>	<b>-</b>	<b>-</b>	<b>21,935,550.59</b>	<b>18,556,280.28</b>	<b>-</b>	<b>-</b>	<b>18,556,280.28</b>
<b>Total Other Financing Sources (Uses)</b>	<b>21,959,008.43</b>	<b>-</b>	<b>-</b>	<b>21,959,008.43</b>	<b>18,751,670.17</b>	<b>-</b>	<b>-</b>	<b>18,751,670.17</b>

North Carolina Department of Transportation  
Highway Fund  
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**Exhibit C page 1 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures**

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
<b>Current Accounts</b>								
<b>Other Expenditures</b>								
Central Administration	-	104,123,058.00	-	7,198,362.14	(37,062,202.69)	104,123,058.00	141,185,260.69	37,062,202.69
Division of Highway Administration	-	2,166,599.00	773,451.29	488,234.27	1,261,685.56	1,393,147.71	904,913.44	(488,234.27)
Division of Motor Vehicle	-	150,682,929.00	58,722,845.84	8,104,494.18	66,827,340.02	91,960,083.16	83,855,588.98	(8,104,494.18)
Operations Administration	-	35,436,330.00	13,674,289.53	2,201,770.51	15,876,060.04	21,762,040.47	19,560,269.96	(2,201,770.51)
<b>Reserves for Other Agencies</b>	-	19,318,457.00	13,503,665.73	2,448,043.05	13,661,665.73	5,814,791.27	5,656,791.27	(158,000.00)
Department of Agriculture Gas Inspection Service	-	5,695,808.00	4,271,625.00	1,423,875.00	4,271,625.00	1,424,183.00	1,424,183.00	-
Department of Revenue - Gas Tax	-	5,511,712.00	2,136,188.39	351,572.26	2,136,188.39	3,375,523.61	3,375,523.61	-
Health and Human Services - Chemical Test	-	581,675.00	436,257.00	145,419.00	436,257.00	145,418.00	145,418.00	-
AirCargo Authority	-	862,833.00	647,125.00	215,708.00	647,125.00	215,708.00	215,708.00	-
State Fire Protection Grant Fund	-	158,000.00	-	158,000.00	158,000.00	158,000.00	-	(158,000.00)
OSBM - Civil Penalty	-	-	-	-	-	-	-	-
Department of Revenue - IRP Auditors	-	244,867.00	63,775.17	9,016.11	63,775.17	181,091.83	181,091.83	-
OSC-BEST Shared Services	-	543,362.00	418,071.11	139,348.67	418,071.11	125,290.89	125,290.89	-
Governor's Office	-	-	-	-	-	-	-	-
State Ethics Commission	-	56,816.00	30,624.06	5,104.01	30,624.06	26,191.94	26,191.94	-
DIT - DIT Transfer	-	-	-	-	-	-	-	-
State Ports Authority	-	5,500,000.00	5,500,000.00	-	5,500,000.00	-	-	-
OSBM - Oversight Mgr	-	163,384.00	-	-	-	163,384.00	163,384.00	-
DPS - Hurricane Florence	-	-	-	-	-	-	-	-
DPI - Charter School	-	-	-	-	-	-	-	-
<b>Performance Energy Debt</b>	-	185,471.00	144,916.00	-	144,916.00	40,555.00	40,555.00	-
<b>Construction</b>								
Field Operations	-	-	-	1,702,917.02	(37,536,337.23)	-	37,536,337.23	37,536,337.23
<b>Reserves</b>	-	45,983,712.30	-	-	34,350,950.30	45,983,712.30	11,632,762.00	(34,350,950.30)
<b>Internal Orders *</b>	-	-	-	437,950.27	13,852,225.59	-	(13,852,225.59)	(13,852,225.59)
CO Internal Orders	-	-	-	(1,446,663.63)	5,553,986.11	-	(5,553,986.11)	(5,553,986.11)
DOT Plant Maintenance Orders	-	-	-	96,525.73	(56,350.02)	-	56,350.02	56,350.02
ECO Enhancement Program	-	-	-	1,918,011.21	9,648,216.93	-	(9,648,216.93)	(9,648,216.93)
ROW Air Space	-	-	-	(129,905.94)	(1,298,197.31)	-	1,298,197.31	1,298,197.31
PMII Networks	-	-	-	-	-	-	-	-
Facility Maintenance Orders	-	-	-	(263.04)	1,655.00	-	(1,655.00)	(1,655.00)
Transportation Mobility & Safety Division Orders	-	-	-	245.94	2,914.88	-	(2,914.88)	(2,914.88)
Quality Enhancement Orders	-	-	-	-	-	-	-	-
<b>Debt Service - GARVEE</b>	-	-	-	-	-	-	-	-
<b>TOTAL CURRENT ACCOUNTS</b>	-	357,896,556.30	86,819,168.39	22,581,771.44	71,376,303.32	271,077,387.91	286,520,252.98	15,442,865.07

\* All previous years' activity for Internal Orders is reported on Exhibit I

**North Carolina Department of Transportation**  
**Highway Fund**  
**Period Ending January 31, 2021**

**Exhibit C page 2 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures**

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
<b>Encumbrance Accounts</b>								
<b>Construction</b>								
Primary Construction	6,015,324.10	6,300,207.87	1,949,128.84	(143,859.65)	1,746,494.09	4,351,079.03	10,569,037.89	6,217,958.86
FHWA Construction	2,761,904,221.93	2,143,908,338.52	242,901,423.25	82,181,662.07	796,165,325.28	1,901,006,915.27	4,109,647,235.17	2,208,640,319.90
Motor Carrier Safety	7,740,651.11	18,210,361.41	3,459,620.00	29,759.39	(4,429,469.36)	14,750,741.41	30,380,481.88	15,629,740.47
<b>Operations &amp; Maintenance</b>								
<b>Other Construction</b>								
Secondary Construction	39,295,654.50	3,326,080.10	(731,241.87)	72,043.64	650,171.49	4,057,321.97	41,971,563.11	37,914,241.14
Urban Construction	(555.14)	-	-	-	-	-	(555.14)	(555.14)
Public Service Roads	538,126.55	(52,122.50)	(52,122.51)	121,193.36	181,243.34	0.01	304,760.71	304,760.70
Bridge Replacement	-	439,088.15	-	-	-	439,088.15	439,088.15	-
Spot Safety	32,841,819.29	12,100,000.00	(262,792.71)	267,368.32	2,902,693.63	12,362,792.71	42,039,125.66	29,676,332.95
Contingency	12,836,243.13	40,163,651.65	14,800,201.92	386,951.97	1,539,986.30	25,363,449.73	51,459,908.48	26,096,458.75
Division Small Urban Constructior	4,361,262.25	(39,980.90)	(242,585.79)	795.16	479,545.19	202,604.89	3,841,736.16	3,639,131.27
Economic Development	969,371.02	-	(197,000.00)	469.17	258,384.71	197,000.00	710,986.31	513,986.31
Mobility Modernization	79,460,128.45	1,324,371.70	(2,078,208.60)	902,371.35	7,888,151.64	3,402,580.30	72,896,348.51	69,493,768.21
<b>Maintenance</b>								
Reserve for General Maintenance	-	27,936,167.00	-	-	-	27,936,167.00	27,936,167.00	-
Primary Maintenance	71,216,568.26	310,109,669.30	171,969,479.11	23,506,899.11	104,705,501.03	138,140,190.19	276,620,736.53	138,480,546.34
Secondary Maintenance	(41,655,823.78)	300,373,232.69	191,054,762.78	17,040,738.51	107,417,285.98	109,318,469.91	151,300,122.93	41,981,653.02
Contract Resurfacing	169,444,626.97	595,753,043.00	562,300,714.36	9,204,545.51	158,627,495.51	33,452,328.64	606,570,174.45	573,117,845.81
FEMA	(323,006,141.25)	30,011,445.41	11,445.41	3,875,255.99	28,998,742.56	30,000,000.00	(321,993,438.40)	(351,993,438.40)
Bridge Program	118,547,694.76	398,243,222.02	143,583,015.42	4,869,629.78	61,710,667.99	254,660,206.60	455,080,248.79	200,420,042.19
Pavement Preservation	42,911,365.83	111,539,383.11	69,673,750.47	815,120.35	28,666,452.36	41,865,632.64	125,784,296.58	83,918,663.94
Bridge Preservation	(22,075,721.38)	108,585,122.00	56,482,193.73	2,173,961.46	28,588,588.48	52,102,928.27	57,920,812.14	5,817,883.87
Roadside Environmental	2,828,281.08	109,054,858.56	70,624,392.10	4,663,511.39	46,158,781.28	38,430,466.46	65,724,358.36	27,293,891.90
<b>Modal</b>								
Bicycle Program	-	273,189.00	-	13,061.67	84,098.74	273,189.00	189,090.26	(84,098.74)
Ferry Administration	-	1,250,392.00	-	91,069.13	674,538.48	1,250,392.00	575,853.52	(674,538.48)
Ferry Operations	9,619,667.04	49,094,270.50	42,705,805.81	2,618,589.04	25,620,385.91	6,388,464.69	33,093,551.63	26,705,086.94
Rail Administration	-	579,402.00	-	49,944.12	291,003.32	579,402.00	288,398.68	(291,003.32)
Railroad Program	67,879,168.96	57,437,172.26	38,297,036.81	2,544,079.24	20,312,626.94	19,140,135.45	105,003,714.27	85,863,578.82
Rail Equipment Overhaul	5,938,150.84	3,350,000.00	3,350,000.00	102,855.13	497,904.59	-	8,790,246.25	8,790,246.25
Aeronautics Administration	-	2,051,399.00	-	142,432.94	939,068.73	2,051,399.00	1,112,330.27	(939,068.73)
Airports Program	159,837,937.90	169,726,204.71	116,403,091.58	3,435,359.17	120,997,044.13	53,323,113.13	208,567,098.48	155,243,985.35
Public Transport Administration	-	391,125.00	-	13,109.38	171,822.83	391,125.00	219,302.17	(171,822.83)
Public Transportation	142,157,242.91	105,045,515.97	67,768,838.36	4,935,921.98	37,260,202.51	37,276,677.61	209,942,556.37	172,665,878.76
<b>Other Expenditures</b>								
Capital Improvements	31,995,994.51	4,115,850.09	833,385.91	303,489.80	3,195,609.71	3,282,464.18	32,916,234.89	29,633,770.71
Governor's Highway Safety	13,774,108.71	37,906,133.98	14,796,715.75	665,378.56	8,095,527.65	23,109,418.23	43,584,715.04	20,475,296.81
OSHA Program	391,846.24	398,408.90	190,144.38	17,538.56	65,200.37	208,264.52	725,054.77	516,790.25
Non-System Streets	3,207,128.29	2,299,212.32	2,299,212.32	144,163.24	1,209,989.66	-	4,296,350.95	4,296,350.95
State Aid to Municipalities	461,641.33	132,682,500.00	132,156,821.94	-	132,618,463.27	525,678.06	525,678.06	-
Conversion	(0.01)	-	-	-	-	-	(0.01)	(0.01)
<b>Total Encumbrance Accounts</b>	<b>3,399,435,984.41</b>	<b>4,783,886,914.82</b>	<b>1,944,047,228.77</b>	<b>165,045,408.84</b>	<b>1,724,289,528.36</b>	<b>2,839,839,686.05</b>	<b>6,459,033,370.86</b>	<b>3,619,193,684.81</b>
<b>Total Highway Fund</b>	<b>3,399,435,984.41</b>	<b>5,141,783,471.12</b>	<b>2,030,866,397.16</b>	<b>187,627,180.28</b>	<b>1,795,665,831.68</b>	<b>3,110,917,073.96</b>	<b>6,745,553,623.84</b>	<b>3,634,636,549.88</b>

Federal Aid HPR/PL/Construction Available for Obligation totals have been adjusted to the FHWA Obligation Authority in order to reflect fund availability for the federal fiscal year, beginning October 1 and ending September 30 each year.



**North Carolina Department of Transportation**  
**Highway Fund**  
**Period Ending January 31, 2021**

**Exhibit C page 3 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures**

	Previous Year			Current Year			Compared to Previous		
	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures
<b>Current Accounts</b>									
<b>Other Expenditures</b>									
Central Administration	103,439,724.00	5,921,148.96	(40,364,751.48)	104,123,058.00	7,198,362.14	(37,062,202.69)	683,334.00	1,277,213.18	3,302,548.79
Division of Highway Administration	2,342,664.00	190,702.12	1,223,582.15	2,166,599.00	488,234.27	1,261,685.56	(176,065.00)	297,532.15	38,103.41
Division of Motor Vehicle	147,091,301.00	10,095,510.46	74,456,134.77	150,682,929.00	8,104,494.18	66,827,340.02	3,591,628.00	(1,991,016.28)	(7,628,794.75)
Operations Administration	35,208,649.00	1,984,165.74	15,238,056.78	35,436,330.00	2,201,770.51	15,876,060.04	227,681.00	217,604.77	638,003.26
<b>Reserves for Other Agencies</b>	19,212,426.00	2,380,853.60	7,905,428.92	19,318,457.00	2,448,043.05	13,661,665.73	106,031.00	67,189.45	5,756,236.81
Department of Agriculture Gas Inspection Services	5,539,856.00	1,384,964.00	4,154,892.00	5,695,808.00	1,423,875.00	4,271,625.00	155,952.00	38,911.00	116,733.00
Department of Revenue - Gas Tax	5,204,446.00	352,201.47	2,071,198.70	5,511,712.00	351,572.26	2,136,188.39	307,266.00	(629.21)	64,989.69
Health and Human Services - Chemical Test	581,675.00	145,773.00	437,319.00	581,675.00	145,419.00	436,257.00	-	(354.00)	(1,062.00)
AirCargo Authority	1,408,000.00	187,500.00	562,500.00	862,833.00	215,708.00	647,125.00	(545,167.00)	28,208.00	84,625.00
State Fire Protection Grant Fund	158,000.00	158,000.00	158,000.00	158,000.00	158,000.00	158,000.00	-	-	-
OSBM - Civil Penalty	-	-	-	-	-	-	-	-	-
Department of Revenue - IRP Auditors	238,225.00	13,285.69	100,701.39	244,867.00	9,016.11	63,775.17	6,642.00	(4,269.58)	(36,926.22)
OSC-BEST Shared Services	525,408.00	134,226.13	402,856.79	543,362.00	139,348.67	418,071.11	17,954.00	5,122.54	15,214.32
Governor's Office	-	-	-	-	-	-	-	-	-
State Ethics Commission	56,816.00	4,903.31	17,961.04	56,816.00	5,104.01	30,624.06	-	200.70	12,663.02
DIT - DIT Transfer	-	-	-	-	-	-	-	-	-
State Ports Authority	5,500,000.00	-	-	5,500,000.00	-	5,500,000.00	-	-	5,500,000.00
OSBM - Oversight Mgr	-	-	-	163,384.00	-	-	163,384.00	-	-
DPS - Hurricane Florence	-	-	-	-	-	-	-	-	-
DPI - Charter School	-	-	-	-	-	-	-	-	-
<b>Performance Energy Debt</b>	275,225.00	(49,361.00)	93,638.00	185,471.00	-	144,916.00	(89,754.00)	49,361.00	51,278.00
<b>Construction</b>									
Field Operations	-	2,232,312.44	(36,830,969.70)	-	1,702,917.02	(37,536,337.23)	-	(529,395.42)	(705,367.53)
<b>Reserves</b>	60,520,013.53	-	53,046,063.53	45,983,712.30	-	34,350,950.30	(14,536,301.23)	-	(18,695,113.23)
<b>Internal Orders</b>									
CO Internal Orders	-	(2,255,095.16)	15,484,543.01	-	437,950.27	13,852,225.59	-	2,693,045.43	(1,632,317.42)
DOT Plant Maintenance Orders	-	(1,866,944.08)	(2,837,301.00)	-	(1,446,663.63)	5,553,986.11	-	420,280.45	8,391,287.11
ECO Enhancement Program	-	77,360.65	108,978.35	-	96,525.73	(56,350.02)	-	19,165.08	(165,328.37)
ROW Air Space	-	22,374.24	17,809,149.42	-	1,918,011.21	9,648,216.93	-	1,895,636.97	(8,160,932.49)
PMII Networks	-	(463,022.91)	371,803.18	-	(129,905.94)	(1,298,197.31)	-	333,116.97	(1,670,000.49)
Facility Maintenance Orders	-	-	-	-	-	-	-	-	-
Transportation Mobility & Safety Division Orders	-	(678.89)	-	-	(263.04)	1,655.00	-	415.85	1,655.00
Quality Enhancement Orders	-	(22,299.55)	25,808.64	-	245.94	2,914.88	-	22,545.49	(22,893.76)
Debt Service - GARVEE	-	(1,884.62)	6,104.42	-	-	-	-	1,884.62	(6,104.42)
<b>Total Current Accounts</b>	368,090,002.53	20,500,237.16	90,251,725.98	357,896,556.30	22,581,771.44	71,376,303.32	(10,193,446.23)	2,081,534.28	(18,875,422.66)

**North Carolina Department of Transportation**  
**Highway Fund**  
**Period Ending January 31, 2021**

**Exhibit C page 4 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures**

	Previous Year			Current Year			Compared to Previous		
	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures
<b>Encumbrance Accounts</b>									
<b>Construction</b>									
Primary Construction	6,439,712.18	(3,452,739.28)	(1,662,115.58)	6,300,207.87	(143,859.65)	1,746,494.09	(139,504.31)	3,308,879.63	3,408,609.67
FHWA Construction	2,934,860,661.01	126,687,241.90	969,417,682.82	2,143,908,338.52	82,181,662.07	796,165,325.28	(790,952,322.49)	(44,505,579.83)	(173,252,357.54)
Motor Carrier Safety	18,066,251.43	55,039.16	333,514.88	18,210,361.41	29,759.39	(4,429,469.36)	144,109.98	(25,279.77)	(4,762,984.24)
<b>Operations &amp; Maintenance</b>									
<b>Other Construction</b>									
Secondary Construction	11,973,563.37	128,143.53	1,723,358.56	3,326,080.10	72,043.64	650,171.49	(8,647,483.27)	(56,099.89)	(1,073,187.07)
Urban Construction	-	-	-	-	-	-	-	-	-
Public Service Roads	(19,269.29)	30.05	79,738.92	(52,122.50)	121,193.36	181,243.34	(32,853.21)	121,163.31	101,504.42
Bridge Replacement	439,088.15	-	-	439,088.15	-	-	-	-	-
Spot Safety	12,111,177.00	542,989.54	4,708,762.56	12,100,000.00	267,368.32	2,902,693.63	(11,177.00)	(275,621.22)	(1,806,068.93)
Contingency	27,978,714.21	762,334.59	5,946,378.15	40,163,651.65	386,951.97	1,539,986.30	12,184,937.44	(375,382.62)	(4,406,391.85)
Division Small Urban Construction	(70,297.26)	3,755.82	553,625.75	(39,980.90)	795.16	479,545.19	30,316.36	(2,960.66)	(74,080.56)
Economic Development	-	296,133.25	821,769.25	-	469.17	258,384.71	-	(295,664.08)	(563,384.55)
Mobility Modernization	35,443,078.00	2,574,109.26	15,914,828.73	1,324,371.70	902,371.35	7,888,151.64	(34,118,706.30)	(1,671,737.91)	(8,026,677.09)
<b>Maintenance</b>									
Reserve for General Maintenance	222,012,566.00	-	-	27,936,167.00	-	-	(194,076,399.00)	-	-
Primary Maintenance	193,796,626.22	18,250,421.58	112,202,881.73	310,109,669.30	23,506,899.11	104,705,501.03	116,313,043.08	5,256,477.53	(7,497,380.70)
Secondary Maintenance	166,236,872.54	17,859,772.37	128,652,163.75	300,373,232.69	17,040,738.51	107,417,285.98	134,136,360.15	(819,033.86)	(21,234,877.77)
Contract Resurfacing	545,167,893.47	25,078,266.33	346,258,457.54	595,753,043.00	9,204,545.51	158,627,495.51	50,585,149.53	(15,873,720.82)	(187,630,962.02)
FEMA	37,224,194.96	4,437,541.61	40,085,347.60	30,011,445.41	3,875,255.99	28,998,742.56	(7,212,749.55)	(562,285.62)	(11,086,605.04)
Bridge Program	320,500,329.70	19,263,090.15	148,245,110.91	398,243,222.02	4,869,629.78	61,710,667.99	77,742,892.32	(14,393,460.37)	(86,534,442.92)
Pavement Preservation	106,022,346.15	1,436,359.86	51,002,496.84	111,539,383.11	815,120.35	28,666,452.36	5,517,036.96	(621,239.51)	(22,336,044.48)
Bridge Preservation	80,423,298.00	8,524,721.80	47,181,223.75	108,585,122.00	2,173,961.46	28,588,588.48	28,161,824.00	(6,350,760.34)	(18,592,635.27)
Roadside Environmental	101,433,654.15	4,895,813.34	62,164,500.56	109,054,858.56	4,663,511.39	46,158,781.28	7,621,204.41	(232,301.95)	(16,005,719.27)
<b>Modal</b>									
Bicycle Program	309,421.00	23,253.87	167,683.39	273,189.00	13,061.67	84,098.74	(36,232.00)	(10,192.20)	(83,584.65)
Ferry Administration	1,451,825.00	136,518.12	738,141.44	1,250,392.00	91,069.13	674,538.48	(201,433.00)	(45,448.99)	(63,602.96)
Ferry Operations	49,824,290.00	5,944,692.71	34,012,153.28	49,094,270.50	2,618,589.04	25,620,385.91	(730,019.50)	(3,326,103.67)	(8,391,767.37)
Rail Administration	640,520.00	47,513.53	300,316.62	579,402.00	49,944.12	291,003.32	(61,118.00)	2,430.59	(9,313.30)
Railroad Program	48,853,401.34	3,627,953.94	18,549,044.99	57,437,172.26	2,544,079.24	20,312,626.94	8,583,770.92	(1,083,874.69)	1,763,581.95
Rail Equipment Overhaul	3,350,000.00	109,472.40	1,021,426.86	3,350,000.00	102,855.13	497,904.59	-	(6,617.27)	(523,522.27)
Aeronautics Administration	2,180,101.00	148,368.58	1,066,529.69	2,051,399.00	142,432.94	939,068.73	(128,702.00)	(5,935.64)	(127,460.96)
Airports Program	160,838,195.83	7,141,900.02	51,646,382.33	169,726,204.71	3,435,359.17	120,997,044.13	8,888,008.88	(3,706,540.85)	69,350,661.80
Public Transport Administration	566,432.00	14,509.01	168,627.05	391,125.00	13,109.38	171,822.83	(175,307.00)	(1,399.63)	3,195.78
Public Transportation	141,218,349.91	(7,611,693.72)	46,800,053.61	105,045,515.97	4,935,921.98	37,260,202.51	(36,172,833.94)	12,547,615.70	(9,539,851.10)
<b>Other Expenditures</b>									
Capital Improvements	8,598,141.62	1,763,571.44	11,426,811.48	4,115,850.09	303,489.80	3,195,609.71	(4,482,291.53)	(1,460,081.64)	(8,231,201.77)
Governor's Highway Safety	24,411,205.17	895,878.01	9,353,335.67	37,906,133.98	665,378.56	8,095,527.65	13,494,928.81	(230,499.45)	(1,257,808.02)
OSHA Program	358,099.19	11,054.41	95,030.24	398,408.90	17,538.56	65,200.37	40,309.71	6,484.15	(29,829.86)
Non-System Streets	2,223,110.82	291,837.11	2,085,687.46	2,299,212.32	144,163.24	1,209,989.66	76,101.50	(147,673.87)	(875,697.80)
State Aid to Municipalities	147,500,000.00	-	147,524,842.40	132,682,500.00	-	132,618,463.27	(14,817,500.00)	-	(14,906,379.13)
<b>Total Encumbrance Accounts</b>	<b>5,412,363,552.87</b>	<b>239,887,854.27</b>	<b>2,258,585,793.24</b>	<b>4,783,886,914.82</b>	<b>165,045,408.84</b>	<b>1,724,289,528.36</b>	<b>(628,476,638.05)</b>	<b>(74,842,445.43)</b>	<b>(534,296,264.87)</b>
<b>Total Highway Fund</b>	<b>5,780,453,555.40</b>	<b>260,388,091.43</b>	<b>2,348,837,519.22</b>	<b>5,141,783,471.12</b>	<b>187,627,180.28</b>	<b>1,795,665,831.68</b>	<b>(638,670,084.28)</b>	<b>(72,760,911.15)</b>	<b>(553,171,687.53)</b>

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit D - Statement of Cash Flow From Future Years**

	2021-2022	2022-2023	2023-2024	2024-2025	TOTAL
	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract	
<b>System Type</b>					
Aviation	-	-	-	-	-
Bridge Program	-	-	-	-	-
Contract Resurfacing	186,739,529.00	-	-	-	186,739,529.00
Pavement Preservation	15,000,000.00	-	-	-	15,000,000.00
Roadside Environmental	20,000,000.00	-	-	-	20,000,000.00
Primary Maintenance	-	-	-	-	-
Secondary Maintenance	220,194,866.00	-	-	-	220,194,866.00
<b>Total</b>	<b>441,934,395.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>441,934,395.00</b>

**North Carolina Department of Transportation**  
**Highway Fund**  
**Period Ending January 31, 2021**

**Exhibit E - Statement of Revenues and Expenses for Equipment Fund**

	Previous Year		Current Year		Current Compared to Previous	
	Current Period	Year to Date	Current Period	Year to Date	Current Period	Year to Date
<b>Equipment Gain (Loss) Brought Forward from Prior Year</b>	-	45,699,635.35	-	26,797,119.70	-	(18,902,515.65)
<b>Funds Provided During Current Year</b>						
Miscellaneous Receipts	392,290.78	2,047,438.40	72,648.53	1,588,654.46	(319,642.25)	(458,783.94)
Outside Agency Revenue	401,365.96	2,784,695.50	207,374.35	1,620,600.12	(193,991.61)	(1,164,095.38)
Equipment Rental Recovery	7,882,929.04	71,399,150.04	7,788,514.78	56,152,697.05	(94,414.26)	(15,246,452.99)
<b>Total Funds Provided</b>	8,676,585.78	76,231,283.94	8,068,537.66	59,361,951.63	(608,048.12)	(16,869,332.31)
<b>Funds Applied During the Current Year</b>						
Personnel	4,290,666.83	31,875,262.17	4,251,344.26	31,351,919.82	(39,322.57)	(523,342.35)
General Operating Expense	2,188,659.97	18,353,791.25	2,069,344.96	13,616,826.17	(119,315.01)	(4,736,965.08)
Internal Vehicle Repair Parts	35,458.47	88,451.67	3,264.74	(109,974.82)	(32,193.73)	(198,426.49)
Equipment Rent	1,452,640.82	9,245,454.18	1,198,651.71	6,733,711.05	(253,989.11)	(2,511,743.13)
General Supplies and Materials	205,705.47	706,677.62	158,092.34	707,859.35	(47,613.13)	1,181.73
Motor Fuel	385,339.40	2,647,897.14	213,711.49	1,509,848.76	(171,627.91)	(1,138,048.38)
Purchase of Heavy Equipment	2,280,534.41	29,619,142.14	1,865,182.00	2,463,573.28	(415,352.41)	(27,155,568.86)
<b>Total Expenditures</b>	10,839,005.37	92,536,676.17	9,759,591.50	56,273,763.61	(1,079,413.87)	(36,262,912.56)
<b>Equipment Balance as of January 31, 2021</b>	<u>(2,162,419.59)</u>	<u>29,394,243.12</u>	<u>(1,691,053.84)</u>	<u>29,885,307.72</u>	<u>471,365.75</u>	<u>491,064.60</u>

North Carolina Department of Transportation  
Highway Fund  
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**Exhibit F - Statement of Federal Forward Funding**

	Fiscal Year					TOTAL
	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	
Federal Forward Funding						
Interstate Maintenance	70,716,058.00	-	-	-	-	70,716,058.00
National Highway System	54,791,284.00	-	-	-	-	54,791,284.00
Surface Transportation	338,080,111.00	-	-	-	-	338,080,111.00
Bridge Replacement	1,375,600.00	-	-	-	-	1,375,600.00
CMAQ	10,390,387.00	-	-	-	-	10,390,387.00
Planning and Research	17,283,184.00	-	-	-	-	17,283,184.00
High Priority	-	-	-	-	-	-
Highway Safety Improvement	95,799,477.00	-	-	-	-	95,799,477.00
Transportation Alternatives	4,573,153.00	-	-	-	-	4,573,153.00
National Highway Freight	4,985.00	-	-	-	-	4,985.00
National Highway Performance	1,351,767,167.00	63,265,677.00	43,668,671.00	27,678,404.00	128,208,495.00	1,614,588,414.00
Total	1,944,781,406.00	63,265,677.00	43,668,671.00	27,678,404.00	128,208,495.00	2,207,602,653.00

Note: Federal cash flow is converted throughout the federal fiscal year which begins October 1 and ends September 30 of each year.

**North Carolina Department of Transportation**  
**Highway Fund**  
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**Exhibit G1 - GARVEE Bond Projects by Category**

	Interstate Maintenance	National Highway System	Surface Transportation Program	National Highway Performance	Total
<b>Fiscal Year</b>					
2020-2021	68,882,139.00	137,271,277.00	32,566,079.00	221,492,115.00	460,211,610.00
2021-2022	11,811,417.00	17,862,177.00	4,840,573.00	96,670,394.00	131,184,561.00
2022-2023	6,947,856.00	-	2,537,502.00	97,421,919.00	106,907,277.00
2023-2024	-	-	4,207,788.00	97,421,907.00	101,629,695.00
2024-2025	-	-	4,636,266.00	97,216,917.00	101,853,183.00
2025-2026	-	-	4,636,266.00	71,348,478.00	75,984,744.00
2026-2027	-	-	4,636,266.00	61,247,659.00	65,883,925.00
2027-2028	-	-	4,636,266.00	61,438,779.00	66,075,045.00
2028-2029	-	-	4,636,268.00	61,438,791.00	66,075,059.00
2029-2030	-	-	4,636,269.00	48,568,786.00	53,205,055.00
2030-2031	-	-	4,636,269.00	46,080,787.00	50,717,056.00
2031-2032	-	-	4,636,269.00	46,080,789.00	50,717,058.00
2032-2033	-	-	4,636,269.00	29,864,178.00	34,500,447.00
2033-2034	-	-	2,660,001.00	18,605,477.00	21,265,478.00
2034-2035	-	-	-	2,562,713.00	2,562,713.00
<b>Total</b>	<b>87,641,412.00</b>	<b>155,133,454.00</b>	<b>88,538,351.00</b>	<b>1,057,459,689.00</b>	<b>1,388,772,906.00</b>

Note: GARVEE Federal Forward Funding is Converted as the Debt Becomes Due Based on the Debt Service Schedule.

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit G2 pg 1 of 2 - Statement of Allotments, Expenditures, and Receipts for GARVEE Bond Projects**

Division	WBS	Description	Allotments**	Expenditures		Unexpended Allotments	Trustee Reimbursement		FHWA Reimbursement	
				Current Period	Inception to Date ****		Current Period	Inception to Date	Current Period	Inception to Date
1	40197.3.GV1	I-95 in Northampton County, pavement rehabilitation	4,031,576.00	-	3,878,488.80	153,087.20	-	2,660,037.00	-	3,152,323.00
1	41470.3.GV1	Repair Bonner Bridge (#11) over Oregon Inlet on NC 12	21,142,774.00	-	18,517,457.02	2,625,316.98	-	11,726,343.00	-	12,270,762.00
1	47978.3.GV1	Construction US17 (Future I-87) Pasquotank Co.	7,750,458.00	243.29	5,354.04	7,745,103.96	1,323.00	3,325.00	-	11.00
2	34360.2.4	R/W US70 Havelock Bypass	6,156,666.00	14,586.87	516,711.79	5,639,954.21	10,940.00	2,741,336.00	-	-
2	34360.2.5	Utility US70 Havelock Bypass	3,533,334.00	-	1,785,810.13	1,747,523.87	-	2,200,000.00	-	-
2	34360.3.GV4	US 70 Havelock Bypass	178,589,057.00	3,503,470.54	54,162,886.37	124,426,170.63	1,389,607.00	30,297,798.00	-	4,195,247.00
2	34440.2.GV1	US 17 in Beaufort County	5,384,000.00	-	4,853,143.03	530,856.97	-	3,223,200.00	-	3,511,623.00
2	34414.3.GV2	US 17 New Bern Bypass in Craven County	55,905,821.00	-	53,633,571.54	2,272,249.46	-	31,118,939.00	-	32,750,623.00
2	47981.3.GV1	I-6032 - CON - US 264 (FUTURE I-587), Greene County	7,155,000.00	521,496.51	6,085,426.20	1,069,573.80	232,375.00	3,961,485.00	-	83,097.00
2	47984.3.GV1	I-6035 - US 264/US 258 (FUTURE I-587), Pitt County	21,950,000.00	-	17,780,161.85	4,169,838.15	-	11,446,093.00	-	552,667.00
3	41154.3.GV1	I-40 in Sampson County, pavement rehabilitation	12,068,426.00	-	10,315,817.74	1,752,608.26	-	7,066,882.00	-	7,617,494.00
3	34491.3.GV2	Wilmington Bypass	51,210,000.00	-	48,463,139.45	2,746,860.55	-	31,050,000.00	-	31,691,032.00
3	34491.3.GVS3	Wilmington Bypass	86,463,856.00	-	90,461,972.24	(3,998,116.24)	-	50,000,000.00	-	15,302,130.00
3	34491.3.GVS4	Wilmington Bypass	168,880,929.00	-	155,557,580.94	13,323,348.06	-	95,271,229.00	-	26,974,484.00
3	45883.3.GV1	I-40 Construction in Duplin County	43,289,602.00	431,727.60	12,161,384.54	31,128,217.46	323,796.00	8,931,827.00	-	252,027.00
4	34461.3.GV1	* US 70 Goldsboro Bypass in Wayne County	100,718,810.00	-	89,152,725.49	11,566,084.51	-	68,152,567.00	-	71,318,251.00
4	34509.2.GV1	Rocky Mount Northern Connector in Nash County	44,428,338.00	-	43,968,294.77	460,043.23	-	26,889,105.00	-	27,434,678.00
4	34509.3.GV1	Rocky Mount Northern Connector in Nash County	7,295,000.00	-	11,480,503.58	(4,185,503.58)	-	4,430,000.00	-	4,562,629.00
4	47993.3.GV1	I-6044 - CON - I-42/US 70, Johnston County	11,136,945.00	-	7,647,008.05	3,489,936.95	-	5,038,823.00	-	319,904.00
4	47996.3.GV1	I-6047 - CON - I-795/SR2075, Wayne County	7,885,838.00	-	5,910,181.96	1,975,656.04	-	3,775,252.00	-	60,994.00
5	50118.3.GV1	I-5700 CON- Wake Co. I-40 SR3015 Airport Blvd.	19,515,060.00	-	14,234,177.27	5,280,882.73	-	10,000,000.00	-	225,569.00
5	34506.3.GV12	US401 From NC96 to SR1103	53,471,000.00	983,638.98	26,719,239.42	26,751,760.58	737,721.00	20,918,866.00	-	6,494,501.00
5	36597.3.GV2	I-4744-Con-I-40	69,709,948.00	-	68,205,733.14	1,504,214.86	-	40,418,572.00	-	40,198,012.00
5	37490.3.GV1	I-85 in Vance County, pavement rehabilitation	47,090,340.00	-	37,599,894.52	9,490,445.48	-	26,686,187.00	-	27,951,623.00
5	39943.3.GV1	I-85 in Vance Co. & Warren Co. pavement rehabilitation	12,131,054.00	-	10,649,778.82	1,481,275.18	-	8,503,933.00	-	9,237,547.00
5	38722.3.GV1	I-40 in Wake County, pavement rehabilitation	14,303,553.00	-	12,122,922.00	2,180,631.00	-	8,480,654.00	-	9,147,542.00
5	34506.2.GV1	US 401 Rolesville Bypass	31,113,990.00	-	37,537,587.43	(6,423,597.43)	-	18,363,930.00	-	17,800,420.00
5	34506.3.GV2	US 401 Rolesville Bypass	35,973,929.00	-	31,994,061.51	3,979,867.49	-	9,703,570.00	-	10,221,573.00
5	35869.3.1	I-440/US1 S. of Walnut St. to N. of SR1728 Wade Ave.	53,177,863.00	-	39,774,274.00	13,403,589.00	-	29,830,705.00	-	-
5	42541.3.GV1	I-540 & I-40 in Wake County, pavement rehabilitation	8,734,936.00	-	8,448,687.59	286,248.41	-	6,241,359.00	-	6,090,422.00
5	50124.2.GV1	I-5708 - ROW - I-440/US 1, Wake County	1,756,232.00	1,524.11	1,251,449.39	504,782.61	1,170.00	209,460.00	-	179,489.00
6	34817.3.3GV6	I-95 to South of SR1118 (Parkton Rd.) in Robeson Co.	179,857,000.00	2,642,108.93	70,545,410.52	109,311,589.48	1,976,236.00	59,108,689.00	-	17,835,454.00
6	38724.3.GV1	I-95 in Robeson County, pavement rehabilitation	18,726,000.00	-	19,326,570.56	(600,570.56)	-	12,308,401.00	-	13,984,311.00
6	39994.3.GV1	I-95 from Cumberland to Johnston Co. line	4,840,236.00	-	4,344,551.19	495,684.81	-	2,861,939.00	-	3,200,283.00
6	39995.3.GV1	I-95 in Robeson County, pavement rehabilitation	12,895,293.00	-	11,275,397.98	1,619,895.02	-	8,443,764.00	-	8,859,763.00
6	39996.3.GV1	I-95 from N. of 295 to Harnett Co. line	4,761,958.00	-	4,116,461.31	645,496.69	-	2,766,042.00	-	3,040,393.00
6	40222.3.GV1	I-95 in Cumberland County, pavement rehabilitation	11,259,183.55	-	9,808,893.81	1,450,289.74	-	6,978,206.00	-	7,634,038.00
7	38726.3.GV1	I-40/85 in Alamance County, pavement rehabilitation	6,233,000.00	-	5,548,225.69	684,774.31	-	3,677,110.00	-	4,113,005.00
7	38727.3.GV1	I-40/85 in Guilford County, pavement rehabilitation	11,141,183.00	-	10,807,508.37	333,674.63	-	6,502,573.00	-	6,894,169.00
7	40248.3.GV1	I-40/85 Alamance & Orange Co. pavement rehabilitation	15,253,033.00	-	13,577,799.96	1,675,233.04	-	9,971,405.00	-	10,577,777.00
7	34901.3.GV2	SR1311 Cook Rd. SR1309 to NC100	19,180,316.73	-	21,568,841.73	(2,388,525.00)	-	10,543,946.00	4,724.00	11,201,119.00
7	34820.3.GVS5	Greensboro Western Loop	173,087,610.00	-	171,915,323.78	1,172,286.22	-	97,734,489.00	-	26,252,687.00
7	50401.3.GV1	I-40/85 in Alamance Co. at SR 1007 Mebane Oaks Rd	3,246,667.00	-	574.67	3,246,092.33	-	-	-	-
8	34480.3.GV1	US 311 ( Future I-74) in Randolph County	132,854,997.00	-	116,700,194.95	16,154,802.05	-	79,960,000.45	-	80,846,068.00
8	34480.2.GV1	US 311 ( Future I-74) in Randolph County	18,862,382.00	-	14,273,483.50	4,588,898.50	-	9,400,000.00	-	10,868,902.00
9	33185.3.GV1	I-40 / NC 801 Interchange in Davie County	15,644,794.00	-	14,587,358.94	1,057,435.06	-	10,094,181.00	-	10,985,684.00
9	34183.3.GV1	I-40 in Davie County, pavement rehabilitation	20,874,245.00	-	19,878,703.66	995,541.34	-	14,574,820.00	-	15,750,110.00
9	38730.3.GV1	I-85 in Rowan County, pavement rehabilitation	4,439,663.00	-	3,730,208.58	709,454.42	-	2,882,022.00	-	3,282,365.00
9	34156.2.1GV2	I-85 in Rowan County	12,215,363.00	-	11,383,533.31	831,829.69	-	5,775,654.00	-	6,146,458.00
9	34156.2.2GV2	I-85 in Davidson County	8,771,704.67	-	8,440,771.40	330,933.27	-	4,739,443.00	-	4,875,837.00
9	34156.3.GV2	I-85 in Rowan /Davidson Counties	171,633,298.00	-	192,316,722.89	(20,683,424.89)	-	111,660,275.00	-	114,736,673.00
9	34409.3.GV17	Winston Salem N. Beltway Interchange at US52	121,525,000.00	2,407,152.64	74,052,612.17	47,472,387.83	155,406.00	54,345,615.00	-	14,307,377.00
9	34839.3.GVS4	US 158 TO I-40 BUS/US 421/ Forsyth Co.	111,887,218.00	-	117,942,662.26	(6,055,444.26)	-	56,602,129.89	-	12,891,921.00
9	34839.2.GV16	Future I-74 From US 158 to US 311	11,974,921.00	-	5,844,781.62	6,130,139.38	-	6,500,000.00	-	4,063,282.00
9	34839.3.GV7	FUTURE I-74 From US 311 to SR2211	165,274,000.00	1,805,375.14	93,328,851.41	71,945,148.59	243,009.00	69,567,148.00	-	18,087,288.00
9	53061.3.GV1	I-85 in Rowan County	16,493,334.00	632,097.86	10,788,812.87	5,704,521.13	474,075.00	8,252,035.00	-	986,420.00



North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit G2 pg 2 of 2 - Statement of Allotments, Expenditures, and Receipts for GARVEE Bond Projects**

Division	WBS	Description	Allotments**	Expenditures		Unexpended Allotments	Trustee Reimbursement		FHWA Reimbursement	
				Current Period	Inception to Date ****		Current Period	Inception to Date	Current Period	Inception to Date
10	34187.3.GV2	I-85 in Mecklenburg Co. to Cabarrus	110,597,225.00	-	78,402,697.78	32,194,527.22	-	62,174,722.73	-	46,794,152.00
10	34379.3.GV2	Charlotte Eastern Outer Loop	68,171,887.50	-	40,314,306.42	27,857,581.08	-	38,745,528.00	-	29,161,086.00
10	34410.3.GV2	I-485 Charlotte Outer Loop	22,342,130.00	-	54,220,250.97	(31,878,120.97)	-	12,466,440.00	-	9,382,487.00
10	34749.2.GV2	US-74 ROW Independence Blvd.	74,170,624.00	-	117,612,501.42	(43,441,877.42)	-	38,542,169.64	-	38,323,644.00
10	34749.3.GV4	US-74 Const. Independence Blvd.	3,986,600.00	-	9,241,001.79	(5,254,401.79)	-	2,000,000.00	-	1,505,259.00
10	38732.3.GV1	I-77 in Mecklenburg County, pavement rehabilitation	3,654,756.00	-	3,303,845.54	350,910.46	-	2,302,835.00	-	2,680,981.00
10	41453.3.GV1	I-85 in Mecklenburg County, pavement rehabilitation	1,518,861.00	-	1,301,247.15	217,613.85	-	957,414.00	-	1,143,839.00
10	45887.3.GV1	I-485 Const. from milemarker 43-49 Mecklenburg Co.	11,307,766.00	71,775.89	3,586,635.28	7,721,130.72	53,832.00	2,687,670.00	-	2,406.00
10	50469.3.GV1	I-85 in Mecklenburg County, pavement rehabilitation	3,246,667.00	91,225.64	1,184,874.91	2,061,792.09	68,420.00	888,634.00	-	-
10	53019.3.GV1	I-77 from I-277 to .7 mile North of NC27, Mecklenburg C	4,927,975.00	-	4,560,787.12	367,187.88	-	3,038,925.00	-	563.00
11	41452.3.GV1	I-74 in Surry County, pavement rehabilitation	6,859,430.00	-	6,809,122.14	50,307.86	-	4,529,806.00	-	5,129,678.00
11	34173.2.GV1***	I-77 in Yadkin County, pavement rehabilitation	50,139,751.00	-	51,260,636.58	(1,120,885.58)	-	33,895,501.00	-	38,340,727.00
12	34192.2.GV4	I-40/I-77 Interchange, Iredell Co.	71,292,313.00	-	79,917,750.09	(8,625,437.09)	-	43,954,679.00	-	33,081,654.00
12	35993.2.GV6	US-70 in Hickory to US-321 ROW Catawba Co.	-	-	1,332,213.95	(1,332,213.95)	-	-	-	-
12	38735.3.GV1	I-77 in Iredell County, pavement rehabilitation	14,261,189.00	-	13,020,747.25	1,240,441.75	-	9,427,070.00	-	10,365,011.00
12	41455.3.GV1	I-40 in Iredell County, pavement rehabilitation	28,901,746.00	-	25,891,095.97	3,010,650.03	-	19,951,104.00	-	21,509,870.00
12	41471.3.GV1	I-85 in Gaston County, pavement rehabilitation	4,453,643.00	-	2,456,093.20	1,997,549.80	-	1,638,862.00	-	1,901,109.00
12	41865.3.GV1	I-77 in Iredell County, pavement rehabilitation	5,920,000.00	-	5,683,431.54	236,568.46	-	3,832,288.00	-	4,150,687.00
13	36030.3.GV4	I-26 from NC 280 to I-40 Buncombe County	122,931,788.00	2,398,284.96	68,219,701.72	54,712,086.28	1,770,094.00	51,269,462.00	-	2,175,875.00
13	39970.2.GV1	Buncombe County, I-4759 - R/W - I-40	1,648,063.00	-	325,255.39	1,322,807.61	-	162,451.00	-	118,369.00
13	39972.3.GV1	I-40 in McDowell County, pavement rehabilitation	15,067,671.00	-	12,727,584.24	2,340,086.76	-	8,577,951.00	-	9,258,661.00
13	42298.3.GV1	I-40 in Buncombe County, pavement rehabilitation	6,915,737.00	-	6,878,771.21	36,965.79	-	4,671,314.00	-	4,657,711.00
13	42344.3.GV1	I-40 in Buncombe County, pavement rehabilitation	9,102,844.00	-	8,542,940.42	559,903.58	-	5,531,328.00	-	5,777,401.00
13	48755.3.GV1	I-40 in Buncombe County, pavement rehabilitation	8,101,667.00	23,117.61	2,649,836.29	5,451,830.71	17,339.00	1,990,264.00	-	694.00
14	34232.3.5	I-26 in Henderson County, Con. From US25 to NC280	84,374,598.00	108,226.44	27,086,541.86	57,288,056.14	80,942.00	20,059,907.00	-	451,357.00
14	34232.3.GV8	I-26 in Henderson County, Construction	74,792,586.00	177,335.15	40,402,565.30	34,390,020.70	133,429.00	29,658,836.00	-	807,004.00
14	39997.3.GV1	I-40 in Haywood County, pavement rehabilitation	19,611,954.00	-	17,657,403.21	1,954,550.79	-	12,458,220.00	-	13,467,077.00
14	41454.3.GV1	I-40 in Haywood County, pavement rehabilitation	12,083,333.00	-	11,384,174.98	699,158.02	-	8,368,723.00	-	9,292,195.00
14	41456.3.GV1	I-26 in Henderson County, pavement rehabilitation	11,190,904.00	-	11,781,327.30	(590,423.30)	-	7,920,000.00	-	8,606,967.00
<b>TOTAL</b>			<b>3,316,460,443.45</b>	<b>15,813,388.16</b>	<b>2,658,735,022.77</b>	<b>657,725,420.68</b>	<b>7,669,714.00</b>	<b>1,666,293,468.71</b>	<b>4,724.00</b>	<b>1,079,104,522.00</b>

\*WBS created / No Funding

\*\*Allotment Includes Estimated Debt Service Expense

\*\*\*Division 11 WBS 34173.2.GV1: \$4,078.00 Used Directly from Trustee for Bond Payment and not routed through DOT

\*\*\*\*Inception to Date Expenditures shown only for those split & tagged to a funding source

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit G3 - Summary of GARVEE Bond Fund Availability and Allocations**

Description	2015 Issue	2017 Issue *	2019 Issue	Total (All Issues)
<b>GARVEE Bond Proceeds Available</b>				
Bond Funds Available	264,930,000.00	224,640,000.00	600,000,000.00	1,089,570,000.00
Bond Premium Proceeds	35,611,227.35	28,508,388.95	119,044,306.50	183,163,922.80
Less: Total Underwriter's Discount	(776,359.86)	(613,003.00)	(1,516,133.07)	(2,905,495.93)
Less: Issuance Costs	(562,011.27)	(561,058.16)	(591,897.20)	(1,714,966.63)
<b>Total Bond Proceeds Available</b>	<b>299,202,856.22</b>	<b>251,974,327.79</b>	<b>716,936,276.23</b>	<b>1,268,113,460.24</b>
<b>Adjustments to Available Funds:</b>				
Interest from Prior Years	534,918.38	411,555.41	9,040,133.69	9,986,607.48
Interest from Current Year	76.00	42.90	195,271.56	195,390.46
Bond Management Fees	(121,964.92)	(1.28)	(301,981.76)	(423,947.96)
Earnings Used for Debt Service	-	-	-	-
Bonds Reimbursement	-	(243,565,000.00)	-	(243,565,000.00)
Deferred Loss on Bonds	-	(8,407,201.84)	-	(8,407,201.84)
Trustee Reimbursement (Requisitions)	(299,607,847.89)	-	(437,494,835.00)	(737,102,682.89)
Reimbursement to other Funds:	-	-	-	-
Bank Adjustments	(7,961.79)	(120,710.78)	(24,268.08)	(152,940.65)
Net Change in Investment Value	-	(292,969.30)	-	(292,969.30)
<b>Total Adjustments to Available Funds</b>	<b>(299,202,780.22)</b>	<b>(251,974,284.89)</b>	<b>(428,585,679.59)</b>	<b>(979,762,744.70)</b>
<b>Total Funds Available</b>	<b>76.00</b>	<b>42.90</b>	<b>288,350,596.64</b>	<b>288,350,715.54</b>

\* Proceeds of the 2017 Bond Issuance refunded 2007, 2011 and partially refunded 2009 GARVEE Bonds.

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit H - Statement of Revenues and Expenses for Aviation Fund**

	Previous Year		Current Year		Current Compared to Previous	
	Current Period	Year to Date	Current Period	Year to Date	Current Period	Year to Date
<b>Equipment Gain Brought Forward from Prior Year</b>	-	7,346,428.18	-	7,553,830.60	-	207,402.42
<b>Funds Provided During Current Year</b>						
Miscellaneous Receipts	-	-	-	-	-	-
Equipment Rental Recovery	48,135.00	316,530.00	12,090.00	144,150.00	(36,045.00)	(172,380.00)
Total Funds Provided	48,135.00	316,530.00	12,090.00	144,150.00	(36,045.00)	(172,380.00)
<b>Funds Applied During the Current Year</b>						
Personnel	9,985.00	23,620.16	-	-	(9,985.00)	(23,620.16)
General Operating Expense	100,424.32	135,252.83	19,320.79	31,817.19	(81,103.53)	(103,435.64)
Supplies and Materials	7,618.53	67,358.61	816.80	15,133.90	(6,801.73)	(52,224.71)
Purchase of Heavy Equipment	-	-	-	-	-	-
Total Expenditures	118,027.85	226,231.60	20,137.59	46,951.09	(97,890.26)	(179,280.51)
<b>Equipment Balance as of January 31, 2021</b>	<b>(69,892.85)</b>	<b>7,436,726.58</b>	<b>(8,047.59)</b>	<b>7,651,029.51</b>	<b>61,845.26</b>	<b>214,302.93</b>

North Carolina Department of Transportation  
Highway Fund  
Period Ending January 31, 2021

**Exhibit I - Statement of Comparative Internal Order Balances**

	CO Internal	DOT Plant Maintenance	Eco Enhancement Program	ROW Air Space	PMII Networks	Facility Maintenance	Transportation Mobility & Safety Division	Quality Enhancement	Totals
<b>Fiscal Year</b>									
2002	46,285.96	-	-	(25,939.75)	-	-	-	-	20,346.21
2003	62,433,358.92	365,183.23	-	148,562.42	-	-	-	-	62,947,104.57
2004	43,577,138.50	1,129,422.29	43,969,744.08	11,831.60	-	-	-	-	88,688,136.47
2005	(35,568,257.72)	1,253,200.50	45,048,970.34	(157,784.46)	-	-	-	-	10,576,128.66
2006	(839,458.95)	(162,424.65)	35,158,553.42	(296,406.46)	-	-	-	-	33,860,263.36
2007	(6,595,415.21)	(170,093.32)	59,734,174.51	(966,047.82)	-	-	-	-	52,002,618.16
2008	(16,863,793.66)	(373,786.25)	(123,925,720.95)	(2,217,139.58)	-	-	-	-	(143,380,440.44)
2009	(18,937,296.75)	(1,729,324.01)	33,617,961.18	(1,181,155.72)	-	-	-	-	11,770,184.70
2010	(36,228,248.89)	640,817.27	(47,105,788.80)	(359,428.14)	-	-	847.86	-	(83,051,800.70)
2011	31,096,976.79	823,469.02	(22,553,617.18)	(453,884.45)	-	2,039.38	4,045.50	3,168.67	8,922,197.73
2012	23,700,326.56	(454,172.17)	18,135,334.28	(624,241.97)	-	523.81	(3,945.15)	1,327.28	40,755,152.64
2013	(7,019,828.28)	249,726.53	21,205,936.13	(675,307.02)	-	(2,563.19)	(1,743.51)	(4,737.87)	13,751,482.79
2014	(28,662,217.20)	270,570.54	(10,393,287.18)	(441,031.13)	-	-	795.30	241.92	(39,224,927.75)
2015	(28,599,152.26)	(1,005,819.70)	14,980,623.16	(1,894,881.31)	-	1,057.13	6,158.75	-	(16,512,014.23)
2016	(4,407,818.81)	180,021.93	13,654,351.16	(2,940,953.96)	-	1,291.20	6,417.69	-	6,493,309.21
2017	65,502,519.96	(105,701.30)	(33,423,793.65)	(3,724,945.66)	-	65.02	5,378.47	1,093.92	28,254,616.76
2018	(19,752,985.62)	211,884.68	17,736,886.55	(3,224,196.16)	-	13,059.54	1,264.96	1,650.70	(5,012,435.35)
2019	14,619,704.58	190,945.83	(50,046,354.98)	(1,735,688.31)	-	(5,133.01)	7,343.28	4,159.83	(36,965,022.78)
2020	21,188,381.31	95,188.39	35,143,954.08	330,531.88	-	(9,443.55)	34,709.76	6,104.42	56,789,426.29
2021	5,553,986.11	(56,350.02)	9,648,216.93	(1,298,197.31)	-	1,655.00	2,914.88	-	13,852,225.59
<b>Life to Date Expenditures</b>	<b>64,244,205.34</b>	<b>1,352,758.79</b>	<b>60,586,143.08</b>	<b>(21,726,303.31)</b>	<b>-</b>	<b>2,551.33</b>	<b>64,187.79</b>	<b>13,008.87</b>	<b>104,536,551.89</b>



**NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
FINANCIAL STATEMENTS**

**Fiscal Year 2021  
For Period Ending January 31, 2021  
Highway Trust Fund**



**North Carolina Department of Transportation**  
**Highway Trust Fund**  
**Period Ending January 31, 2021**

**Exhibit A - Balance Sheet**

**Assets:**

**Cash**

Cash in Bank - Loans, Postage, Other Accounts	-
Invested with Fiscal Agent - BuildNC 2019A Construction Fund	1.56
Invested with Fiscal Agent - BuildNC 2019A Debt Reserve Fund	28,544,288.05
Invested with Fiscal Agent - BuildNC 2019A Premium Earnings	3,557.56
Invested with Fiscal Agent - BuildNC 2019A Interest Earnings	3,443,948.44
Invested with Fiscal Agent - BuildNC 2019A Principle Earnings	10,950,830.69
Invested with Fiscal Agent- BuildNC 2020A Interest Fund	7,420,992.79
Invested with Fiscal Agent- BuildNC 2020A Principle Fund	13,120,815.05
Invested with Fiscal Agent - BuildNC 2020A Debt Reserve Fund	64,878,375.07
Invested with Fiscal Agent - BuildNC 2020A Project Fund	416,773,433.55
Invested with Fiscal Agent- BuildNC 2020A Premium Earnings	81,439,940.46
State Treasurer Bank Balance	563,940,076.18

**Total Cash** 1,190,516,259.40

**Current Assets**

Accounts Receivable	8,234,909.82
Due From Other Funds	131,105,393.09
Other Receivables	17,304.82
Other Current Assets	-

**Total Current Assets** 139,357,607.73

Trust Fund Advance to Highway Fund	790,300,000.00
Amount Avail and to be Provided for GLT Debt	1,183,157,845.35

**Total Non Current Assets** 1,973,457,845.35

**Total Assets**

3,303,331,712.48

**Liabilities, Retained Earning & Fund Balance:**

**Liabilities**

Accounts Payable	4,841,445.86
Intragovernmental Payable	13,285,674.71
Due to Other Funds	-
Other Liabilities	18,097,303.20
Build NC Bonds Payable 2019A	284,040,000.00
Build NC Bonds Payable 2020B	700,000,000.00
Unamortized Premium on Bonds Payable	199,117,845.35

**Total Liabilities** 1,219,382,269.12

**Retained Earnings**

**Retained Earnings** 1,135,408,637.47

**Fund Balance**

Opening Fund Balance	146,220,609.36
Revenues	1,727,949,492.22
Expenditures	(925,629,295.69)
Change in Fund Balance	802,320,196.53

**Total Fund Balance** 948,540,805.89

**Total Liabilities, Retained Earnings and Fund Balance**

3,303,331,712.48

North Carolina Department of Transportation  
Highway Trust Fund  
Period Ending January 31, 2021

**Exhibit B1 Pg 1 - Statement of Fees, Taxes and Other**

	Current Year		Previous Year		Estimate		Current Year Compared to Estimate
	Month	Year to date	Month	Year to Date	Year Total	Year to Date	
Motor Vehicle Revenue							
Total Motor Fuel Taxes	28,836,924.12	237,531,583.85	48,578,075.35	360,643,475.43	368,400,000.00	223,490,000.00	14,041,583.85
Gasoline Tax	28,836,924.12	237,531,583.85	48,578,075.35	360,643,475.43	-	-	-
Gasoline Tax - Lust Fund	366,480.75	2,998,039.79	599,380.79	4,506,181.96	-	-	-
Gasoline Tax Lust Fund Transferred Out	(366,480.75)	(2,998,039.79)	(599,380.79)	(4,506,181.96)	-	-	-
Motor Fuel Tax GF Inspection Tax	18,949.43	104,238.11	35,914.32	256,631.66	-	-	-
Motor Fuel Tax GF Inspection Transferred Out	(18,949.43)	(104,238.11)	(35,914.32)	(256,631.66)	-	-	-
Total Highway Use	78,328,099.57	544,623,568.98	79,301,841.02	506,629,869.93	738,400,000.00	421,660,000.00	122,963,568.98
Highway Use Tax	78,328,099.57	544,623,568.98	79,301,841.02	506,629,869.93	-	-	-
Total Fees	11,901,706.35	86,880,561.05	12,280,787.68	83,086,267.44	123,100,000.00	68,630,000.00	18,250,561.05
Title Fee	10,347,804.89	75,147,843.49	10,519,961.03	71,179,857.66	-	-	-
Lien Recording	224,918.50	1,737,172.00	255,027.00	2,012,979.00	-	-	-
International Registration Plan (IRP)	-	-	-	-	-	-	-
Miscellaneous Registration Fees	1,328,982.96	9,995,545.56	1,505,799.65	9,893,430.78	-	-	-
DMV - Visitor Center Reserve	-	-	-	-	-	-	-
Total Investment Income	143,841.42	1,146,786.77	477,110.34	2,754,498.28	1,000,000.00	490,000.00	656,786.77
Interest on Funds Invested by Treasurer	143,841.42	1,146,786.77	477,110.34	2,754,498.28	-	-	
Total Trust Fund	119,210,571.46	870,182,500.65	140,637,814.39	953,114,111.08	1,230,900,000.00	714,270,000.00	155,912,500.65

\*Estimated Revenue detail breakout is not available at this time per Fiscal Research Division and Office of State Budget and Management (OSBM). However, OSBM has provided a high level breakout.



North Carolina Department of Transportation  
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**Exhibit B1 Pg 2 - Statement of Fees, Taxes and Others**

	Current Month				Year to Date			
	Gross	Refunds	Uncollectibles	Net Revenue	Gross	Refunds	Uncollectibles	Net Revenue
<b>Motor Vehicle Revenue</b>								
<b>Total Motor Fuel Taxes</b>	<b>32,389,560.55</b>	<b>3,552,636.43</b>	<b>-</b>	<b>28,836,924.12</b>	<b>248,110,722.82</b>	<b>10,579,138.97</b>	<b>-</b>	<b>237,531,583.85</b>
Gasoline Tax	32,389,560.55	3,552,636.43	-	28,836,924.12	248,110,722.82	10,579,138.97	-	237,531,583.85
Gasoline Tax - Lust Fund	366,480.75	-	-	366,480.75	2,998,039.79	-	-	2,998,039.79
Gasoline Tax Lust Fund Transferred Out	(366,480.75)	-	-	(366,480.75)	(2,998,039.79)	-	-	(2,998,039.79)
Motor Fuel Tax GF Inspection Tax	18,949.43	-	-	18,949.43	104,238.11	-	-	104,238.11
Motor Fuel Tax GF Inspection Transferred Out	(18,949.43)	-	-	(18,949.43)	(104,238.11)	-	-	(104,238.11)
<b>Total Highway Use</b>	<b>78,363,930.03</b>	<b>33,382.73</b>	<b>2,447.73</b>	<b>78,328,099.57</b>	<b>544,988,582.42</b>	<b>353,247.70</b>	<b>11,765.74</b>	<b>544,623,568.98</b>
Highway Use Tax	78,363,930.03	33,382.73	2,447.73	78,328,099.57	544,988,582.42	353,247.70	11,765.74	544,623,568.98
<b>Total Fees</b>	<b>11,903,789.49</b>	<b>1,732.74</b>	<b>350.40</b>	<b>11,901,706.35</b>	<b>86,893,992.93</b>	<b>10,828.88</b>	<b>2,603.00</b>	<b>86,880,561.05</b>
Title Fee	10,349,219.18	1,165.89	248.40	10,347,804.89	75,157,799.08	8,063.59	1,892.00	75,147,843.49
Lien Recording	224,937.50	-	19.00	224,918.50	1,737,429.50	199.50	58.00	1,737,172.00
International Registration Plan (IRP)	-	-	-	-	-	-	-	-
Miscellaneous Registration Fees	1,329,632.81	566.85	83.00	1,328,982.96	9,998,764.35	2,565.79	653.00	9,995,545.56
DMV - Visitor Center Reserve	-	-	-	-	-	-	-	-
<b>Total Investment Income</b>	<b>143,841.42</b>	<b>-</b>	<b>-</b>	<b>143,841.42</b>	<b>1,146,786.77</b>	<b>-</b>	<b>-</b>	<b>1,146,786.77</b>
Interest on Funds Invested by Treasurer	143,841.42	-	-	143,841.42	1,146,786.77	-	-	1,146,786.77
<b>Total Trust Fund</b>	<b>122,801,121.49</b>	<b>3,587,751.90</b>	<b>2,798.13</b>	<b>119,210,571.46</b>	<b>881,140,084.94</b>	<b>10,943,215.55</b>	<b>14,368.74</b>	<b>870,182,500.65</b>

**North Carolina Department of Transportation**  
**Highway Trust Fund**  
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**Exhibit B1 Pg 3 - Statement of Fees, Taxes and Others**

	Current Month						Year to Date			
	Gross	Refunds	Uncollectibles	Net Revenue	Transfers*	Account Balance	Gross	Refunds	Uncollectibles	Net Revenue
<b>Motor Vehicle Revenue</b>										
Highway Use Tax**	75,380,073.67	33,382.73	2,447.73	75,344,243.21	-	75,344,243.21	524,731,208.19	353,247.70	11,664.10	524,366,296.39
Title Fee	10,407,472.98	1,166.89	267.20	10,406,038.89	-	10,406,038.89	75,554,605.51	8,608.22	1,914.80	75,544,082.49
Lien Recording	224,937.50	-	19.00	224,918.50	-	224,918.50	1,737,429.50	199.50	58.00	1,737,172.00
Registration	1,329,632.81	566.85	83.00	1,328,982.96	-	1,328,982.96	9,998,764.35	2,565.79	653.00	9,995,545.56
Visitor Center Reserve	-	-	-	-	-	-	-	-	-	-
<b>Total Motor Vehicle Revenue</b>	<b>87,342,116.96</b>	<b>35,116.47</b>	<b>2,816.93</b>	<b>87,304,183.56</b>	<b>-</b>	<b>87,304,183.56</b>	<b>612,022,007.55</b>	<b>364,621.21</b>	<b>14,289.90</b>	<b>611,643,096.44</b>

\* Transfers that are Due to or Due From other Agencies and Special Accounts

\*\* Net Collection for Highway Use Tax Only Includes Revenue Collected by DMV.

**North Carolina Department of Transportation**  
**Highway Trust Fund**  
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**Exhibit B1 Pg 4 - Statement of Fees, Taxes and Others**

	Current Month				Year to Date			
	Current Year	Prior Year	Increase / Decrease	Percent	Current Year	Prior Year	Increase / Decrease	Percent
<b>Motor Vehicle Revenue</b>								
Highway Use Tax*	75,344,243.21	74,247,866.87	1,096,376.34	1.48%	524,366,296.39	488,802,824.35	35,563,472.04	7.28%
Title Fee	10,406,038.89	10,593,530.13	(187,491.24)	-1.77%	75,544,082.49	71,642,229.66	3,901,852.83	5.45%
Lien Recording	224,918.50	316,770.00	(91,851.50)	-29.00%	1,737,172.00	2,012,979.00	(275,807.00)	-13.70%
Registration	1,328,982.96	1,484,227.85	(155,244.89)	-10.46%	9,995,545.56	9,893,430.78	102,114.78	1.03%
Visitor Center Reserve	-	-	-	-	-	-	-	0.00%
<b>Total Motor Vehicle Revenue</b>	<b>87,304,183.56</b>	<b>86,642,394.85</b>	<b>661,788.71</b>	<b>0.76%</b>	<b>611,643,096.44</b>	<b>572,351,463.79</b>	<b>39,291,632.65</b>	<b>6.86%</b>

\* Net Collection for Highway Use Tax Only Includes Revenue Collected by DMV.

North Carolina Department of Transportation  
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**Exhibit B2 - Statement of Participation Revenue**

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Intrastate-HTF								
Local Government Participation	1,811,494.26	-	1,811,494.26	-	281,823.01	-	267,752.75	1,529,671.25
Property Owners Participation	-	-	-	-	-	-	-	-
Intrastate-HTF	1,811,494.26	-	1,811,494.26	-	281,823.01	-	267,752.75	1,529,671.25
Moving Ahead Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Moving Ahead Construction	-	-	-	-	-	-	-	-
Construction Statewide Urban								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	7,624,435.00	-	7,624,435.00	-	-	-	-	7,624,435.00
Construction Statewide Urban	7,624,435.00	-	7,624,435.00	-	-	-	-	7,624,435.00
Strategic Prioritization								
Local Government Participation	42,374,310.87	3,840,201.11	46,214,511.98	(791,216.13)	7,727,187.94	1,068,969.51	7,836,012.60	38,487,324.04
Property Owners Participation	8,913,135.30	2,301,609.58	11,214,744.88	-	102,818.59	-	-	11,111,926.29
Strategic Prioritization	51,287,446.17	6,141,810.69	57,429,256.86	(791,216.13)	7,830,006.53	1,068,969.51	7,836,012.60	49,599,250.33
Total Participation Revenues	60,723,375.43	6,141,810.69	66,865,186.12	(791,216.13)	8,111,829.54	1,068,969.51	8,103,765.35	58,753,356.58

North Carolina Department of Transportation  
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**Exhibit B3 Pg 1 - Other Financing Sources (Uses)**

	Current Year		Previous Year		Estimate		Current Year Compared to Estimate
	Month	Year to date	Month	Year to Date	Year Total	Year to Date	
Miscellaneous Transfers In/Out							
Transfers In/Out	(58,388.91)	(769,527.40)	(806,411.01)	1,526,881.17	-	-	(769,527.40)
Fiscal Agent Fees	-	(1,798,113.25)	-	-	-	-	1,798,113.25
Advanced Interest from Turnpike	28,606.05	217,695.50	49,760.73	291,255.47	-	-	217,695.50
Trustee Investment Management Fees	-	(27,627.70)	-	30,922.69	-	-	(27,627.70)
BuildNC Proceeds 2019	-	-	-	-	-	-	-
BuildNC Proceeds 2020	-	700,000,000.00	-	-	781,420,235.30	455,828,470.59	(244,171,529.41)
BuildNC Premium 2019	-	-	-	-	-	-	-
BuildNC Premium 2020	-	148,687,448.55	-	-	-	-	(148,687,448.55)
BuildNC Interest 2019	2,014.07	25,076.80	376,786.66	3,061,474.91	-	-	25,076.80
BuildNC Interest 2020	34,065.50	91,514.11	-	-	-	-	91,514.11
Bond Issue Costs	-	(591,959.00)	-	(536,090.11)	-	-	(591,959.00)
Total Other Financing Sources (Uses)	6,296.71	845,834,507.61	(379,863.62)	4,374,444.13	781,420,235.30	455,828,470.59	(392,115,692.40)

North Carolina Department of Transportation  
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**Exhibit B3 Pg 2 - Other Financing Sources (Uses)**

	Current Month				Year to Date			
	Gross	Refunds	Uncollectibles	Net Revenue	Gross	Refunds	Uncollectibles	Net Revenue
<b>Miscellaneous Transfers In/Out</b>								
Transfers In/Out	(58,388.91)	-	-	(58,388.91)	(769,527.40)	-	-	(769,527.40)
Fiscal Agent Fees	-	-	-	-	(1,798,113.25)	-	-	(1,798,113.25)
Advanced Interest from Turnpike	28,606.05	-	-	28,606.05	217,695.50	-	-	217,695.50
Trustee Investment Management Fees	-	-	-	-	(27,627.70)	-	-	(27,627.70)
BuildNC Proceeds 2019	-	-	-	-	-	-	-	-
BuildNC Proceeds 2020	-	-	-	-	700,000,000.00	-	-	700,000,000.00
BuildNC Premium 2019	-	-	-	-	-	-	-	-
BuildNC Premium 2020	-	-	-	-	148,687,448.55	-	-	148,687,448.55
BuildNC Interest 2019	2,014.07	-	-	2,014.07	25,076.80	-	-	25,076.80
BuildNC Interest 2020	34,065.50	-	-	34,065.50	91,514.11	-	-	91,514.11
Bond Issue Costs	-	-	-	-	(591,959.00)	-	-	(591,959.00)
<b>Total Other Financing Sources (Uses)</b>	<b>6,296.71</b>	<b>-</b>	<b>-</b>	<b>6,296.71</b>	<b>845,834,507.61</b>	<b>-</b>	<b>-</b>	<b>845,834,507.61</b>

North Carolina Department of Transportation  
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**Exhibit C Pg 1 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures**

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
<b>Current Accounts</b>								
<b>Other Expenditures</b>								
Program Administration	-	37,439,714.00	16,022,022.16	3,369,790.12	19,391,812.28	21,417,691.84	18,047,901.72	(3,369,790.12)
Debt Service	-	69,256,614.00	6,835,275.00	-	6,835,275.00	62,421,339.00	62,421,339.00	-
Transfer to Turnpike Authority	-	64,000,000.00	24,500,000.00	-	24,500,000.00	39,500,000.00	39,500,000.00	-
Transfer to Highway Fund - Visitor's Center	-	400,000.00	-	-	-	400,000.00	400,000.00	-
Transfer to Ports Authority	-	45,000,000.00	22,500,000.00	-	22,500,000.00	22,500,000.00	22,500,000.00	-
<b>Total Current Accounts</b>	-	216,096,328.00	69,857,297.16	3,369,790.12	73,227,087.28	146,239,030.84	142,869,240.72	(3,369,790.12)
<b>Encumbrance Accounts</b>								
<b>Construction</b>								
Strategic Transportation Initiative	3,589,096,888.44	1,178,812,703.25	803,737,984.97	95,446,999.91	839,946,426.13	375,074,718.28	3,927,963,165.55	3,552,888,447.27
Intrastate- HTF	(4,774,100.43)	-	(8,471,833.07)	1,503,596.48	2,293,454.53	8,471,833.07	(7,067,554.96)	(15,539,388.03)
Secondary Construction -HTF	16,113,159.84	2,106,422.66	(1,276,609.57)	39,061.37	535,605.08	3,383,032.23	17,683,977.42	14,300,945.19
Secondary Construction - 96 Bond Fund	-	-	-	-	-	-	-	-
Urban Loops - HTF	(35,304,438.73)	-	-	86,183.48	(25,332,591.30)	-	(9,971,847.43)	(9,971,847.43)
HTF Utilization-Traffic Signalization	-	-	-	-	-	-	-	-
HTF Utilization-PE-Non-TIP Projects	-	-	-	-	-	-	-	-
Moving Ahead Construction	(28,471.60)	-	-	-	(28,471.60)	-	(0.00)	(0.00)
Construction Primary HTF	329,036.66	(102,077.31)	(102,077.31)	-	(42,385.17)	-	269,344.53	269,344.53
Construction Statewide Secondary HTF	288,718.08	-	-	(164,930.01)	(128,426.42)	-	417,144.50	417,144.50
Construction Statewide Urban HTF	3,073,432.13	(2,200,000.26)	(2,200,000.26)	-	(58,982.10)	-	932,413.97	932,413.97
Bridge Replace HTF	-	4,329.29	-	-	-	4,329.29	4,329.29	-
FHWA State Match HTF	70,218,053.20	55,660,130.21	29,812,897.39	956,044.18	31,885,062.62	25,847,232.82	93,993,120.78	68,145,887.96
NC Mobility Fund	3,851,188.23	7,690.50	(494,335.65)	60.24	(248,162.74)	502,026.15	4,107,041.47	3,605,015.32
<b>Modal</b>								
Railroad Program HTF	2,071,429.09	(269,821.94)	(269,821.94)	-	-	-	1,801,607.15	1,801,607.15
Moving Ahead Public Transportation	-	-	-	-	-	-	-	-
<b>Other Expenditures</b>								
Capital Improvements HTF	14,560.65	-	-	4.10	29.90	-	14,530.75	14,530.75
Other	-	-	-	-	-	-	-	-
<b>Total Encumbrance Accounts</b>	<u>3,644,949,455.55</u>	<u>1,234,019,376.40</u>	<u>820,736,204.56</u>	<u>97,867,019.76</u>	<u>848,821,558.93</u>	<u>413,283,171.84</u>	<u>4,030,147,273.02</u>	<u>3,616,864,101.18</u>
<b>Total Highway Trust Fund</b>	<u>3,644,949,455.55</u>	<u>1,450,115,704.40</u>	<u>890,593,501.72</u>	<u>101,236,809.88</u>	<u>922,048,646.21</u>	<u>559,522,202.68</u>	<u>4,173,016,513.74</u>	<u>3,613,494,311.06</u>



North Carolina Department of Transportation  
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**Exhibit C Pg 2 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures**

	Previous Year			Current Year			Compared to Previous		
	Yearly Appropriations	Month Expenditures	Year to Date Expenditures	Yearly Appropriations	Month Expenditures	Year to Date Expenditures	Appropriations	Current Month Expenditures	Year to Date Expenditures
<b>Current Accounts</b>									
<b>Other Expenditures</b>									
Program Administration	35,626,560.00	2,647,979.33	19,200,404.92	37,439,714.00	3,369,790.12	19,391,812.28	1,813,154.00	721,810.79	191,407.36
Debt Service	116,511,901.67	-	6,711,142.55	69,256,614.00	-	6,835,275.00	(47,255,287.67)	-	124,132.45
Transfer to Turnpike Authority	64,000,000.00	-	18,250,000.00	64,000,000.00	-	24,500,000.00	-	-	6,250,000.00
Transfer to Highway Fund - Visitor's Center	400,000.00	-	-	400,000.00	-	-	-	-	-
Transfer to Ports Authority	45,000,000.00	11,250,000.00	11,250,000.00	45,000,000.00	-	22,500,000.00	-	(11,250,000.00)	11,250,000.00
<b>Total Current Accounts</b>	<b>261,538,461.67</b>	<b>13,897,979.33</b>	<b>55,411,547.47</b>	<b>216,096,328.00</b>	<b>3,369,790.12</b>	<b>73,227,087.28</b>	<b>(45,442,133.67)</b>	<b>(10,528,189.21)</b>	<b>17,815,539.81</b>
<b>Encumbrance Accounts</b>									
<b>Construction</b>									
Strategic Transportation Initiative	1,788,191,200.35	133,698,436.51	1,024,298,048.57	1,178,812,703.25	95,446,999.91	839,946,426.13	(609,378,497.10)	(38,251,436.60)	(184,351,622.44)
Intrastate- HTF	(4,696,251.51)	3,660,737.90	4,548,840.39	-	1,503,596.48	2,293,454.53	4,696,251.51	(2,157,141.42)	(2,255,385.86)
Secondary Construction -HTF	2,889,066.91	42,838.92	420,694.69	2,106,422.66	39,061.37	535,605.08	(782,644.25)	(3,777.55)	114,910.39
Secondary Construction - 96 Bond Fund	-	-	-	-	-	-	-	-	-
Urban Loops - HTF	-	7,208,825.63	9,028,172.79	-	86,183.48	(25,332,591.30)	-	(7,122,642.15)	(34,360,764.09)
HTF Utilization - Traffic Signalization	-	-	-	-	-	-	-	-	-
HTF Utilization - PE on Non TIP Projects	-	-	-	-	-	-	-	-	-
Moving Ahead Construction	-	3,117.70	(234,362.37)	-	-	(28,471.60)	-	(3,117.70)	205,890.77
Construction Primary HTF	(402,495.24)	-	(131,247.66)	(102,077.31)	-	(42,385.17)	300,417.93	-	88,862.49
Construction Statewide Secondary HTF	(13,945.35)	43,205.61	275,515.24	-	(164,930.01)	(128,426.42)	13,945.35	(208,135.62)	(403,941.66)
Construction Statewide Urban HTF	-	29.61	3,140.22	(2,200,000.26)	-	(58,982.10)	(2,200,000.26)	(29.61)	(62,122.32)
Bridge Replacement HTF	4,329.29	-	-	4,329.29	-	-	-	-	-
FHWA State Match HTF	25,438,643.41	4,241,899.53	(6,688,347.75)	55,660,130.21	956,044.18	31,885,062.62	30,221,486.80	(3,285,855.34)	38,573,410.37
NC Mobility Fund	0.70	272.43	353,727.82	7,690.50	60.24	(248,162.74)	7,689.80	(212.19)	(601,890.56)
<b>Modal</b>									
Railroad Program HTF	-	-	800.00	(269,821.94)	-	-	(269,821.94)	-	(800.00)
Moving Ahead Public Transportation	-	-	(75.71)	-	-	-	-	-	75.71
<b>Other Expenditures</b>									
Capital Improvements HTF	-	1,597.38	61,816.28	-	4.10	29.90	-	(1,593.28)	(61,786.38)
Other	-	-	-	-	-	-	-	-	-
<b>Total Encumbrance Accounts</b>	<b>1,811,410,548.56</b>	<b>148,900,961.22</b>	<b>1,031,936,722.51</b>	<b>1,234,019,376.40</b>	<b>97,867,019.76</b>	<b>848,821,558.93</b>	<b>(577,391,172.16)</b>	<b>(51,033,941.46)</b>	<b>(183,115,163.58)</b>
<b>Total Highway Trust Fund</b>	<b>2,072,949,010.23</b>	<b>162,798,940.55</b>	<b>1,087,348,269.98</b>	<b>1,450,115,704.40</b>	<b>101,236,809.88</b>	<b>922,048,646.21</b>	<b>(622,833,305.83)</b>	<b>(61,562,130.67)</b>	<b>(165,299,623.77)</b>

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**Exhibit D - Statement of Cash Flow from Future Years**

	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	Total
<b>System Type</b>	<b>Cash Flow Contract</b>	<b>Cash Flow Contract</b>	<b>Cash Flow Contract</b>	<b>Cash Flow Contract</b>	<b>Cash Flow Contract</b>	<b>Cash Flow Contract</b>
FHWA State Match - Statewide	-	917,780.00	-	-	-	917,780.00
FHWA State Match - Regional Impact	-	-	-	-	-	-
FHWA State Match - Division	-	-	-	-	-	-
Strategic Transport Investment Statewide	-	303,041,500.00	191,053,500.00	38,831,000.00	-	532,926,000.00
Strategic Transport Investment Regional	-	46,933,000.00	34,675,000.00	-	-	81,608,000.00
Strategic Transport Investment Division	-	65,792,250.00	27,894,000.00	-	-	93,686,250.00
State Construction	-	-	-	-	-	-
Intrastate	-	-	-	-	-	-
Urban Loops	-	-	-	-	-	-
Secondary	-	-	-	-	-	-
Mobility	-	-	-	-	-	-
<b>Total</b>	-	416,684,530.00	253,622,500.00	38,831,000.00	-	709,138,030.00

Note: These amounts will be deducted from the respective future fiscal year appropriation. These appropriations are included in either the current or a prior fiscal year and have been approved by The Board of Transportation.

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**Exhibit E - Statement of Transfers for Transportation Improvement Plan Projects**

		Current		1990-2021
		Month	Year	Inception to Date
<b>Unallocated at July 1, 2020</b>			-	
Unallocated At December 31, 2020		-	-	
Transfers:	From Intrastate	-	-	4,394,335,830.04
	From Urban Loop	-	-	2,288,966,462.00
	From Secondary	-	-	8,633,383.69
<b>Total Available</b>		-	-	6,691,935,675.73
Less: Allocation By System				
	Primary	-	-	868,891,657.46
	Secondary	-	-	229,901,554.67
	Urban	-	-	900,094,447.33
	Bridge Replacement	-	-	107,961,585.30
	Rail	-	-	102,278,455.68
	State Funds Construction	-	-	12,400,000.00
	Match For Federal-Aid - HTF	-	-	3,790,350,737.54
	Match For Federal-Aid - Cash Flow	-	-	489,939,941.45
	1 DOT Funding	-	-	140,207,349.46
	Capital Improvements	-	-	35,745,964.00
<b>Total Allocation</b>		-	-	6,677,771,692.89
Less: Loan To Turnpike Authority		-	-	-
Participation In Turnpike Projects		-	-	3,678,836.00
Less: Transfers to STI		-	-	10,485,146.84
<b>Unallocated Balance January 31, 2021</b>		-	-	0.00

GS 136-176(c) authorizes the Secretary of Transportation to transfer from the STI Fund to the Highway Fund to finance projects on the Transportation Improvement Program.

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**Exhibit G1 - Build NC Bond Projects by Category**

	Regional Impact	Division Needs	Statewide	Total
<b>Fiscal Year</b>				
2019 - 2020	63,356,000.00	85,775,000.44	-	149,131,000.44
2020 - 2021	72,115,985.49	103,403,309.14	-	175,519,294.63
2021 - 2022	288,709,178.46	320,119,319.94	174,840,076.72	783,668,575.12
2022 - 2023	-	-	-	-
2023 - 2024	-	-	-	-
2024 - 2025	-	-	-	-
2025 - 2026	-	-	-	-
2026 - 2027	-	-	-	-
2027 - 2028	-	-	-	-
2028 - 2029	-	-	-	-
2029 - 2030	-	-	-	-
<b>Total</b>	<b>424,181,163.95</b>	<b>509,297,629.52</b>	<b>174,840,076.72</b>	<b>1,108,318,870.19</b>

**Exhibit G2 pg 1 of 3 - Statement of Allotments, Expenditures, and Receipts for Build NC Bond Projects**

Division	TIP	WBS	Description	Allotments	Expenditures		Unexpended Allotments	Trustee Reimbursement	
					Current Period	Inception to Date ****		Current Period	Inception to Date
1	R-2582A	34472.1.3	US 158/NC 46 in Northhampton County; Multi-lane Project	2,872,122.12	103.42	2,863,676.73	8,445.39	-	2,863,074.21
1	R-2582A	34472.1.4	US 158/NC 46 in Northhampton County; Multi-lane Project	4,016,604.85	-	4,026,626.03	(10,021.18)	-	3,984,085.45
1	R-2582A	34472.2.4	US 158/NC 46 in Northhampton County; Multi-lane Project	15,253,158.57	18,369.89	7,169,514.90	8,083,643.67	-	2,602,458.05
1	R-2582A	34472.3.2	US 158/NC 46 in Northhampton County; Multi-lane Project	63,767,584.22	1,138,627.49	23,861,437.93	39,906,146.29	1,182,438.03	22,040,319.23
1	R-4467	35748.1.R1	US 17 Bus/NC 37; Construct New Roadway on Pilings & Replace Bridge	2,443,097.00	4,028.57	1,942,343.69	500,753.31	-	1,880,164.40
1	R-4467	35748.3.2	US 17 Bus/NC 37; Construct New Roadway on Pilings & Replace Bridge	48,300,000.00	710,432.45	35,810,749.76	12,489,250.24	2,768,830.94	14,265,758.00
1	B-5606	45561.3.1	Replace Bridge #11 Over Raccoon Creek on SR 1338	1,600,000.00	25,464.14	840,675.59	759,324.41	33,074.66	593,117.36
1	R-5738	50196.3.1	SR 1208 From SR 1210 to Ivy Lane; Upgrade Facility & Replace Bridge 40	5,923,232.00	777.31	4,695,438.46	1,227,793.54	-	3,561,232.00
1	R-5740	50198.1.1	SR 1329 (Woodville Rd) in Perquimans County; Upgrade	1,061,972.58	16,231.86	1,020,085.05	41,887.53	-	801,972.58
1	R-5740	50198.2.1	SR 1329 (Woodville Rd) in Perquimans County; Upgrade	1,284,273.00	3,215.89	887,509.73	396,763.27	-	303,757.19
1	R-5740	50198.2.2	SR 1329 (Woodville Rd) in Perquimans County; Upgrade; Utilities	875,000.00	-	298,070.39	576,929.61	-	295,687.96
1	R-5740	50198.3.1	SR 1329 (Woodville Rd) in Perquimans County; Upgrade	5,858,030.16	-	-	5,858,030.16	-	-
2	R-4463A	35601.1.R2	NC 43 Connector to US70 in Craven County	1,960,656.97	4,808.76	2,020,573.66	(59,916.69)	-	1,335,266.93
2	R-4463A	35601.2.1	NC 43 Connector to US70 in Craven County	1,275,000.00	-	296,591.82	978,408.18	-	191,706.08
2	R-4463A	35601.3.1	NC 43 Connector to US70 in Craven County	4,500,000.00	-	231.26	4,499,768.74	-	-
2	R-5777B	44648.1.3	US 70 in Craven County;	1,000,000.00	4,699.29	174,558.36	825,441.64	-	84,124.08
2	R-5777B	4468.2.3	US 70 in Craven County; Convert to Interchange	23,700,000.00	308,041.23	381,493.12	23,318,506.88	-	6,534.01
2	U-5917	44679.1.1	SR 1704 (Fourteenth St) in Pitt County; Widening Project	1,990,438.77	16,415.54	1,704,897.10	285,541.67	-	1,349,848.92
2	U-5917	44679.2.1	SR 1704 (Fourteenth St) in Pitt County; Widening Project	3,315,000.00	7,877.87	1,145,127.33	2,169,872.67	-	793,508.60
2	R-5703	46375.3.1	NC 148 (Harvey Parkway) From NC 58 to NC 11; Multi-lane Facility	86,499,999.83	515,473.62	92,576,757.87	(6,076,758.04)	-	35,950,590.00
2	B-5938	44679.2.1	Bridge 68 Over Bogue Sound on SR 1182; Bridge Rehabilitation	14,624,117.52	86,292.56	15,216,384.75	(592,267.23)	-	4,817,543.00
2	R-5812	46981.1.1	US 13 Bypass in Greene County; Widening Project	1,555,257.20	3,615.66	1,014,662.73	540,594.47	-	1,001,285.31
2	R-5812	46981.3.1	US 13 Bypass in Greene County; Widening Project	6,634,202.35	206,574.57	6,171,114.77	463,087.58	583,785.50	5,518,218.69
3	R-2303E	34416.1.S1	NC 24 in Sampson County	3,928,066.73	-	3,941,399.16	(13,332.43)	-	3,934,743.15
3	R-2303E	34416.2.8	NC 24 in Sampson County	8,128,954.92	-	6,254,050.72	1,874,904.20	-	3,411,206.30
3	R-2303E	34416.3.10	NC 24 in Sampson County	30,900,000.00	319,219.75	9,923,676.45	20,976,323.55	339,732.40	8,915,262.50
3	U-4751	40191.1.2	SR 1409 TO US 17 IN Wilmington; Construct Multi-lane Facility	10,680,494.02	-	11,575,936.12	(895,442.10)	-	11,536,406.75
3	U-4751	40191.3.2	SR 1409 TO US 17 IN Wilmington; Construct Multi-lane Facility	91,165,235.10	1,401,377.52	51,848,211.32	39,317,023.78	1,432,211.19	10,265,406.00
3	U-4902D	40238.1.4	US 17 Business From SR 1403 TO SR 2290/SR 2734 in Wilmington	3,330,925.51	3,055.69	3,342,760.34	(11,834.83)	-	3,333,436.63
3	U-4902D	40238.3.5	US 17 Business From SR 1403 TO SR 2290/SR 2734 in Wilmington	14,375,372.86	386,231.52	10,638,281.02	3,737,091.84	394,806.93	2,691,846.03
3	W-5602	50139.3.1	NC 172 From NC 210 To Camp Lejeune Gate	14,766,722.00	257,653.75	10,538,184.73	4,228,537.27	586,883.09	1,878,099.51
4	R-3825B	34552.3.5	NC 42 Widen to Multi-lanes From East of SR 1902 To SR 1003	53,137,191.60	1,263,844.70	47,224,992.34	5,912,199.26	1,286,231.42	23,928,789.53
4	U-2714	38979.1.2	US 117 Alternate in Wayne County; Widening Project	4,858,809.46	2,884.33	3,338,825.38	1,519,984.08	-	3,332,855.46
4	U-2714	38979.2.1	US 117 Alternate in Wayne County; Widening Project	10,882,841.88	5,039.26	10,648,731.98	234,109.90	-	2,733,041.73
4	U-2714	38979.3.1	US 117 Alternate in Wayne County; Widening Project	18,727,348.00	582,378.51	2,496,870.44	16,230,477.56	51,207.07	594,073.58
4	U-3609B	39026.1.2	US 13 (Berkeley Blvd) in Wayne County; Widening Project	2,000,000.00	14,404.53	2,115,432.64	(115,432.64)	-	1,862,684.91
4	U-3609B	39026.2.2	US 13 (Berkeley Blvd) in Wayne County; Widening Project	16,325,000.00	40,596.61	42,457.00	16,282,543.00	-	1,253.83
4	U-4762	39930.1.2	SR 1250 (Springfield Road); Widen to Multi-lanes	1,547,725.95	-	1,547,725.95	-	-	1,547,725.95
4	U-4762	39930.3.2	SR 1250 (Springfield Road); Widen to Multi-lanes	6,305,860.00	47,436.11	4,252,656.97	2,053,203.03	49,102.90	2,712,660.76
4	U-5026	44033.3.3	I-95 at SR 1770 in Nash County; Convert Grade Separation to Interchange	63,594,603.30	316,679.31	6,893,368.24	56,701,235.06	775,167.50	2,427,433.22
4	U-5725	50162.1.1	NC 125 From I-95 to Old Farm Road; Widen	1,652,086.96	-	1,652,086.96	-	-	1,652,086.96
4	U-5725	50162.3.1	NC 125 From I-95 to Old Farm Road; Widen	27,102,500.00	297,132.92	18,314,129.24	8,788,370.76	323,166.01	5,105,109.27

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**Exhibit G2 pg 2 of 3 - Statement of Allotments, Expenditures, and Receipts for Build NC Bond Projects**

Division	TIP	WBS	Description	Allotments	Expenditures		Unexpended Allotments	Trustee Reimbursement	
					Current Period	Inception to Date ****		Current Period	Inception to Date
5	B-5237	42838.3.1	Replace Bridge #248 Over Mahler Creek on SR 2703	5,349,741.00	38,868.53	839,528.95	4,510,212.05	36,288.88	690,145.72
5	U-5827	44400.1.1	SR 1632 (Louis Stephens Dr) in Wake County; New Roadway	968,258.35	404.76	968,765.26	(506.91)	-	947,351.80
5	U-5827	44400.2.1	SR 1632 (Louis Stephens Dr) in Wake County; New Roadway	1,076,993.19	1,567.72	1,024,633.09	52,360.10	-	998,893.15
5	U-5827	44400.2.2	SR 1632 (Louis Stephens Dr) in Wake County; New Roadway; Ut	75,009.50	126.84	206,046.47	(131,036.97)	-	42,385.88
5	U-5827	44400.3.1	SR 1632 (Louis Stephens Dr) in Wake County; New Roadway	6,115,248.25	211,790.97	5,303,995.44	811,252.81	228,835.11	4,712,555.00
5	U-5828	44401.3.1	McCrimmon Parkway; Widen to Multi-lanes from SR 3015 to SR 1	18,178,336.38	866,216.13	6,786,208.59	11,392,127.79	-	2,406,451.11
5	U-5968	45982.3.2	City of Durham Signal System	22,359,564.00	1,245,019.09	7,001,539.42	15,358,024.58	1,214,118.07	3,274,076.13
5	R-5707	46379.1.1	NC 56 at US 15 & NC 50 in Creedmoor; Realign Intersections	768,448.20	-	768,448.20	-	-	768,448.20
5	R-5707	46379.3.1	NC 56 at US 15 & NC 50 in Creedmoor; Realign Intersections	3,870,400.00	4,473.28	4,282,479.26	(412,079.26)	-	3,464,802.33
5	U-5302	48000.1.1	Raleigh US 401; Convert to Superstreet	1,659,896.23	7,854.36	1,763,657.84	(103,761.61)	-	1,403,681.55
5	U-5302	48000.2.1	Raleigh US 401 From South of SR 1006 & SR 2538 in Garner	4,307,067.34	16,521.04	2,136,971.55	2,170,095.79	-	3,207,376.37
5	U-5745	50166.1.1	NC 751 (Hope Valley Rd) in Durham County; Roundabout	457,162.23	-	457,162.23	-	-	457,011.48
5	U-5745	50166.2.1	NC 751 (Hope Valley Rd) in Durham County; Roundabout	1,256,360.59	1,608.07	1,470,378.32	(214,017.73)	-	338,329.80
5	U-5745	50166.3.1	NC 751 (Hope Valley Rd) in Durham County; Roundabout	2,375,707.50	-	1,338,604.25	1,037,103.25	-	1,020,435.41
5	U-5747	50167.1.1	SR 1635 McCrimmon Parkway in Morrisville	3,962,071.68	36,069.81	3,446,855.53	515,216.15	-	2,332,071.68
5	U-5747B	50167.2.3	SR 1635 McCrimmon Parkway in Morrisville	2,999,284.38	752.06	60,424.05	2,938,860.33	-	59,671.99
5	U-5748	50168.1.1	US 401 at Ligon Mill & Mitchell Mill Rds; Interchange	2,247,001.55	107,925.10	2,631,455.69	(384,454.14)	-	1,496,902.45
5	U-5748	50168.2.1	US 401 at Ligon Mill & Mitchell Mill Rds; Interchange	5,408,778.59	3,464.10	1,566,487.69	3,842,290.90	-	1,556,379.21
5	U-5750	50170.1.1	NC 54 From NC 540 to Perimeter Park Dr; Add Lanes	2,592,565.65	63,977.79	2,387,298.18	205,267.47	-	1,574,198.01
5	U-5750	50170.2.1	NC 54 From NC 540 to Perimeter Park Dr; Add Lanes	9,000,000.00	-	3,371.40	8,996,628.60	-	3,371.40
6	U-5742	50164.3.1	NC 56 at US 15 & NC 50 in Creedmoor; Realign Intersections	8,546,519.00	10,705.91	8,675,802.38	(129,283.38)	-	574,684.00
7	U-2412A	34802.3.3	SR 1486/SR 1421 (Jamestown Parkway); Widen to Multi-lanes	52,107,585.00	1,366,964.81	48,731,553.68	3,376,031.32	2,741,948.13	33,636,957.74
7	U-2525C	34821.1.5	Greensboro Eastern Loop From US 29 to SR 2303	6,463,080.07	35,103.86	6,607,217.41	(144,137.34)	-	6,484,671.30
7	U-2525C	34821.3.7	Greensboro Eastern Loop From US 29 to SR 2303	162,997,322.00	2,371,672.70	97,834,835.52	65,162,486.48	2,180,224.46	19,976,662.78
7	U-2581BA	34840.1.4	US 70 (Burlington Rd) in Guilford County	1,604,199.10	-	1,368,914.77	235,284.33	-	1,322,224.22
7	U-2581BA	34840.2.4	US 70 (Burlington Rd) in Guilford County	2,746,546.17	-	2,686,147.85	60,398.32	-	2,184,423.00
7	U-2581BA	34840.3.4	US 70 (Burlington Rd) in Guilford County	12,693,911.14	728,603.88	4,483,836.59	8,210,074.55	728,720.98	3,187,295.66
7	U-3109A	34900.1.4	NC 119 Relocation From I-40/I-85 to North of SR 1921	1,700,000.00	279.83	282,726.72	1,417,273.28	-	282,446.89
7	U-3109B	34900.3.4	NC 119 Relocation From I-40/I-85 to North of SR 1921	14,363,200.00	89,015.01	3,433,688.68	10,929,511.32	84,480.99	2,024,685.25
7	U-3109A	34900.3.5	NC 119 Relocation From I-40/I-85 to North of SR 1921	49,099,999.60	651,978.66	46,112,948.78	2,987,050.82	643,127.34	19,673,796.16
7	R-4707	36599.1.5	SR 2526 in Guilford County; Roadway Improvements	3,680,325.86	13,677.46	3,725,340.67	(45,014.81)	-	3,119,069.50
7	R-4707	36599.2.1	US 29 / SR 4771 (Reedy Fork); Roadway Improvements	13,923,000.00	3,299,111.92	7,784,532.83	6,138,467.17	-	4,854,063.16
7	U-5896	44674.1.2	US 29 / US 70 / Business 85 in High Point; Interchange	2,582,224.93	16,287.03	2,249,227.20	332,997.73	-	1,510,124.48
7	U-5896	44674.2.1	US 29 / US 70 / Business 85 in High Point; Interchange	16,458,875.00	293.26	4,940,892.62	11,517,982.38	-	7,352,628.66
7	U-6015	47160.3.1	Burlington - Graham Signal System	9,242,123.00	361,187.22	2,445,566.68	6,796,556.32	247,030.04	1,149,666.92
7	U-5843	50233.1.1	US 70 at SR 1817 in Burlington; Intersection Improvements	627,306.49	-	627,306.49	-	-	627,306.49
7	U-5843	50233.3.1	US 70 at SR 1817 in Burlington; Intersection Improvements	2,771,621.00	2,177.54	2,926,495.43	(154,874.43)	6,987.84	1,793,464.97
8	R-2501C	3447.1.5	US 1 Widen to multi-lanes With Bypass of Rockingham	168,758.95	-	168,758.95	-	-	168,758.95
8	R-2501C	34437.3.7	US 1 Widen to multi-lanes With Bypass of Rockingham	17,800,000.17	87,544.09	15,412,275.33	2,387,724.84	-	10,557,451.55
8	R-3421A	34542.2.7	US 220 to I-73 / I-74 in Richmond County (Utilities)	4,772,103.13	-	4,269,577.20	502,525.93	-	4,269,577.20
8	R-3421A	34542.3.6	US 220 to I-73 / I-74 in Richmond County	61,323,000.00	1,313,402.99	21,468,529.34	39,854,470.66	1,321,281.34	19,369,998.00
8	R-3421B	34542.3.7	US 220 to I-73 / I-74 in Richmond County	103,300,000.00	2,201,293.97	10,488,667.76	92,811,332.24	2,177,610.79	5,493,392.39
8	R-2527	35572.1.1	NC 24-27 From NC 73 to Troy Bypass; Widening Project	6,859,623.99	64,537.27	7,617,535.06	(757,911.07)	-	6,061,866.01
8	R-2527	35572.2.1	NC 24-27 From NC 73 to Troy Bypass; Widening Project	9,437,000.00	1,050.44	488,304.20	8,948,695.80	-	664,897.39
8	R-3830	38887.2.2	Utilities NC 42 from US 421 in Sanford & Broadway	6,293,794.24	26,194.67	717,696.76	5,576,097.48	-	340,563.68
8	R-3830	38887.2.3	ROW NC 42 from US 421 in Sanford & Broadway	16,709,919.68	11,718.91	13,159,820.79	3,550,098.89	-	7,606,683.05
8	U-5308	47028.1.2	SR 1547 FROM SOUTH OF SR 3106 TO I-85; Widening Project	3,813,611.89	36,005.25	3,130,529.98	683,081.91	-	2,100,518.25
8	U-5308	47028.2.2	SR 1547 FROM SOUTH OF SR 3106 TO I-85; Widening Project	12,262,000.00	11,595.73	238,436.00	12,023,564.00	-	190,096.95
9	I-0911A	34147.3.4	I-40 From West of NC 801 TO East of SR1101; Widen to Six Lanes	106,516,661.00	940,487.92	31,938,046.60	74,578,614.40	994,128.99	17,429,662.31
9	U-2579C	34839.3.GV6	Winston Salem Northern Beltway (Eastern Section)	40,208,706.00	1,387,162.66	32,779,820.78	7,428,885.22	-	9,061,218.07
9	U-2729	34853.1.2	SR 1672 (Hanes Mill Rd) in Forsyth County; Widening Project	1,145,980.88	7,778.20	1,272,540.46	(126,559.58)	-	913,669.48
9	U-2729	34853.2.2	SR 1672 (Hanes Mill Rd) in Forsyth County; Widening Project	5,191,873.93	219.22	620,736.64	4,571,137.29	-	566,993.78
9	U-4734	36600.3.1	SR 2601 (Macy Grove Road) Extension in Kernersville	14,871,856.39	263,574.77	17,683,729.23	(2,811,872.84)	-	8,668,970.37
9	U-5820A	44392.3.1	New Route From SR 2576 to SR 1006; New Multi-lane Roadway	2,961,497.10	250.11	3,025,538.64	(64,041.54)	-	2,023,461.00

North Carolina Department of Transportation  
Highway Trust Fund  
Period Ending January 31, 2021

**Exhibit G2 pg 3 of 3 - Statement of Allotments, Expenditures, and Receipts for Build NC Bond Projects**

Division	TIP	WBS	Description	Allotments	Expenditures		Unexpended Allotments	Trustee Reimbursement	
					Current Period	Inception to Date ****		Current Period	Inception to Date
10	R-2530B	34446.1.6	NC 24-27 in Albemarle; Widening Project	6,153,148.81	6,573.13	6,079,318.67	73,830.14	-	6,047,984.80
10	R-2530B	34446.2.5	NC 24-27 in Albemarle; Widening Project	21,950,000.00	169,504.94	23,082,861.55	(1,132,861.55)	-	4,431,028.25
10	R-2530B	34446.2.6	NC 24-27 in Albemarle; Widening Project; Utilities	7,900,000.00	837,467.87	3,868,410.56	4,031,589.44	-	2,787,765.11
10	R-2530B	34446.3.4	NC 24-27 in Albemarle; Widening Project	70,164,391.19	137,329.77	23,963,180.87	46,201,210.32	2,008,497.29	22,667,675.04
10	U-4713A	39077.1.2	SR 3457 to SR 3448 in Mecklenberg County	1,361,698.20	1,244.53	1,200,899.82	160,798.38	-	888,487.76
10	U-4713A	39077.2.2	SR 3457 to SR 3448 in Mecklenberg County	2,599,999.00	-	309,939.80	2,290,059.20	-	280,116.90
10	B-5136	42295.3.FR1	US 29 Over Norfolk Souther RR; Replace Bridges 66 and 69	16,126,410.98	214,874.31	6,449,027.69	9,677,383.29	7,278.36	2,167,074.00
10	U-5804B	44376.3.2	SR 3448 (South Trade Street); Widen to Four Lanes	3,863,366.79	124,617.80	4,763,837.76	(900,470.97)	-	1,133,688.95
10	U-5806	44378.1.D1	SR 2894 at Concord Mills Entrance; #1-Kings Grant Pavilion	1,856,614.98	-	1,704,038.71	152,576.27	-	1,556,614.98
10	U-5806	44378.3.1	SR 2894 at Concord Mills Entrance; #1-Kings Grant Pavilion	8,894,000.00	33,039.65	8,431,016.13	462,983.87	30,173.47	2,414,559.55
10	U-6032	46965.1.1	SR 2464 From I-485 to SR 2894 in Cabarrus County; Widening P	2,198,328.24	3,957.10	1,772,705.50	425,622.74	-	-
10	U-6032	46965.2.1	SR 2464 From I-485 to SR 2894 in Cabarrus County; Widening P	2,900,000.00	-	24,048.82	2,875,951.18	-	-
11	R-2915E	34518.3.8	US 221 From Bypass to US 221 BUS/NC 88 in Jefferson; Widen	35,700,000.00	23,677.29	53,879.59	35,646,120.41	15,008.35	27,968.47
11	R-5759	44691.1.1	NC 115 From US 421 to 2nd St in Wilkes County	1,609,134.58	55,583.09	2,304,874.30	(695,739.72)	-	1,056,341.84
11	R-5759	44691.2.1	NC 115 From US 421 to 2nd St in Wilkes County; Utilities	7,500,000.00	102.29	4,110.81	7,495,889.19	-	1,128.96
11	R-5745	50200.3.1	US 64/NC 90/NC 18 & US 64/NC 90; Intersection Improvements	4,432,588.71	-	4,536,456.27	(103,867.56)	-	1,312,973.30
12	I-3819B	34192.2.3	I-40/I-77 Interchange Area; Construct Final Improvements	214,750,102.01	6,109,711.00	116,845,631.24	97,904,470.77	4,821,387.30	33,508,661.83
12	R-2707C	34497.1.4	US 74 Shelby Bypass From East of NC 226 to West of NC 150	146,067.85	-	146,067.85	-	-	56,070.59
12	R-2707C	34497.3.6	US 74 Shelby Bypass From East of NC 226 to West of NC 150	64,133,683.00	715,639.76	50,633,545.72	13,500,137.28	740,259.49	14,417,965.92
12	R-3100A	34522.3.5	NC 16 From North of SR 1814 TO SR 1895; Widen to Four Lanes	50,710,146.38	127,093.38	16,021,843.59	34,688,302.79	5,037,729.73	9,380,099.82
12	U-3633	37649.3.3	NC 273 From Tuskagee Road to Highland Street; Widen	21,524,548.00	320,562.26	5,532,743.25	15,991,804.75	507,229.47	1,762,093.68
12	R-5100A	41890.1.2	SR 1109 Widening Project in Iredell County	1,140,504.21	53,309.29	1,864,219.76	(723,715.55)	-	466,370.04
12	R-5100A	41890.2.3	SR 1109 Widening Project in Iredell County	8,000,000.00	1,278.89	245,781.01	7,754,218.99	-	142,460.83
12	R-5100A	41890.2.4	SR 1109 Widening Project in Iredell County	3,000,000.00	-	400,362.64	2,599,637.36	-	347,148.24
12	U-5799	44371.1.1	US 21 Widening Project in Iredell County	2,122,513.01	23,010.43	2,340,373.88	(217,860.87)	-	1,756,673.37
12	U-5799	44371.2.1	US 21 Widening Project in Iredell County	3,200,000.00	-	471,396.09	2,728,603.91	-	42,702.54
12	W-5212N	45342.3.14	NC 279 From SR 1438 to North of NC 275; Safety Improvements	17,990,252.00	16,440.49	7,490,661.99	10,499,590.01	58,928.35	2,226,808.62
12	U-6038	46974.3.1	US 74 (Wilkinson Blvd) From NC 7 to SR 2209	675,000.00	980.58	842,736.39	(167,736.39)	-	456,129.00
13	U-5818	44390.1.1	SR 1001 (Sugar Hill Rd) Widening Project in McDowell	1,323,446.69	2,092.90	1,330,734.19	(7,287.50)	-	1,325,881.44
13	U-5818	44390.2.1	SR 1001 (Sugar Hill Rd) Widening Project in McDowell	330,000.24	-	55,994.78	274,005.46	-	64,472.52
13	U-5818	44390.3.1	SR 1001 (Sugar Hill Rd) Widening Project in McDowell	13,329,894.92	353,068.14	6,856,550.99	6,473,343.93	321,863.08	6,529,343.95
13	B-6012	48207.3.1	SR 1341 Over Foster Creek; Replace Bridge 73	4,746,050.00	141,678.65	2,717,719.27	2,028,330.73	146,268.70	1,611,854.05
13	U-5833	50225.1.1	SR 2241 (Oak St) Widening Project in Rutherford County	1,392,668.04	-	802,123.86	590,544.18	-	802,123.86
13	U-5833	50225.2.1	SR 2241 (Oak St) Widening Project in Rutherford County	1,025,444.59	2,875.13	1,032,646.03	(7,201.44)	-	338,782.28
13	U-5833	50225.3.1	SR 2241 (Oak St) Widening Project in Rutherford County	5,900,831.56	172,228.37	3,372,120.99	2,528,710.57	286,560.48	3,156,031.34
14	R-5605	43587.3.1	Davidson River Village Connector From US 64 to US 276/US 64	17,293,315.49	9,706.53	13,859,744.61	3,433,570.88	-	2,591,575.00
14	U-5604	45832.1.1	US 441 Bus Intersection Improvements	1,415,935.10	-	1,415,935.10	-	-	1,415,935.10
14	U-5604	45832.3.1	US 441 Bus Intersection Improvements	7,790,000.00	13,989.75	7,830,066.11	(40,066.11)	-	5,491,836.64
14	R-5742	46325.1.D1	NC 175 From GA State Line to US 64; Upgrade Roadway	1,933,542.98	-	1,933,542.98	-	-	1,933,542.98
14	R-5742	46325.2.1	NC 175 From GA State Line to US 64; Upgrade Roadway	3,460,000.00	8,743.90	3,552,119.63	(92,119.63)	-	419,978.78
14	R-5742	46325.3.1	NC 175 From GA State Line to US 64; Upgrade Roadway	21,300,000.00	1,858,270.07	13,795,135.35	7,504,864.65	2,589,702.88	13,632,674.97
14	R-5734A	50192.1.1	US 23 / US 441 in Macon County	1,787,763.12	-	1,787,763.12	-	-	1,787,763.12
14	R-5734B	50192.1.2	US 23 / US 441 in Macon County	1,524,300.00	3,130.67	1,457,346.63	66,953.37	-	-
14	R-5734B	50192.2.2	US 23 / US 441 in Macon County	9,450,000.00	-	-	9,450,000.00	-	-
14	R-5734A	50192.3.1	US 23 / US 441 in Macon County	8,890,211.53	193,711.72	9,194,861.81	(304,650.28)	602,767.89	3,001,163.83
14	R-5735	50193.1.1	US 19 / US 74 / US 64 / US 129 in Cherokee County	2,088,507.24	-	2,088,507.24	-	-	2,088,507.24
14	R-5735	50193.2.1	US 19 / US 74 / US 64 / US 129 in Cherokee County	3,899,900.00	90,866.36	4,080,149.97	(180,249.97)	-	3,739,659.84
14	R-5735	50193.3.1	US 19 / US 74 / US 64 / US 129 in Cherokee County	21,402,539.90	27,948.37	6,187,623.66	15,214,916.24	254,084.26	5,713,724.31
14	U-5839	50230.1.1	US 276 (Russ Ave) in Haywood County; Upgrade Corridor	3,503,224.68	5,941.51	3,488,584.47	14,640.21	-	2,584,926.61
14	U-5839	50230.2.1	US 276 (Russ Ave) in Haywood County; Upgrade Corridor	6,200,000.00	1,169.55	2,081,408.20	4,118,591.80	-	2,160,015.23
14	U-5839	50230.2.2	US 276 (Russ Ave) in Haywood County; Upgrade Corridor	750,000.00	597.78	887.46	749,112.54	-	151.32
14	U-5840	50231.1.D1	SR 1547 (Old Airport Rd) in Henderson County; Widening	1,220,734.78	-	1,220,734.78	-	-	1,220,734.78
14	U-5840	50231.2.1	SR 1547 (Old Airport Rd) in Henderson County; Widening	2,297,000.00	29,526.76	2,371,993.91	(74,993.91)	-	667,195.61
14	U-5840	50231.3.1	SR 1547 (Old Airport Rd) in Henderson County; Widening	10,042,520.77	335,126.24	10,078,323.66	(35,802.89)	562,146.60	8,516,860.92
<b>TOTAL</b>				<b>2,290,891,935.32</b>	<b>38,142,169.82</b>	<b>1,258,526,776.52</b>	<b>1,032,365,158.80</b>	<b>40,401,306.30</b>	<b>614,232,190.51</b>

\*Build NC bond funds from the 2019A issuance were fully reimbursed in July 2020. As a result, WBS expenditure totals will not be updated until the next bond sale.

North Carolina Department of Transportation  
Highway Trust Fund  
Period Ending January 31, 2021

**Exhibit G3 - Summary of Build NC Bond Fund Availability and Allocations**

Description	2019A Issue	2020B Issue	Total
<b>Build NC Bond Proceeds Available</b>			
Bond Funds Available	300,000,000.00	700,000,000.00	1,000,000,000.00
Bond Premium Proceeds	57,343,615.80	148,687,448.55	206,031,064.35
Less: Debt Service Reserve Fund	(28,179,050.00)	(64,869,100.00)	(93,048,150.00)
Less: Total Underwriter's Discount	(784,074.43)	(1,798,113.25)	(2,582,187.68)
Less: Bond Issuance Cost	(536,090.11)	(600,000.00)	(1,136,090.11)
<b>Total Bond Proceeds Available</b>	<b>327,844,401.26</b>	<b>781,420,235.30</b>	<b>1,109,264,636.56</b>
<b>Adjustments to Available Funds:</b>			
Interest from Prior Years	3,647,116.96	-	3,647,116.96
Interest from Current Year	25,076.80	91,514.11	116,590.91
Less: Interest to Debt Service Reserve Fund	(476,190.88)	(10,551.12)	(486,742.00)
Bond Management Fees	(103,051.05)	-	(103,051.05)
Trustee Reimbursement (Requisitions)	(330,936,325.23)	(283,295,865.28)	(614,232,190.51)
Bank Adjustments	-	-	-
<b>Total Adjustments to Available Funds</b>	<b>(327,843,373.40)</b>	<b>(283,214,902.29)</b>	<b>(611,058,275.69)</b>
<b>Total Funds Available</b>	<b>1,027.86</b>	<b>498,205,333.01</b>	<b>498,206,360.87</b>





**NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
FINANCIAL STATEMENTS**

**Fiscal Year 2021  
Period Ending January 31, 2021  
State Infrastructure Bank**



**North Carolina Department of Transportation**  
**State Infrastructure Bank**  
**Period Ending January 31, 2021**

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The State Infrastructure Bank (SIB) is an investment fund that offers loans and other types of financial assistance to transportation facilities and projects that will contribute to meeting the State's transportation goals. These facilities may include highway, rail, transit, intermodal, and other types. Borrowers include any public entity. Private companies and non-profit organizations are eligible but with some restrictions.

The SIB was established in July 1997 by G.S. 136-18 and received an initial infusion of equity capital in April 1998 from which the loans will be made. Repayments of principal and interest as well as other financial assistance will be used to replenish the fund. This secondary infusion of funds into the SIB creates a revolving loan fund and permits the SIB to become a self-sustaining financial institution.

The SIB program was created through the National Highway System legislation in 1995 and was authorized under Section 350 of the National Highway System Designation Act of 1995, Public Law 104-59, 23 U.S.C.G.S. 101 note. Each state DOT may capitalize their bank with up to 10% annually of their federal gas tax funds.

North Carolina Department of Transportation  
State Infrastructure Bank  
Period Ending January 31, 2021

**Exhibit A - Balance Sheet**

**Assets:**

**Cash**

Budget Code 64202	2,419,117.29	
Budget Code 64203	553,794.57	
Budget Code 64206	263,038.73	
<b>Total Cash</b>		3,235,950.59

**Notes Receivable (Loan Proceeds)**

Currently no outstanding loans	-	-
<b>Total Notes Receivable</b>		-
<b>Total Assets</b>		<u><u>3,235,950.59</u></u>

**Liabilities:**

**Fund Balance**

Reserves / Unobligated Funds	3,235,950.59	
Reserves / Obligated Funds	-	
<b>Total Fund Balance</b>		3,235,950.59
<b>Total Liabilities</b>		-
<b>Total Liabilities and Fund Balance</b>		<u><u>3,235,950.59</u></u>

North Carolina Department of Transportation  
State Infrastructure Bank  
Period Ending January 31, 2021

**Exhibit B - Statement of Revenues**

	Receipts Brought Forward	Interest Earned	Available Cash
<b>Revenue:</b>			
Federal Receipts Brought Forward	1,260,000.00		
<b>Revenue Increases:</b>			
State Receipts Brought Forward	1,305,000.00		
<b>Interest Earned Budget Code 64202:</b>			
Obligated Fund		-	
Unobligated Fund		2,408,812.66	
Interest Earned Fiscal Year 2020 - 2021		10,304.63	
<b>Sub Total - 64202</b>			2,419,117.29
<b>Interest Earned Budget Code 64203:</b>			
Obligated Fund		-	
Unobligated Fund		551,435.59	
Interest Earned Fiscal Year 2020 - 2021		2,358.98	
<b>Sub Total - 64203</b>			553,794.57
<b>Interest Earned Budget Code 64206:</b>			
Obligated Fund		-	
Unobligated Fund		261,918.34	
Interest Earned Fiscal Year 2020 - 2021		1,120.39	
<b>Sub Total - 64206</b>			263,038.73
<b>Total Availability</b>			<u>3,235,950.59</u>
Less Loan Proceeds Disbursed to the Following:			
Currently no outstanding loans			
<b>Cash Balance</b>			<u><u>3,235,950.59</u></u>

Exhibit C - Loan Statement

Municipality	Principal	Interest	Loan Repayment	Loan Balance
Current Loans				
None	-	-	-	-
Total Loans Outstanding	-	-	-	-



**NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
FINANCIAL STATEMENTS**

Fiscal Year 2021  
Period Ending January 31, 2021  
Special Revenue Accounts



**North Carolina Department of Transportation**  
**Special Registration Plate Account**  
**Period Ending January 31, 2021**

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Two Special Revenue Accounts are maintained by the State Treasurer under the auspices of The Office of the State Controller for the North Carolina Department of Transportation. Other than the collection and transfer of these revenues, these accounts are not reflected in any North Carolina Department of Transportation's records.

Beginning with Fiscal Year 1996-97, balance sheets and disbursement ledgers will be presented in the monthly financial statements along with a narrative for each of these accounts.

These accounts are:

- Special Registration Plate Account (Exhibit A)
- Collegiate and Cultural Attraction Plate Account (Exhibit B)

**North Carolina Department of Transportation**  
**Special Registration Plate Account**  
**Period Ending January 31, 2021**

G.S. 20-79.7 Budget Code 24261

**Exhibit A**

This account receives funds from the additional fees collected for special registration plates according to the following schedule:

<u>Special Plate</u>	<u>Additional Fee</u>	<u>SRPA</u>	<u>CCAPA*</u>	<u>NHTF**</u>	<u>PRTF***</u>
Historical Attraction	\$30	\$10	\$20	\$0	
State Attraction	\$30	\$10	\$20	\$0	
In-State Collegiate Insignia	\$25	\$10	\$15	\$0	
Out-of-State Collegiate Insignia	\$25	\$10	\$0	\$15	
Personalized	\$30	\$10	\$0	\$15	\$5
Olympic Games	\$25	\$10	\$15	\$0	
Wildlife Resources	\$20	\$10	\$10	\$0	
All other Special Plates	\$0-\$30	\$10	\$0-\$20	\$0-\$10	

\*Collegiate and Cultural Attraction Plate Account G.S. 20-81.12

\*\*Natural Heritage Trust Fund G.S. 113-77.7

\*\*\*Parks and Recreation Trust Fund G.S. 113-44.15

Collections are credited monthly to this special account which is held by the Office of the State Controller.

Disbursements are made according to the following schedule:

1. DMV shall deduct the costs of special registration plates, including the costs of issuing, handling, and advertising the availability of the special plates.
2. \$1,300,000 is appropriated to provide operating assistance for the Visitor and Welcome Centers as follows:
 

US Hwy 17 in Camden County	\$92,857
US Hwy 17 in Brunswick County	\$92,857
US Hwy 441 in Macon County	\$92,857
Town of Boone, Watauga County	\$92,857
US Hwy 29 in Caswell County	\$92,857
US Hwy 70 in Carteret County	\$92,857
US Hwy 64 in Tyrrell County	\$92,857
US Hwy 221 in McDowell County	\$92,857
US Hwy 701 & NC 904 in Columbus County	\$92,857
Staton Road in Transylvania County	\$92,857
Town of Fair Bluff - US 76 & NC 904 in Columbus County	\$92,857
US 421 in Wilkes County	\$92,857
Interstate 73 and Interstate 74 in Randolph County each for 2 centers	\$92,858
3. Remaining revenue is transferred quarterly according to the following schedule:
  - a. 50% to the Department of Transportation for the purpose of beautification of highways.
  - b. 50% to the Department of Transportation Highway Fund to be used for the Roadside Vegetation Management Program.



North Carolina Department of Transportation  
Special Registration Plate Account  
Period Ending January 31, 2021

Exhibit A - Balance Sheet

Assets:

Cash	446,139.82	
Total Assets		446,139.82

Liabilities:

Payables:		
Prior Year	0.18	
Current Year	3,689,310.64	
Total Payables		3,689,310.82
Expenditures		(3,243,171.00)
Total Liabilities		446,139.82

**North Carolina Department of Transportation**  
**Special Registration Plate Account**  
**Period Ending January 31, 2021**

**Exhibit A2 - Disbursements**

Payee	For	Amount
NC Department of Transportation	Reimbursement to DOT for Expenses	358,046.00
NC Department of Transportation	Reimbursement for Payments to Visitor Center	637,499.00
NC Department of Transportation	NC Department of Transportation Highway Beautification	1,123,813.00
NC Department of Transportation	NC Department of Transportation Roadside Vegetation Management Program	1,123,813.00
<b>Disbursements for Fiscal Year 2020-2021</b>		<u><u>3,243,171.00</u></u>

**North Carolina Department of Transportation**  
**Collegiate and Cultural Attraction Plate Account**  
**Period Ending January 31, 2021**

G.S. 20-81.12 Budget Code 24265

**Exhibit B**

This account receives funds from the additional fees collected for collegiate and cultural attraction plates according to the following schedule:

<u>Special Plate</u>	<u>Additional Fee</u>	<u>SRPA*</u>	<u>CCAPA</u>
Collegiate Insignia	\$25	\$10	\$15
Historical Attraction	\$30	\$10	\$20
State Attraction	\$30	\$10	\$20
Wildlife Resources	\$20	\$10	\$10
Olympic Games	\$25	\$10	\$15
Others	\$15-\$30	\$10	\$5-\$20

Collections are credited monthly to this special account which is held by the Office of the State Controller.

Disbursements are made according to the following schedule:

1. **In-State Collegiate Insignia Plate** - Quarterly, the funds collected from the sale of in-State collegiate insignia plates are transferred to the colleges and universities in proportion to the number of plates sold representing that institution for use for
2. **Historical Attraction Plate** - Quarterly, the funds collected from the sale of Historical Attraction Plates are transferred in proportion to the number of plates sold representing that organization according to the following schedule:
  - a. Historical Attraction within Historic District - Funds transfer to the appropriate Historic Preservation Commission and used to maintain property in the historic district in which the attraction is located.
  - b. Nonprofit Historical Attraction - Funds transfer to the nonprofit corporation that is responsible and used to develop and
  - c. State Historic Site - Funds transfer to the Department of Cultural Resources and used to develop and operate the site for which the plate was issued.
3. **State Attraction Plate** - Quarterly, the funds collected from the sale of State attraction plates are transferred to organizations in proportion to the number of plates sold representing that organization.
4. **Wildlife Resources Plate** - Quarterly, the funds collected from the sale of Wildlife Resources Plates to the Wildlife Conservation Account.
5. **Olympic Games Plate** - Quarterly, the funds collected from the sale of Olympic Games Plates to the NC Amateur Sports, which will allocate the funds as follows:
  - a. 67% to the US Olympic Committee to assist in training olympic athletes.
  - b. 33% to North Carolina Amateur Sports to assist with administration of the State Games of NC
6. **Others** - Quarterly, the funds collected from the sale of other plates are transferred in proportion to the number of plates sold representing that organization.

North Carolina Department of Transportation  
Collegiate and Cultural Attraction Plate  
Period Ending January 31, 2021

**Exhibit B - Balance Sheet**

**Assets:**

Cash	2,473.76	
<b>Total Assets</b>		<u>2,473.76</u>

**Liabilities:**

Payables:

Prior Year	501,660.01	
Current Year	2,121,715.42	
<b>Total Payables</b>		2,623,375.43

Expenditures		<u>(2,620,901.67)</u>
<b>Total Liabilities</b>		<u>2,473.76</u>

**North Carolina Department of Transportation**  
**Collegiate and Cultural Attraction Plate**  
**Period Ending January 31, 2021**

G.S. 20-81.12 Budget Code 24265

**Exhibit B2 pg 1 of 3 - Disbursements**

Payee	For the Sale of:	Amount
UNC Board of Trustees	Collegiate Plates	314,021.25
NC Department of Agriculture	Animal Lovers Plates	105,750.00
NC Wildlife Commission	Wildlife Plates	41,920.00
NC Department of Agriculture	First In Forestry Plates	19,370.00
NC Department of Public Safety	In God We Trust Plates	68,530.00
NC Community College	Harley Owners Grp. Plates	3,680.00
NC Department of Health & Human Services	Kids First Plates	12,840.00
NC Department of Transportation	Litter Prevention Plates	980.00
NC Wildlife Commission	Native Brook Trout Plates	28,261.67
NC Environmental Health & Natural Resources	Scuba Plates	6,940.00
NC Department of Transportation	Share the Road Plates	17,520.00
NC Wildlife Commission	Wildlife Plates	27,315.00
Order of the Long Leaf Pine	Order of the Long Leaf Pine	470.00
Friends of Appalachian Trl	Appalachian Trail Plates	96,881.67
Autism Society of NC	Autism Plates	7,580.00
Campbell University	Collegiate Plates	6,080.00
Core Sound Museum	Core Sound Museum Plates	27,200.00
Duke	Collegiate Plates	12,780.00
Elon University	Collegiate Plates	6,165.00
Great Smoky Mts.	Friends fo Great Smoky Mts. Natl. Park Plates	389,386.66
Gardner Webb University	Collegiate Plates	3,630.00
Homes 4 NC	HOMES4NC Plates	3,080.00
High Point University	Collegiate Plates	4,095.00
Lenoir Rhyne College	Collegiate Plates	3,000.00
Meredith College	Collegiate Plates	6,525.00
Ntl Multiple Sclerosis Society	National Multiple Sclerosis Society Plates	3,555.00
Maritime Museum	Maritime Museum Plates	6,380.00
Methodist University	Collegiate Plates	2,235.00
Native American	Native American Plates	19,910.00
NC Coastal Federation	NC Coastal Federation Plates	66,090.00
Olympic Games	Olympic Games Plates	1,470.00
State Capitol Foundation Inc	Retired Legislator House	540.00
State Capitol Foundation Inc	Retired Legislator Senate	340.00
NC State University Apiculture Program	Save the Honey Bee Plates (HB)	4,870.00
NC State University Apiculture Program	Save the Honey Bee Plates (SB)	11,366.88
Grandfather Mountain Stewardship Foundation	Save the Honey Bee Plates (SB)	11,366.87

**North Carolina Department of Transportation**  
**Collegiate and Cultural Attraction Plate**  
**Period Ending January 31, 2021**

G.S. 20-81.12 Budget Code 24265

**Exhibit B2 pg 2 of 3 - Disbursements**

Payee	For the Sale of:	Amount
NC Surveyors	NC Surveyors Assoc Plates	6,990.00
NC Tennis Foundation	NC Tennis Foundation Plates	8,230.00
The V Foundation	V Foundation Plates	6,300.00
Wake Forest University	Collegiate Plates	12,150.00
NC Wildlife Habitat Foundation	NC Wildlife Habitat Plates	5,950.00
The NC Zoological Society	NC Zoological Plates	8,780.00
Arts North Carolina	Arts North Carolina Plates	32,325.00
King Mountain Gateway Trail	Battle of King Mountain Plates	930.00
City of King Mountain	Battle of King Mountain Plates	930.00
Buddy Pelletier Surfing Foundation	Buddy Pelletier Surfing Foundation Plates	11,060.00
Big Rock Blue Marlin Tournament	Big Rock Blue Marlin Tournament Plates	24,175.00
Blue Ridge Parkway Motorcycle	Blue Ridge Parkway Motorcycle Plates	12,760.00
Blue Ridge Parkway	Blue Ridge Parkway Plates	386,648.34
The Children's Oncology Group/Riley's Army Inc.	Kick Cancer for Kids Plates	7,860.00
Carolinas Golf Association	Carolina Golf Plates	12,880.00
Carolina Uplift Foundation	Carolina Uplift Foundation	1,730.00
Choose Life	Carolina Pregnancy Care	14,445.00
Coastal Land Trust	Coastal Land Trust Plates	26,060.00
Davidson College	Collegiate Plates	5,505.00
Donate Life	Donate Life Plates	11,990.00
NC Master Gardener	NC Master Gardner Plates	2,600.00
Forest Education & Conservation	First in Forestry Plates	19,370.00
NC State Lodge Fraternal Police	NC Fraternal Order of Police Plates	9,780.00
Guilford Battleground Company	Guilford Battleground Company Plates	5,390.00
Home Care and Hospice	Home Care and Hospice Plates	3,465.00
Historic Lighthouse	Historic Lighthouse Plates	8,460.00
International Assn of Firefighters	International Assn of Firefighters	8,130.00
Juvenile Diabetes Research	Juvenile Diabetes Research Plates	3,920.00
US Coast Guard	USO of North Carolina Plates	1,080.00
US Merchant Marine Academy	USO of North Carolina Plates	540.00
US Air Navel Academy	USO of North Carolina Plates	1,500.00
US Air Force Academy	USO of North Carolina	2,640.00
US Military Academy West Point	USO of North Carolina	4,160.00
Mountains To Sea Trail	Mountains to Sea Trail Plates	9,380.00
NC Horse Council	NC Horse Council Plates	9,930.00

**North Carolina Department of Transportation**  
**Collegiate and Cultural Attraction Plate**  
**Period Ending January 31, 2021**

G.S. 20-81.12 Budget Code 24265

**Exhibit B2 pg 3 of 3 - Disbursements**

Payee	For the Sale of:	Amount
Friends of State Parks	Friends of NC State Park Plates	124,173.33
North Carolina Sheriffs Assoc	NC Sherriffs Assoc Plates	42,990.00
Nurses	Nurse Plates	18,390.00
National Wild Turkey Federation	National Wild Turkey Plates	6,855.00
Omega Psi Phi	Omega Psi Phi Plates	3,220.00
Carolina HealthCare Foundation	Carolina Panthers Keep Pounding Plates	75,210.00
Foundation For The Carolinas	Carolina Panthers Keep Pounding Plates	75,210.00
Piedmont Silver Eagles	Piedmont Airlines Silver Eagle Plates	5,570.00
Prince Hall Mason	Prince Hall Mason Plates	5,500.00
Rocky Mountain Elk	Rocky Mountain Elk Plates	15,380.00
Ronald McDonald House	Ronald McDonald House Plates	3,200.00
Shag Dancing	Shag Dancing Plates	2,175.00
Shaw University	Collegiate Plates	2,790.00
Support Our Troops	Support Our Troops Plates	16,720.00
Support Soccer	NC Soccer Hall of Fame Plates	4,635.00
Save the Sea Turtle	Save the Sea Turtle Plates	64,990.00
The Friends of the Graveyard	The Friends of the Graveyard	20,180.00
The Pisgah Conservancy	The Pisgah Conservancy	27,060.00
NC Trout Unlimited	Trout Unlimited Plates	7,080.00
NASCAR Foundation	NASCAR Plates	26,680.00
Zeta Phi Beta	Zeta Phi Beta Plates	3,740.00
ALPHA PHI ALPHA Fraternity	ALPHA Fraternity	9,820.00
Order of Eastern Plate	Order of Eastern Plate	2,800.00
Keeping the Lights On	Keeping the Lights On	21,765.00
Wrightsville Beach	Wrightsville Beach	3,220.00
ALS RESEARCH	ALS RESEARCH	1,380.00
Account Adjustment		-
<b>Disbursements for Fiscal Year 2020-2021</b>		<b>2,620,901.67</b>

**North Carolina Department of Transportation**  
**Drug Forfeiture Fund**  
**Period Ending January 31, 2021**

Budget Code(s) 64201, 64210, 64211

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**Exhibit C**

The primary purpose of the U.S. Department of Justice and the U.S. Department of the Treasury Asset Forfeiture Program is to deter crime by depriving criminals of the profits and proceeds of their illegal activities and to weaken criminal enterprises by removing the instrumentalities of crime. An ancillary purpose of the program is to enhance cooperation among federal, state and local law enforcement agencies through the equitable sharing of Federal Forfeiture proceeds.

Any time the Division of Motor Vehicles License and Theft Bureau directly participates in an investigation or prosecution that results in a Federal Forfeiture, they may request an equitable share of the net proceeds of the forfeiture. This amount is determined as set forth in the U.S. Department of Justice and the U.S. Department of Treasury's publication "A Guide to Equitable Sharing of Federally Forfeited Property for State and Local Law Enforcement Agencies."



North Carolina Department of Transportation  
Drug Forfeiture Fund  
Period Ending January 31, 2021

**Exhibit C1 - Balance Sheet**

**Assets:**

**Cash**

Budget Code 64201	10,211.60	
Budget Code 64210	411,927.09	
Budget Code 64211	354,713.86	
<b>Total Cash</b>		<u>776,852.55</u>

**Liabilities:**

**Payables**

Budget Code 64201	10,211.60	
Budget Code 64210	411,927.09	
Budget Code 64211	354,713.86	
<b>Total Payables Balance</b>		776,852.55
<b>Total Fund Balance</b>		<u>-</u>
<b>Total Liabilities and Fund Balance</b>		<u>776,852.55</u>

North Carolina Department of Transportation  
Drug Forfeiture Fund  
Period Ending January 31, 2021

**Exhibit C2 - Statement of Revenues and Expenditures**

**Budget Code 64201:**

Amount Brought Forward	-	
Miscellaneous Revenue	10,178.09	
Interest Earned	33.51	
Disbursements	-	
<b>Sub Total - 64201</b>		10,211.60

**Budget Code 64210:**

Amount Brought Forward	-	
Miscellaneous Revenue	410,575.49	
Interest Earned	1,351.60	
Disbursements	-	
<b>Sub Total - 64210</b>		411,927.09

**Budget Code 64211:**

Amount Brought Forward	-	
Miscellaneous Revenue	353,546.28	
Interest Earned	1,167.58	
Disbursements	-	
<b>Sub Total - 64211</b>		354,713.86

**Total Availability**

776,852.55

H.B. 1779 - Session Law 2005 - 294  
Budget Code 64209

**North Carolina Department of Transportation**  
**DMV Property Tax Account**  
**Period Ending January 31, 2021**

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**Exhibit D - DMV Property Tax Account**

Signed into law on August 22, 2005, HB 1779 was created to combine Motor Vehicle Registration renewal and Property Tax Collections for the state of North Carolina. Referred to as "Tag and Tax" system, the legislation requires the Division of Motor Vehicles to collect property tax on vehicles at registration renewal annually. In FY 2014 the system was implemented and NCDMV began receiving, tracking and forwarding tax collections to each of North Carolina's one hundred counties.

The following statements depict the status of related accounts as of current period end.

North Carolina Department of Transportation  
DMV Property Tax Account  
Period Ending January 31, 2021

Exhibit D - Balance Sheet

Assets:

Cash	93,470,997.81	
Due from other Funds	11,131.52	
<b>Total Assets</b>		<b>93,482,129.33</b>

Liabilities:

Payables:		
Accounts Payable	93,466,802.83	
Intragovernmental Payable	15,326.50	
Change in Fund Balance	-	
<b>Total Liabilities</b>		<b>93,482,129.33</b>

H.B. 1779 - Session Law 2005-294  
Budget Code 64209

North Carolina Department of Transportation  
DMV Property Tax Account  
Period Ending January 31, 2021

**Exhibit D2 - Disbursements**

Disbursement Date	Amount	
July 31, 2021		102,301,878.41
August 31, 2021		96,727,084.49
September 30, 2021		95,910,935.01
October 31, 2021		93,153,792.59
November 30, 2021		76,066,618.30
December 31, 2021		84,936,297.26
January 31, 2021		93,466,802.83
February 28, 2021		-
March 31, 2021		-
April 30, 2021		-
May 31, 2021		-
June 30, 2021		-
Total Year to Date Disbursements to Counties		642,563,408.89

**North Carolina Department of Transportation**  
**Disaster Relief Cash Flow Loan Fund**  
**Period Ending January 31, 2021**

Budget Code 24266

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**Exhibit E**

The primary purpose of the Disaster Relief Cash Flow Loan Fund is to be used as a loan for cash flow needs as a result of disaster recovery. The fund is a special fund in the Department of Transportation and used in accordance with Section 5 of Session Law 2019-15. For the 2018-2019 fiscal year, the State Controller transferred the sum of ninety million dollars (\$90,000,000) in nonrecurring funds from the unreserved fund balance in the General Fund to the Fund as an advance to the fund.

North Carolina Department of Transportation  
Disaster Relief Cash Flow Loan Fund  
Period Ending January 31, 2021

**Exhibit E - Balance Sheet**

**Assets:**

Cash	-	
<b>Total Assets</b>		-

**Liabilities and Fund Balance:**

**Liabilities**

Prior Year	-	
Current Year	-	
Advance from General Fund	-	
<b>Total Liabilities</b>		-

**Fund Balance**

Opening Fund Balance	-	
Revenues	(4,827,424.00)	
Expenditures	4,827,424.00	
Change in Fund Balance Year to Date	-	
<b>Total Fund Balance</b>		-

**Total Liabilities and Fund Balance**

-
-

**North Carolina Department of Transportation  
Transportation Emergency Reserve Fund  
Period Ending January 31, 2021**

Budget Code 24267

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**Exhibit F**

The Transportation Emergency Reserve Fund is a special fund in the Department of Transportation and is created per Session Law 2019-251 Senate Bill 356. The fund shall only be used for major disasters after the President of the United States issues a declaration under the Stafford Act (42 U.S. C. 5121-5207) that a major disaster exists in the State. The funds shall not be used for snow and ice removal or non-declared emergency operations. The total funds in the Transportation Emergency Reserve Fund shall not exceed the sum of one hundred twenty-five million dollars (\$125,000,000)



North Carolina Department of Transportation  
Transportation Emergency Reserve Fund  
Period Ending January 31, 2021

**Exhibit F - Balance Sheet**

**Assets:**

Cash	64,000,000.00	
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**Total Assets**

64,000,000.00

**Liabilities and Fund Balance:**

**Liabilities**

Prior Year	-	
Current Year	-	
From General Fund	64,000,000.00	

**Total Liabilities**

64,000,000.00

**Fund Balance**

Opening Fund Balance	64,000,000.00	
Transfers from Highway Fund	-	
Transfers to Highway Fund	-	
Change in Fund Balance Year to Date	-	

**Total Fund Balance**

-

**Total Liabilities and Fund Balance**

64,000,000.00

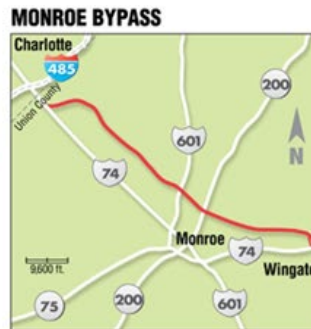


**NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
FINANCIAL STATEMENTS**

**Fiscal Year 2021  
For Period Ending January 31, 2021  
Turnpike Authority**



Triangle Expressway



North Carolina Department of Transportation  
Turnpike Fund  
Period Ending January 31, 2021

**Exhibit A pg 1 of 3 - Balance Sheet**

**Assets:**

**Cash**

State Treasurer Bank Balance	-	
Toll Operations Cash STIF	12,545,071.91	
TPA I-77 OST Bank Disbursing	921,225.61	
Transponder Disbursing	3,371,041.74	
TPA I-77 Toll Operations Expense Fund	726,351.92	
TPA I-77 Toll Operations Reserve Fund	2,142,562.07	
TPA I-77 Renewal and Replacement Fund	825,600.00	
TPA I-77 Pledged Reserve Fund	11,679,688.83	
Bank Disbursing	-	
Transponder Checks Issued	-	
Restricted Investments (Exhibit A p2)	859,625,313.67	
<b>Total Cash</b>		891,836,855.75

**Current Assets**

Accounts Receivable	36,698,262.68	
Due From Other Funds	195,954.26	
Inventory	730,478.40	
Other Current Assets	179,854.50	
<b>Total Current Assets</b>		37,804,549.84

**Non Current Assets**

<b>Capital Assets</b>		31,175,409.75
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**Total Assets**

960,816,815.34

**Liabilities, Retained Earnings & Fund Balance:**

**Liabilities**

Accounts Payable	6,668,163.77	
Intragovernmental Payable	37,984,836.15	
Due to Other Funds	9,373,753.33	
Purchase Orders Payable	18,839.71	
Customer Prepaid Account Balance	56,295.00	
Advance from Highway Trust Fund	29,058,976.67	
Bonds Payable	2,732,210,256.25	
TIFIA Accrued Interest Payable	8,120,528.67	
Anticipated Liquidated Damages	774,900.00	
Other Liabilities	8,598,687.15	
<b>Total Liabilities</b>		2,832,865,236.70

**Retained Earnings**

Retained Earnings	(1,699,978,885.74)
Investment in Property	41,769.92

**Fund Balance**

Opening Fund Balance	-	
Revenues	85,862,906.15	
Expenditures	(257,974,211.69)	
<b>Change in Fund Balance Year to Date</b>	(172,111,305.54)	

**Total Fund Balance**

(172,111,305.54)

**Total Liabilities, Retained Earnings & Fund Balance**

960,816,815.34

North Carolina Department of Transportation  
Turnpike Fund  
Period Ending January 31, 2021

**Exhibit A pg 2 of 3 - Balance Sheet**

	Balance
<b>Triangle Expressway</b>	
TPA-Revenue Triangle 2009 Clearing 23534302	28.58
TPA-TE SA Revenue Fund 23604701	6,426,875.53
TPA-TE SA Capital Interest 2009 23604702	-
TPA-TE SA Interest Account 23604703	(600,064.70)
TPA-TE SA Reserve Fund 2009 23604707	59,888.21
TPA-TriEx Trustee TIFIA Debt Service Fund 23534316	-
TPA-TE APA Triangle 2009 23534301	-
TPA-SA Principal Fund 2009 23604708	149,816.47
TPA-TE Senior Par Reserve Acct Triangle 2009 23534308	-
TPA-TE TIFIA Debt Service Reserve Triangle 2009 23534317	-
TPA-TE Senior Interest Triangle 2009 23534304	-
TPA-TriEx General Reserve 2009 23534318	-
TPA-TriEx Operations and Maintenance Expense Fund	6,387,924.36
TPA-TRiEx Operating Reserve Fund	5,404,271.36
TPA-TRiEx Renewal & Replacement Fund	18,733,026.48
TPA-TE Escrow Trust Fund 2017 77302200	-
TPA-TE Cost of Issuance Fund 2017 77301304	-
TPA-TE Sub-Interest Account 2017 77301300	1,484,504.10
TPA-TE Sub-Principal Account 2017 77301301	1,537,057.37
TPA-TE Escrow Trust Fund 2018A 49428000	-
TPA-TE Cost of Issuance Fund 2018A 49427403	-
TPA-TE Sub-Interest Account 2018A 49427400	2,402,623.93
TPA-TE Sen Lien DSF Interest 2018T 82604700	3,113,272.68
TPA-TE Sen Lien DSF Principal 2018T 82604700	338,427.28
TPA-TE Cost of Issuance Fund 2018T 82604703	-
TPA-TE Cost of Issuance Fund 2018B 82601803	-
TPA-TE Interest Account 2018B 82601800	1,659,499.52
TPA-TE Sub-Principal Account 2018 82601801	1,164.92
TPA-TE C540 Interest Acct 2019 84002900	-
TPA-TE C540 Cap Interest Acct 2019 84002901	50,008,532.32
TPA-TE C540 Gen Reserve Restricted 2019 84002906	173,227.90
TPA-TE C540 Gen Reserve Unrestricted 2019 84002907	53,742,880.32
TPA-TE C540 Additional Project Acct 2019 84002908	17,786,013.49
TPA-TE C540 Cost of Issuance Rev 2019 84002905	-
TPA-TE C540 Project Acct Approp 2019 84006004	-
TPA-TE C540 Cost of Issuance Approp 2019 84006003	-
TPA-TE C540 Interest Acct Approp 2020 92058600	-
TPA-TE C540 Project Acct Approp 2020 92058605	482,229,034.58
TPA-TE C540 Cost of Issuance Approp 2020 92058604	167,662.27
TPA-TE C540 Cap Interest Acct 2020 92058601	77,076,549.61
<b>Cash Held for Triangle Expressway</b>	<b>728,282,216.58</b>

North Carolina Department of Transportation  
Turnpike Fund  
Period Ending January 31, 2021

**Exhibit A pg 3 of 3 - Balance Sheet**

	Balance
<b>Monroe Bypass</b>	
TPA-MN SA Principal Account 2010 24071402	-
TPA-MN SA Project Fund 2010 24071406	-
TPA-MN SA Reserve Fund 2010 24071405	4,385,304.13
TPA-MN SA Interest Account 2010 24071401	1,198,034.95
TPA-MN SA Revenue Fund 2010 24071400	8,029,504.89
TPA-MN Project Fund \$10M 2011 83786200	-
TPA-MN Project Fund Appropriations Bonds 2011 24071407	-
TPA-MN Project Fund GARVEE 2011 15-6570-001	-
TPA-MN General Revenue Fund 2010 83786201	-
TPA-MN Senior Lien Interest 2011 83786202	-
TPA-MN Senior Lien Principal 2011 83786203	-
TPA-MN General Reserve 2011 83786209	-
TPA-MN Project Fund 2016 77127020	-
TPA-MN Debt Reserve Fund 2016 77127017	12,163,966.68
TPA-MN Revenue Fund 2016 77127000	5.91
TPA-MN Senir Interest Acct 2016 77127001	995,023.54
TPA-MN Renewal & Replacement 20016 77127009 77127010	6,284,869.32
TPA-MN Operations & Maintenance 2016 77127010	3,343,792.66
TPA-MN Operating Reserve Fund 2016 77127011	3,523,847.13
TPA-MN Tifia Scheduled Prepayment 2016 77127012	391,608.65
TPA-MN Ramp up Reserve Fund 2016 77127013	50,942,691.16
TPA-MN Unpledged Account 2016 77127015	28,215,865.22
TPA-MN TIFIA Reserve 2016 77127019	11,868,582.85
TPA-MN Escrow Trust Fund 2016 77127022	-
<b>Cash Held for Monroe Bypass</b>	131,343,097.09
<b>Currituck Bridge</b>	-
<b>Total Restricted Investment - Exhibit A, Page 1</b>	859,625,313.67

**North Carolina Department of Transportation**  
**Turnpike Fund**  
**Period Ending January 31, 2021**

**Exhibit B1 pg 1 of 2 - Statement of Revenue**

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Toll Revenues							
Triangle Expressway	2,918,961.28	20,795,087.72	4,625,564.82	31,844,331.84	54,844,000.00	37,562,278.05	(16,767,190.33)
Monroe	1,617,104.15	12,465,099.08	1,673,542.64	13,187,338.69	20,326,000.00	12,944,368.64	(479,269.56)
Mid-Currituck	-	-	-	-	-	-	-
Total Toll Revenues	4,536,065.43	33,260,186.80	6,299,107.46	45,031,670.53	75,170,000.00	50,506,646.68	(17,246,459.88)
Other Revenue							
Process Service Fees							
TPA-Process Service Fee	1,305,893.45	3,460,050.06	1,342,001.83	8,915,512.99	9,203,000.00	7,141,327.93	(3,681,277.87)
TPA - Process Service Fee Uncollectible	(3,665.86)	(450,607.13)	16,087.91	(575,151.31)	-	-	(450,607.13)
Total Process Service Fees	1,302,227.59	3,009,442.93	1,358,089.74	8,340,361.68	9,203,000.00	7,141,327.93	(4,131,885.00)
Interest Income							
Toll STIF Interest Income	8,365.91	107,809.85	33,054.35	195,741.50	-	-	107,809.85
Triex Interest Income On Investments	229,920.00	5,687,629.31	350,544.16	2,539,257.65	-	-	5,687,629.31
Monroe Interest Income On Investments	32,750.78	4,404,281.79	111,571.19	1,725,176.55	-	-	4,404,281.79
Interest Income On Bond Proceeds	-	-	-	-	-	-	-
BABS Interest Rebate-Triangle Expressway	88,172.86	176,822.25	171,833.22	171,833.22	7,375,653.00	7,375,653.00	(7,198,830.75)
BABS Interest Rebate-Monroe	2,029,313.61	4,067,644.74	2,025,009.66	2,025,009.66	4,011,284.00	4,011,284.00	56,360.74
Total Interest Income	2,388,523.16	14,444,187.94	2,692,012.58	6,657,018.58	11,386,937.00	11,386,937.00	3,057,250.94
Transfers In/Out							
Transfer In From STI GAP Triangle Expressway	-	12,500,000.00	-	12,500,000.00	25,000,000.00	12,500,000.00	-
Transfer In From STI GAP Monroe	-	12,000,000.00	-	12,000,000.00	24,000,000.00	12,000,000.00	-
Transfer In From STI GAP Mid-Currituck	-	-	-	-	-	-	-
Transfer In From STI GAP Garden Park	-	-	-	-	-	-	-
Total Transfers In/Out	-	24,500,000.00	-	24,500,000.00	49,000,000.00	24,500,000.00	-
Miscellaneous Income/Expenses							
Returned Check Fee	525.00	2,850.00	1,000.00	5,175.00	-	-	2,850.00
TPA Interest Expense	-	-	-	-	-	-	-
TPA Reciprocal Expense	(36.00)	(51.27)	(2.88)	(8.75)	-	-	(51.27)
TPA Bonds Deferred Loss Amortization	-	-	-	-	-	-	-
Interest Due To HTF	(28,606.05)	(217,695.50)	(49,760.73)	(291,255.47)	-	-	(217,695.50)
VECTOR Expense Adjustment	-	(10.00)	-	-	-	-	(10.00)
Sales Tax Adjustment	-	-	-	-	-	-	-
TPA-Other Revenue	-	-	-	-	-	-	-
Transponder Sales	43,055.27	297,695.96	81,849.97	675,308.93	-	-	297,695.96
Transponder Expense	(51,780.00)	(373,504.91)	(98,006.95)	(784,873.00)	-	-	(373,504.91)
Transponder Inventory Adjustment	(339.09)	(861.87)	(66.60)	(1,143.07)	-	-	(861.87)
Total Miscellaneous Income/Expenses	(37,180.87)	(291,577.59)	(64,987.19)	(396,796.36)	-	-	(291,577.59)
Non Toll Revenue	3,653,569.88	41,662,053.28	3,985,115.13	39,100,583.90	69,589,937.00	43,028,264.93	(1,366,211.65)
Total Revenue	8,189,635.31	74,922,240.08	10,284,222.59	84,132,254.43	144,759,937.00	93,534,911.61	(18,612,671.53)

**North Carolina Department of Transportation**  
**Turnpike Fund**  
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**Exhibit B1 pg 2 of 2 - Statement of Revenue**

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue
<b>Toll Revenues</b>								
Triangle Expressway	2,921,161.18	-	2,199.90	2,918,961.28	21,504,195.43	-	709,107.71	20,795,087.72
Monroe	1,617,104.15	-	-	1,617,104.15	12,465,099.08	-	-	12,465,099.08
Mid-Currituck	-	-	-	-	-	-	-	-
<b>Total Toll Revenues</b>	<b>4,538,265.33</b>	<b>-</b>	<b>2,199.90</b>	<b>4,536,065.43</b>	<b>33,969,294.51</b>	<b>-</b>	<b>709,107.71</b>	<b>33,260,186.80</b>
<b>Other Revenue</b>								
Process Service Fees								
TPA-Process Service Fee	1,305,893.45	-	-	1,305,893.45	3,460,050.06	-	-	3,460,050.06
TPA - Process Service Fee Uncollectible	(3,665.86)	-	-	(3,665.86)	(450,607.13)	-	-	(450,607.13)
<b>Total Process Service Fees</b>	<b>1,302,227.59</b>	<b>-</b>	<b>-</b>	<b>1,302,227.59</b>	<b>3,009,442.93</b>	<b>-</b>	<b>-</b>	<b>3,009,442.93</b>
<b>Interest Income</b>								
Toll STIF Interest Income	8,365.91	-	-	8,365.91	107,809.85	-	-	107,809.85
Triex Interest Income On Investments	229,920.00	-	-	229,920.00	5,687,629.31	-	-	5,687,629.31
Monroe Interest Income On Investments	32,750.78	-	-	32,750.78	4,404,281.79	-	-	4,404,281.79
Interest Income On Bond Proceeds	-	-	-	-	-	-	-	-
BABS Interest Rebate-Triangle Expressway	88,172.86	-	-	88,172.86	176,822.25	-	-	176,822.25
BABS Interest Rebate-Monroe	2,029,313.61	-	-	2,029,313.61	4,067,644.74	-	-	4,067,644.74
<b>Total Interest Income</b>	<b>2,388,523.16</b>	<b>-</b>	<b>-</b>	<b>2,388,523.16</b>	<b>14,444,187.94</b>	<b>-</b>	<b>-</b>	<b>14,444,187.94</b>
<b>Transfers In/Out</b>								
Transfer In From STI GAP Triangle Expressway	-	-	-	-	12,500,000.00	-	-	12,500,000.00
Transfer In From STI GAP Monroe	-	-	-	-	12,000,000.00	-	-	12,000,000.00
Transfer In From STI GAP Mid-Currituck	-	-	-	-	-	-	-	-
Transfer In From STI GAP Garden Park	-	-	-	-	-	-	-	-
<b>Total Transfers In/Out</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>24,500,000.00</b>	<b>-</b>	<b>-</b>	<b>24,500,000.00</b>
<b>Miscellaneous Income/Expenses</b>								
Returned Check Fee	525.00	-	-	525.00	2,850.00	-	-	2,850.00
TPA Interest Expense	-	-	-	-	-	-	-	-
TPA Reciprocal Expense	(36.00)	-	-	(36.00)	(51.27)	-	-	(51.27)
TPA Bonds Deferred Loss Amortization	-	-	-	-	-	-	-	-
Interest Due To HTF	(28,606.05)	-	-	(28,606.05)	(217,695.50)	-	-	(217,695.50)
VECTOR Expense Adjustment	-	-	-	-	(10.00)	-	-	(10.00)
Sales Tax Adjustment	-	-	-	-	-	-	-	-
TPA-Other Revenue	-	-	-	-	-	-	-	-
Transponder Sales	43,055.27	-	-	43,055.27	297,695.96	-	-	297,695.96
Transponder Expense	(51,780.00)	-	-	(51,780.00)	(373,504.91)	-	-	(373,504.91)
Transponder Inventory Adjustment	(339.09)	-	-	(339.09)	(861.87)	-	-	(861.87)
<b>Total Miscellaneous Income/Expenses</b>	<b>(37,180.87)</b>	<b>-</b>	<b>-</b>	<b>(37,180.87)</b>	<b>(291,577.59)</b>	<b>-</b>	<b>-</b>	<b>(291,577.59)</b>
<b>Non Toll Revenue</b>	<b>3,653,569.88</b>	<b>-</b>	<b>-</b>	<b>3,653,569.88</b>	<b>41,662,053.28</b>	<b>-</b>	<b>-</b>	<b>41,662,053.28</b>
<b>Total Revenue</b>	<b>8,191,835.21</b>	<b>-</b>	<b>2,199.90</b>	<b>8,189,635.31</b>	<b>75,631,347.79</b>	<b>-</b>	<b>709,107.71</b>	<b>74,922,240.08</b>

North Carolina Department of Transportation  
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**Exhibit B2 - Statement of Participation Revenue**

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Current Year Actual Revenue		Prior Year Actual Revenue		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Operations/Maintenance								
Damage Claims to Toll Roads	(3,348.50)	487,661.41	484,312.91	64,512.98	487,661.41	-	(1,751.50)	(3,348.50)
Operations/Maintenance	(3,348.50)	487,661.41	484,312.91	64,512.98	487,661.41	-	(1,751.50)	(3,348.50)
FHWA Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Federal-Aid	965,297.00	22,069,969.00	23,035,266.00	19,714,711.00	21,714,711.00	-	1,691,345.00	1,320,555.00
GARVEE NHS 2011 Issuance	-	-	-	-	-	-	-	-
Property Owner's Participation	3,185,238.33	931,534.00	4,116,772.33	-	1,963,917.15	-	325,000.00	2,152,855.18
FHWA Construction	4,150,535.33	23,001,503.00	27,152,038.33	19,714,711.00	23,678,628.15	-	2,016,345.00	3,473,410.18
Total Participation Revenues	4,147,186.83	23,489,164.41	27,636,351.24	19,779,223.98	24,166,289.56	-	2,014,593.50	3,470,061.68



North Carolina Department of Transportation  
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**Exhibit B3 pg 1 of 2 - Other Financing Sources (Uses)**

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Other Financing							
TP-Bond Premium Amortization	-	-	-	-	-	-	-
Total Other Financing	-	-	-	-	-	-	-
Transfers In/Out							
Transfer In From HF Project Participation	(20,917,006.59)	(16,308,654.28)	1,201,207.44	(9,965,240.25)	-	-	(16,308,654.28)
Transfer In From STI Project Participation	58,388.91	769,527.40	806,411.01	88,055,274.89	-	-	769,527.40
Transfer In From HF Transponder	-	-	-	-	-	-	-
Transfer To HF From TPA	-	-	-	-	-	-	-
Transfer To GARVEE From TPA	-	-	-	-	-	-	-
Fiscal Agent Fees	-	-	-	260,680.94	-	-	-
Gain on Debt Reclass	-	-	-	-	-	-	-
Total Transfers In/Out	(20,858,617.68)	(15,539,126.88)	2,007,618.45	78,350,715.58	-	-	(15,539,126.88)
Total Other Financing Sources (Uses)	(20,858,617.68)	(15,539,126.88)	2,007,618.45	78,350,715.58	-	-	(15,539,126.88)

North Carolina Department of Transportation  
Turnpike Fund  
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**Exhibit B3 pg 2 of 2 - Other Financing Sources (Uses)**

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue
<b>Other Financing</b>								
TP-Bond Premium Amortization	-	-	-	-	-	-	-	-
<b>Total Other Financing</b>	-	-	-	-	-	-	-	-
<b>Transfers In/Out</b>								
Transfer In From HF Project Participation	(20,917,006.59)	-	-	(20,917,006.59)	(16,308,654.28)	-	-	(16,308,654.28)
Transfer In From STI Project Participation	58,388.91	-	-	58,388.91	769,527.40	-	-	769,527.40
Transfer In From HF Transponder	-	-	-	-	-	-	-	-
Transfer To HF From TPA	-	-	-	-	-	-	-	-
Transfer To GARVEE From TPA	-	-	-	-	-	-	-	-
Fiscal Agent Fees	-	-	-	-	-	-	-	-
Gain on Debt Reclass	-	-	-	-	-	-	-	-
<b>Total Transfers In/Out</b>	<b>(20,858,617.68)</b>	-	-	<b>(20,858,617.68)</b>	<b>(15,539,126.88)</b>	-	-	<b>(15,539,126.88)</b>
<b>Total Other Financing Sources (Uses)</b>	<b>(20,858,617.68)</b>	-	-	<b>(20,858,617.68)</b>	<b>(15,539,126.88)</b>	-	-	<b>(15,539,126.88)</b>

North Carolina Department of Transportation  
Turnpike Fund  
Period Ending January 31, 2021

**Exhibit C Page 1 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments & Expenditures**

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
<b>Current Accounts</b>								
Turnpike Authority Administration	-	-	-	(25.00)	-	-	-	-
Administrative Expenditures	-	1,197,944.00	-	192,871.86	773,091.66	1,197,944.00	424,852.34	(773,091.66)
Trust Fund Transfer	-	(1,197,944.00)	-	(192,896.86)	(772,654.30)	(1,197,944.00)	(425,289.70)	772,654.30
Interest Income	-	-	-	-	(437.36)	-	437.36	437.36
Allocations	-	-	-	-	-	-	-	-
Orders And Others	-	-	-	(24,701.43)	(20,636.88)	-	20,636.88	20,636.88
Field Operations	-	-	-	-	(2,487.38)	-	2,487.38	2,487.38
Turnpike Authority Special Funding	-	371,233,057.43	50,070,047.97	67,260,421.62	117,330,469.59	321,163,009.46	253,902,587.84	(67,260,421.62)
Triangle Expressway	-	280,492,764.01	28,737,988.12	52,855,095.34	81,593,083.46	251,754,775.89	198,899,680.55	(52,855,095.34)
Monroe Bypass	-	75,740,293.42	21,332,059.85	14,405,326.28	35,737,386.13	54,408,233.57	40,002,907.29	(14,405,326.28)
Mid Currituck Bridge	-	15,000,000.00	-	-	-	15,000,000.00	15,000,000.00	-
Garden Parkway	-	-	-	-	-	-	-	-
<b>Total Current Accounts</b>	-	371,233,057.43	50,070,047.97	67,235,695.19	117,307,345.33	321,163,009.46	253,925,712.10	(67,237,297.36)
<b>Encumbrance Accounts</b>								
Turnpike Construction	458,443,269.41	810,299,642.28	32,971,912.19	26,036,260.29	177,570,694.36	777,327,730.09	1,091,172,217.33	313,844,487.24
<b>Total Encumbrance Accounts</b>	458,443,269.41	810,299,642.28	32,971,912.19	26,036,260.29	177,570,694.36	777,327,730.09	1,091,172,217.33	313,844,487.24
<b>Total Turnpike Authority</b>	458,443,269.41	1,181,532,699.71	83,041,960.16	93,271,955.48	294,878,039.69	1,098,490,739.55	1,345,097,929.43	246,607,189.88

North Carolina Department of Transportation  
Turnpike Fund  
Period Ending January 31, 2021

**Exhibit C Page 2 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments & Expenditures**

	Previous Year			Current Year			Compared to Previous		
	Yearly Appropriations	Period Expenditures	Year to Date Expenditures	Yearly Appropriations	Period Expenditures	Year to Date Expenditures	Appropriations	Period Expenditures	Year to Date Expenditures
<b>Current Accounts</b>									
Turnpike Authority Administration	-	-	(3,348.80)	-	(25.00)	-	-	(25.00)	3,348.80
Administrative Expenditures	981,751.00	102,584.44	655,016.31	1,197,944.00	192,871.86	773,091.66	216,193.00	90,287.42	118,075.35
Trust Fund Transfer	(981,751.00)	(102,050.72)	(654,450.31)	(1,197,944.00)	(192,896.86)	(772,654.30)	(216,193.00)	(90,846.14)	(118,203.99)
Interest Income	-	(533.72)	(566.00)	-	-	(437.36)	-	533.72	128.64
Orders And Others	-	(26,080.44)	(124,164.42)	-	(24,701.43)	(20,636.88)	-	1,379.01	103,527.54
Field Operations	-	-	4,196.00	-	-	(2,487.38)	-	-	(6,683.38)
Turnpike Authority Special Funding	330,470,651.33	51,476,914.43	95,253,821.65	371,233,057.43	67,260,421.62	117,330,469.59	40,762,406.10	15,783,507.19	22,076,647.94
Triangle Expressway	252,389,461.46	37,219,708.16	58,186,683.95	280,492,764.01	52,855,095.34	81,593,083.46	28,103,302.55	15,635,387.18	23,406,399.51
Monroe Bypass	63,081,189.87	14,257,206.27	37,067,137.70	75,740,293.42	14,405,326.28	35,737,386.13	12,659,103.55	148,120.01	(1,329,751.57)
Mid Currituck Bridge	15,000,000.00	-	-	15,000,000.00	-	-	-	-	-
Garden Parkway	-	-	-	-	-	-	-	-	-
<b>Total Current Accounts</b>	<b>330,470,651.33</b>	<b>51,450,833.99</b>	<b>95,130,504.43</b>	<b>371,233,057.43</b>	<b>67,235,695.19</b>	<b>117,307,345.33</b>	<b>40,762,406.10</b>	<b>15,784,861.20</b>	<b>22,176,840.90</b>
<b>Encumbrance Accounts</b>									
Turnpike Construction	1,527,982,917.59	14,923,736.24	197,133,318.34	810,299,642.28	26,036,260.29	177,570,694.36	(717,683,275.31)	11,112,524.05	(19,562,623.97)
<b>Total Encumbrance Accounts</b>	<b>1,527,982,917.59</b>	<b>14,923,736.24</b>	<b>197,133,318.34</b>	<b>810,299,642.28</b>	<b>26,036,260.29</b>	<b>177,570,694.36</b>	<b>(717,683,275.31)</b>	<b>11,112,524.05</b>	<b>(19,562,623.97)</b>
<b>Total Turnpike Authority</b>	<b>1,858,453,568.92</b>	<b>66,374,570.23</b>	<b>292,263,822.77</b>	<b>1,181,532,699.71</b>	<b>93,271,955.48</b>	<b>294,878,039.69</b>	<b>(676,920,869.21)</b>	<b>26,897,385.25</b>	<b>2,614,216.93</b>

**North Carolina Department of Transportation**  
**Turnpike Fund**  
**Period Ending January 31, 2021**

**Exhibit D - Statement of Federal Forward Funding**

	Fiscal Year					Year to Date
	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	
<b>System Type</b>						
FHWA Turnpike STP	5,058,059.00	-	-	-	-	5,058,059.00
FHWA Turnpike NHPP	52,611,820.00	10,206,160.00	10,206,160.00	684,160.00	6,841,600.00	80,549,900.00
FHWA Turnpike NHS	-	-	-	-	-	-
<b>Total</b>	<b>57,669,879.00</b>	<b>10,206,160.00</b>	<b>10,206,160.00</b>	<b>684,160.00</b>	<b>6,841,600.00</b>	<b>85,607,959.00</b>

Note: Federal cash flow is converted throughout the federal fiscal year which begins October 1 and ends September 30 of each year.

North Carolina Department of Transportation  
Turnpike Fund  
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**Exhibit E1 - GARVEE Bonds Projects by Category**

	Interstate Maintenance	National Highway System	Surface Transportation Program	National Highway Performance	Total
<b>Fiscal Year</b>					
2020-2021	-	-	-	50,966,000.00	50,966,000.00
2021-2022	-	-	-	25,483,000.00	25,483,000.00
2022-2023	-	-	-	25,483,000.00	25,483,000.00
2023-2024	-	-	-	25,483,000.00	25,483,000.00
2024-2025	-	-	-	25,483,000.00	25,483,000.00
2025-2026	-	-	-	25,483,000.00	25,483,000.00
2026-2027	-	-	-	25,483,000.00	25,483,000.00
2027-2028	-	-	-	25,483,000.00	25,483,000.00
2028-2029	-	-	-	25,483,000.00	25,483,000.00
2029-2030	-	-	-	25,483,000.00	25,483,000.00
2030-2031	-	-	-	25,483,000.00	25,483,000.00
2031-2032	-	-	-	25,483,000.00	25,483,000.00
2032-2033	-	-	-	25,483,000.00	25,483,000.00
2033-2034	-	-	-	25,483,000.00	25,483,000.00
2034-2035	-	-	-	-	-
<b>Total</b>	-	-	-	382,245,000.00	382,245,000.00

**North Carolina Department of Transportation  
Turnpike Fund  
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Notes